



MONASH University

Engineering

# The Soil Moisture Active Passive Experiments: SMAPEX

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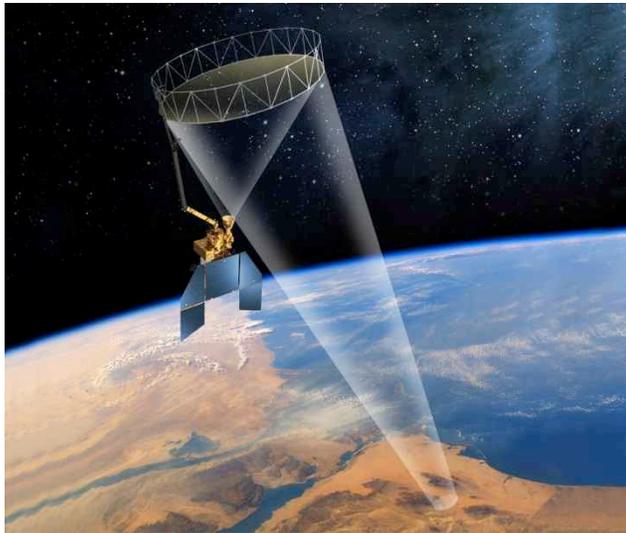
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Acknowledgement: Australian Research Council DP0984586, LE0453434 and LE0882509

# The Soil Moisture Active Passive mission



## SMAP Specifications

Launch: NASA, 2014

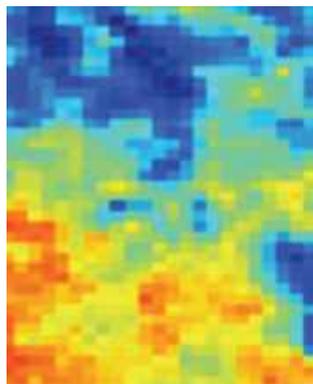
Frequency band: L-band

Incidence angle: 40°

Azimuth direction: conically-scanning antenna

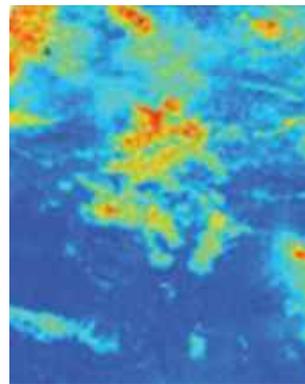
Resolution: Soil Moisture ~9km -- 36km radiometer + 3km radar

Repeat: 2-3 days



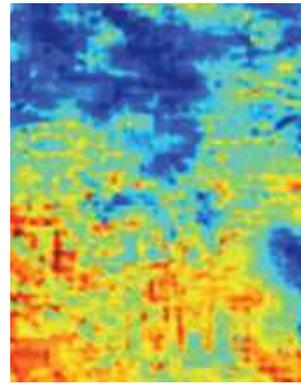
Radiometer observation  
~36km

+



Radar observation  
~3km

=



Downscaled product  
~9km

## Algorithms

Active Passive Retrieval  
and Downscaling

# Objectives

## 1. Radar-only soil moisture retrieval (3km)

Verify baseline algorithms proposed for SMAP

## 2. Radiometer-only soil moisture retrieval (36km)

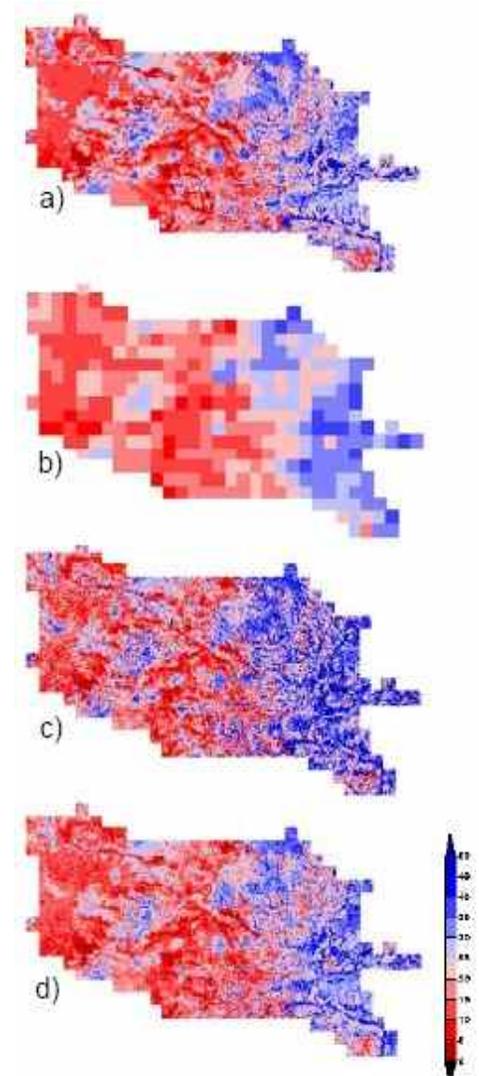
Use the SMAP radar information on surface roughness and vegetation structure (3km) to aid the soil moisture retrieval from the SMAP radiometer (40km)

## 3. Active-Passive soil moisture product (9km)

Use the high resolution (3km) but noisy SMAP radar observations to downscale the accurate but low resolution (36km) radiometer footprint

**DP0984586**

*Simulated fields of a) 3km truth soil moisture and retrieved soil moisture for b) 40km passive microwave observations, c) 3km radar observations and d) 3km merged passive microwave and radar observations (Zhan et al., 2006).*

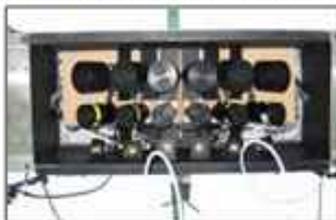


# Airborne simulator

L-band radiometer (PLMR)



6 x Vis/NIR/SWIR/TIR



L-band radar (PLIS)



**PLMR: Polarimetric L-band Multibeam Radiometer**

Frequency/bandwidth: 1.413GHz/24MHz

Polarisations: V and H

Resolution: ~1km at 10,000ft flying height,

Incidence angles:  $\pm 7^\circ$ ,  $\pm 21.5^\circ$ ,  $\pm 38.5^\circ$  across track

Antenna type: 8 x 8 patch array

**LE0453434**

**PLIS: Polarimetric L-band Imaging SAR**

Frequency/bandwidth: 1.26GHz/30MHz

Polarisations: VV, VH, HV and HH

Resolution: ~10m

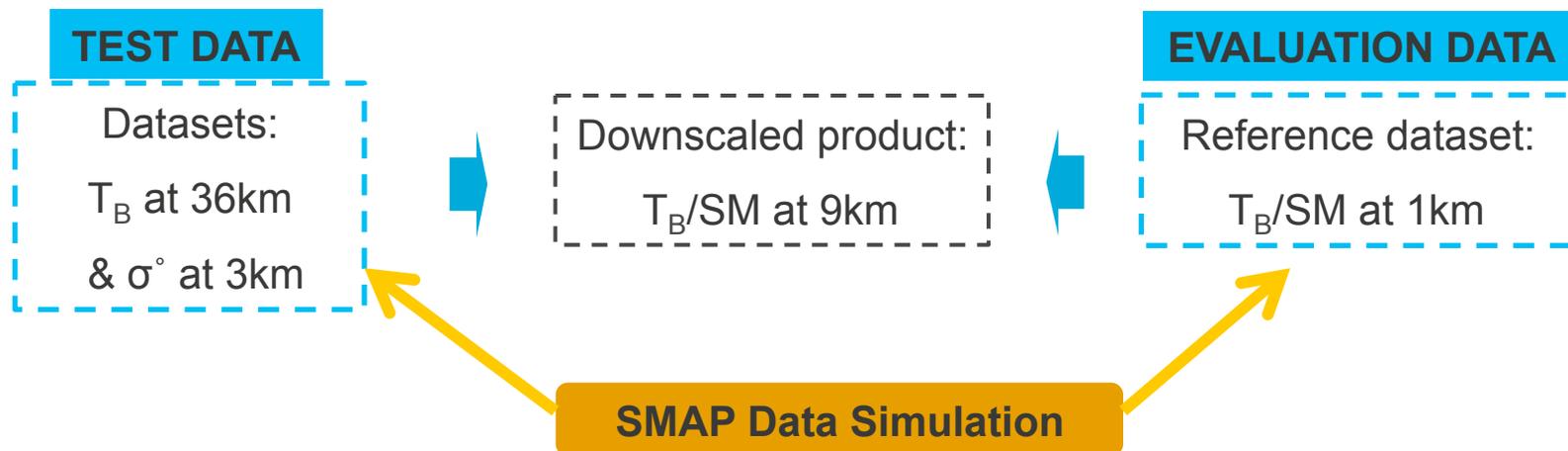
Inc. angles  $15^\circ$  -  $45^\circ$  on both sides of aircraft

Antenna type: 2x2 patch array

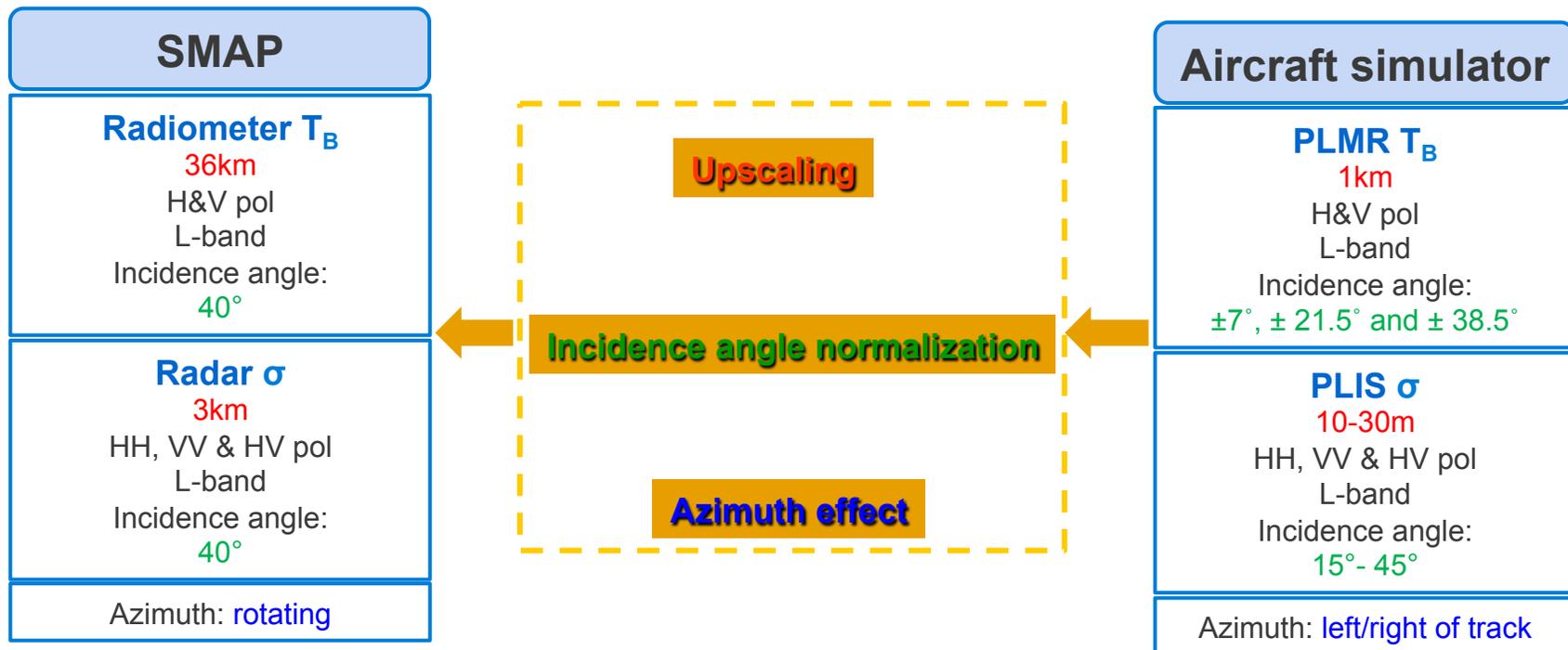
**LE0882509**

# Motivation

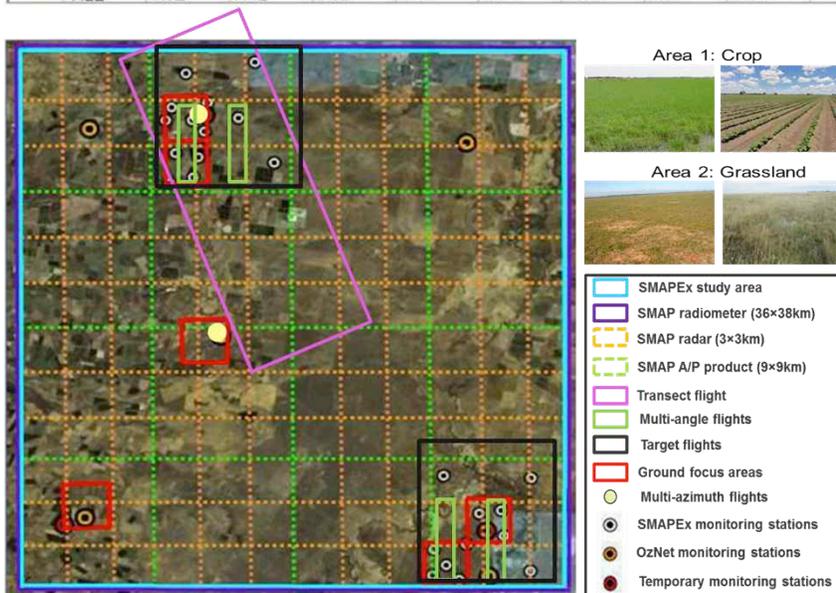
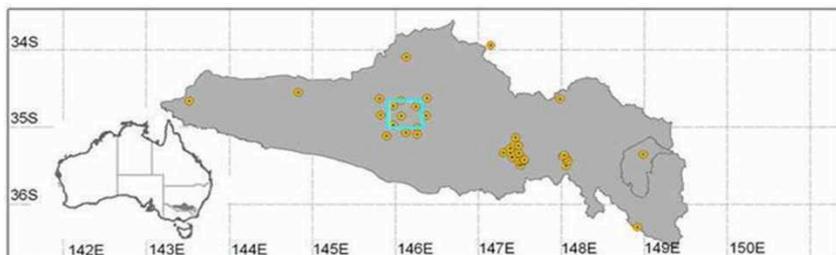
Pre-launch active-passive algorithm validation largely based on synthetic studies & few airborne data sets



# Simulation of SMAP data



# Study site



## Flights

Regional flight, Target flights, Transect flight;  
Multi-angle flights and multi-azimuth flights

## Soil Moisture Active Passive Experiments (SMAPEX)

Location: Yanco, Murrumbidgee Catchment, NSW;

Field campaigns: SMAPEX-1 (5<sup>th</sup>-10<sup>th</sup> July 2010)

SMAPEX-2 (4<sup>th</sup>-8<sup>th</sup> Dec 2010)

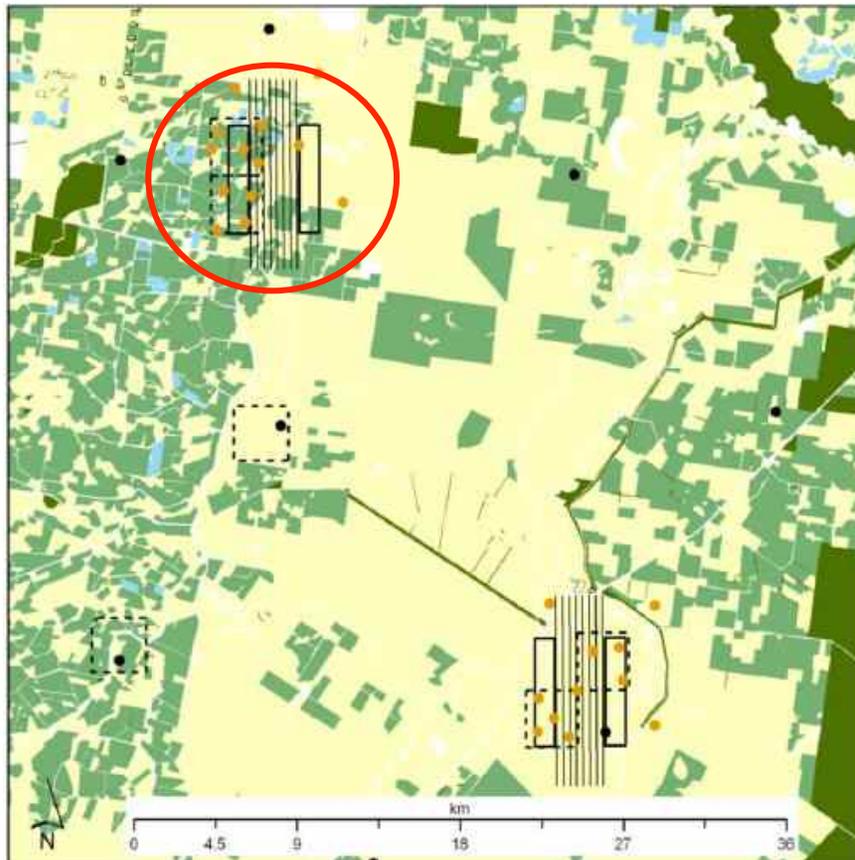
SMAPEX-3 (5<sup>th</sup>-23<sup>rd</sup> Sept 2011)

## Ground sampling

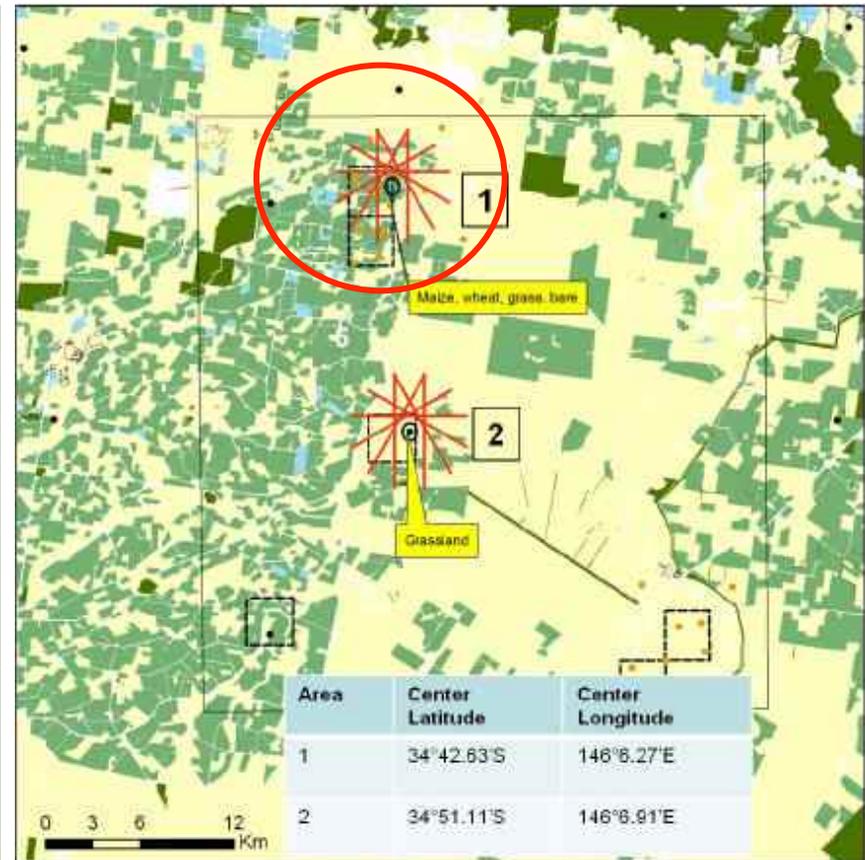
Soil moisture; and  
vegetation



# Target flights



**Multi-angle flights**  
at 3,000m altitude



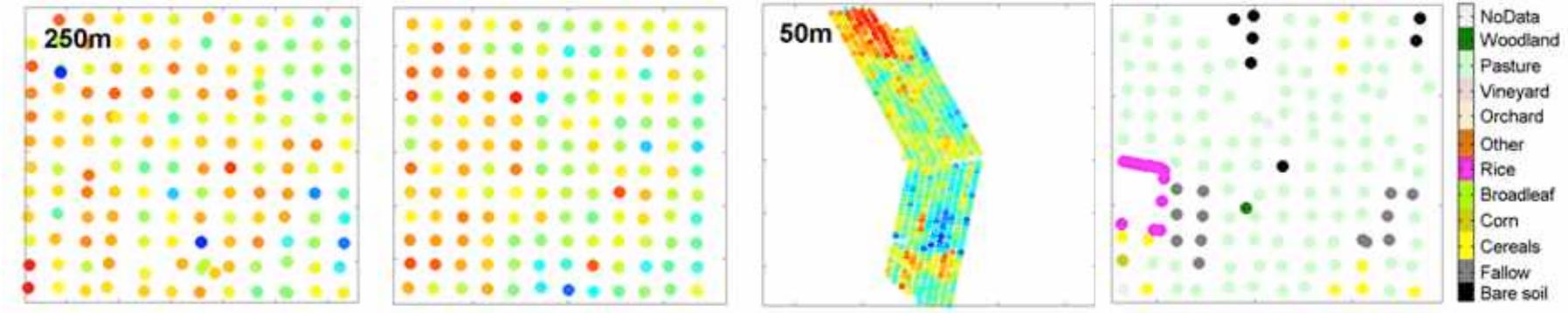
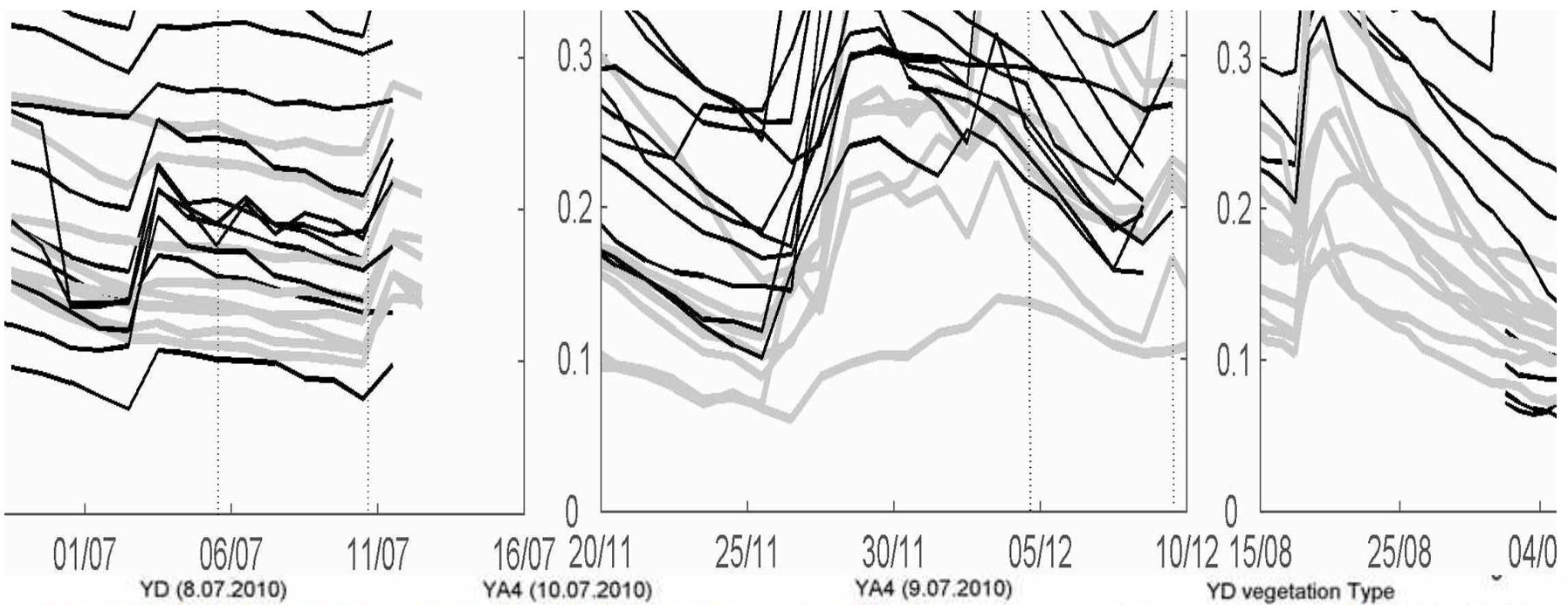
**Multi-azimuth and multi-resolution flights**  
both at 1,500m altitude

# Calibration

- A single set of calibration solutions for PLIS have been derived for each campaign based on daily calibration flights
- Absolute calibration accuracy for PLIS based on the PRCs from SMAPEX-3 is  $\sim 0.93\text{dB}$
- Relative calibration (start and end of the flight) accuracy for PLIS is  $\sim 0.8\text{dB}$
- The calibration procedure for PLMR is mature and is accurate to  $\sim 2\text{K}$



# Ground soil moisture



[www.smapex.monash.edu.au](http://www.smapex.monash.edu.au)



### Experiments

- SMAPEX-1
- SMAPEX-2
- SMAPEX-3

### Related experiments

- AACES
- NAFE

### Useful links

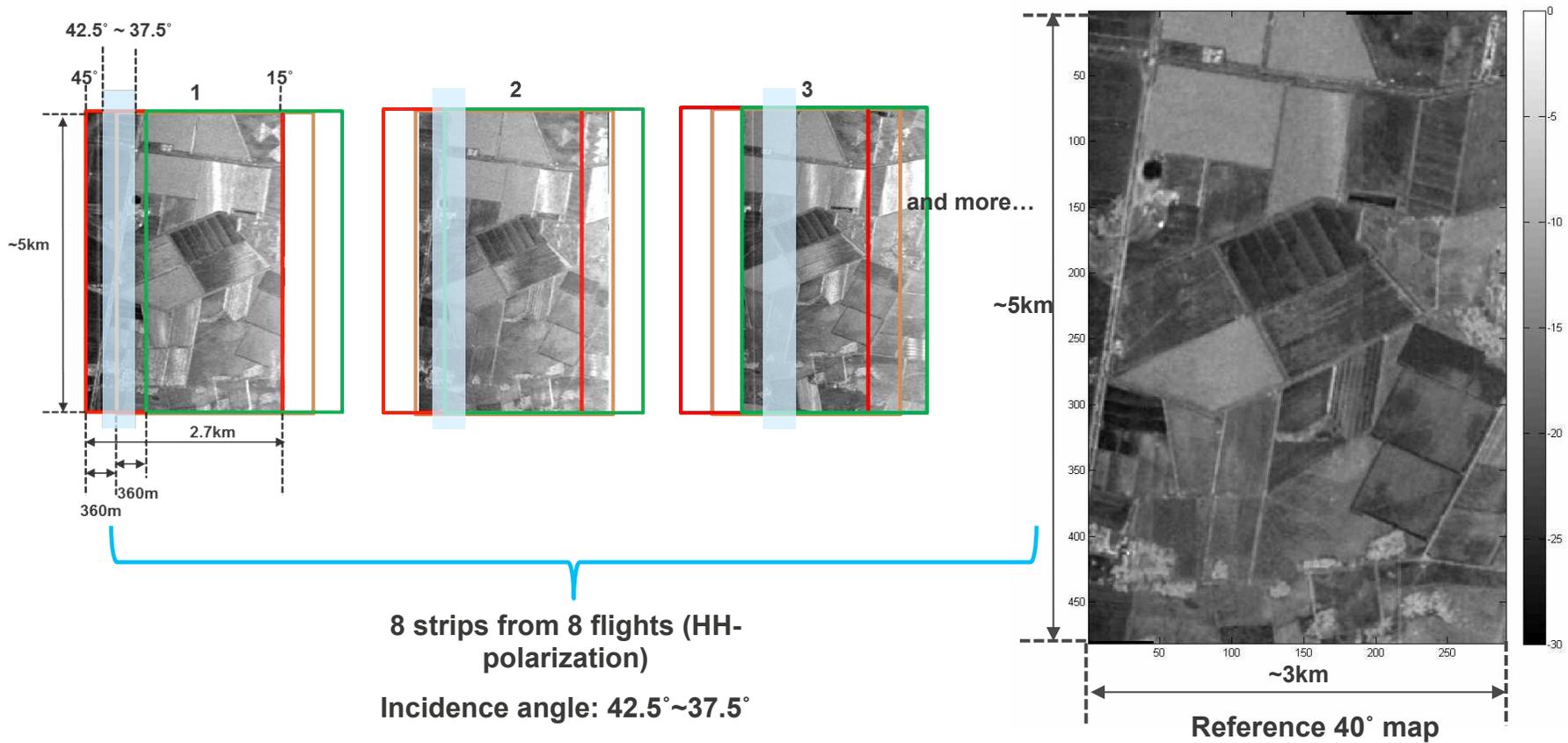
- SMAP Mission
- OzNet Network
- Publications
- Contact

## Project Overview

Real time information on soil moisture variability at high resolution is vital for sustainable land and water management. NASA's next generation soil moisture dedicated mission, Soil Moisture Active Passive ([SMAP](#)), will provide this information through the synergy of L-band (1.4GHz) active (radar) land passive (radiometer) microwave observations. The Soil Moisture Active Passive Experiments (SMAPEX) consist a series of three field experiments specifically designed to contribute to the development of soil moisture retrieval algorithms from radar and radiometer for the SMAP mission. The SMAPEX experiments are currently the only pre-launch SMAP validation campaigns planned for Australia.

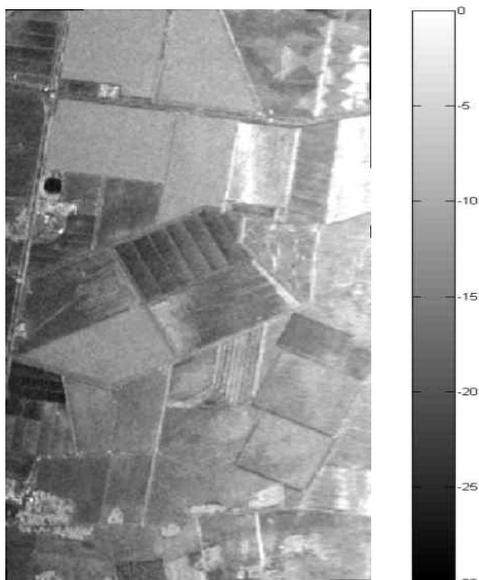
SMAPEX has utilized airborne radar and radiometer data to replicate the SMAP configuration in terms of frequency, viewing angle and resolution ratio. The main instruments flown are the Polarimetric L-band Multibeam Radiometer ([PLMR](#)) and the Polarimetric L-band Imaging Scatterometer ([PLIS](#)), with additional thermal infrared radiometer and multispectral sensors for monitoring of vegetation properties and surface temperature. SMAPEX has been undertaken in the "Yanco" study area, a semi-arid agricultural area in the Murrumbidgee catchment, south-eastern Australia. The main flights include coverage of a 36km x 38km area, equivalent to a SMAP radiometer pixel, with a 2-3 days "revisit" time to simulate SMAP observations both in spatial and temporal resolution. These airborne observations were undertaken at approximately 3000m (AGL) altitude to provide radiometer and radar data and derived soil moisture content at respectively 11cm and 10-30cm. Ancillary flights

# Normalization to 40° for PLIS



# Normalization to 40° for PLIS

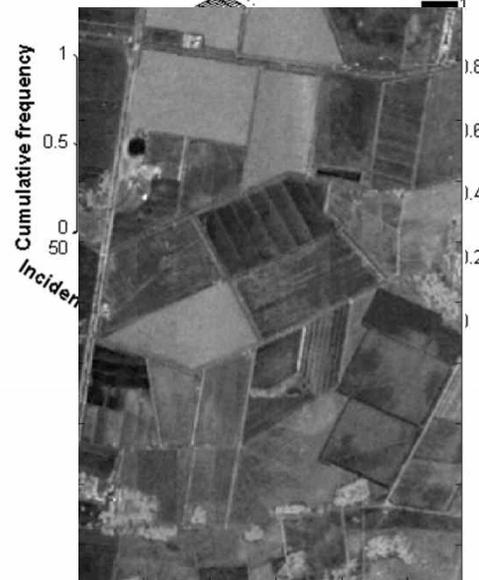
Original flight (HH-pol)



Normalized flight (HH-pol) CDF method



Reference (HH-pol)

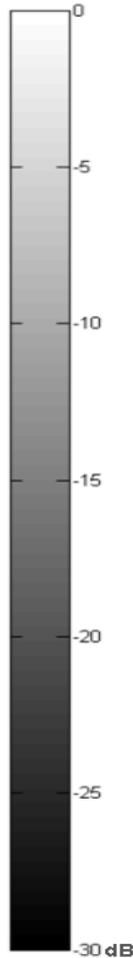


Note: The CDFs used in this study are from small sample sizes. Results may be improved by using a larger sample of data.

# Normalization to 40° for PLIS

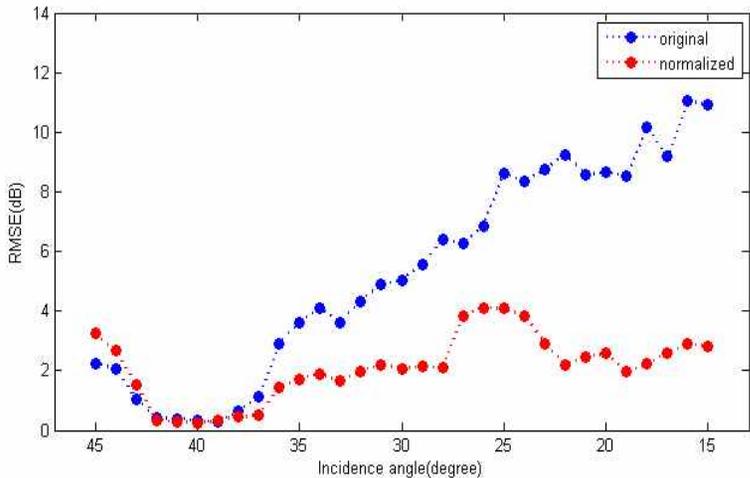
Normalized flight (HH-

10m p "

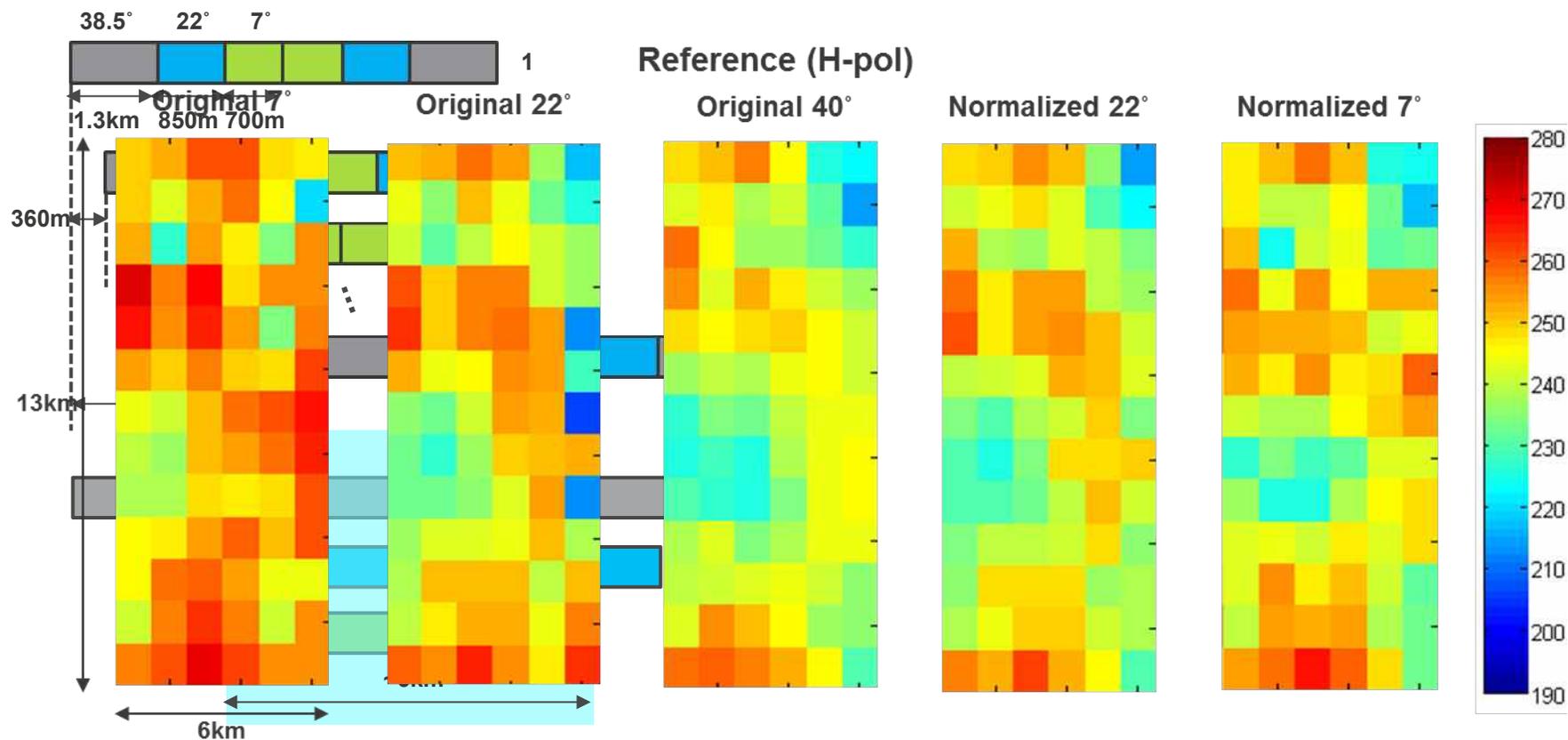


RMSE (dB)	10m
Original	5.7
Normalized	3.7

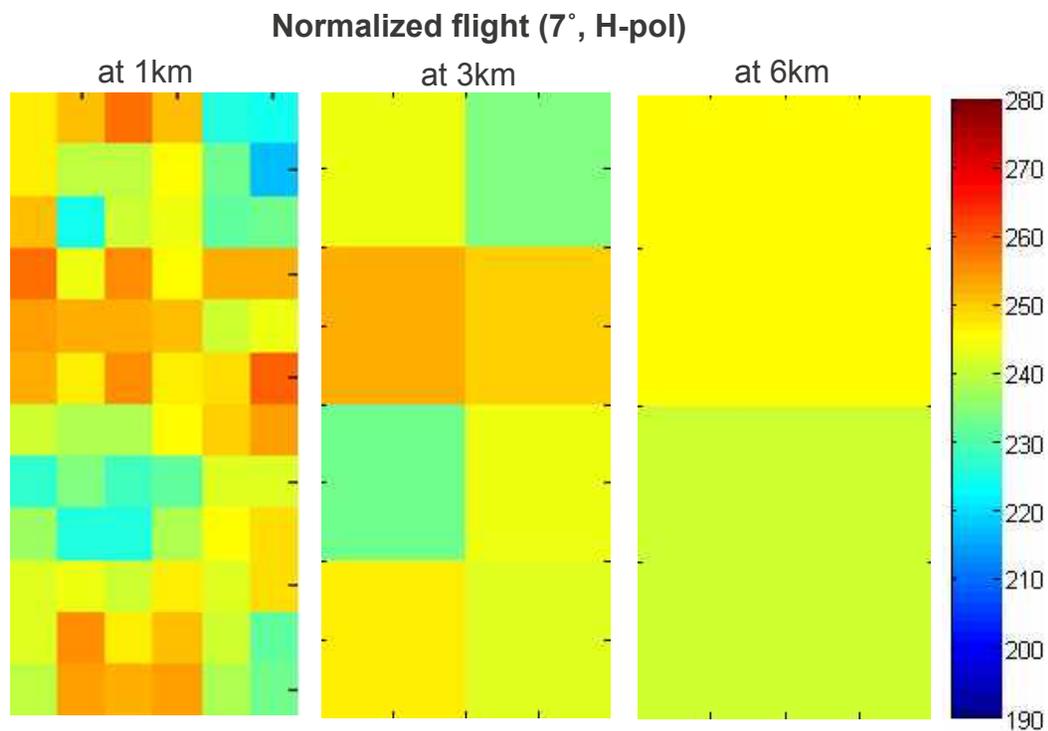
RMSE vs Angle (1° /



# Normalization to 40° for PLMR



# Normalization to 40° for PLMR



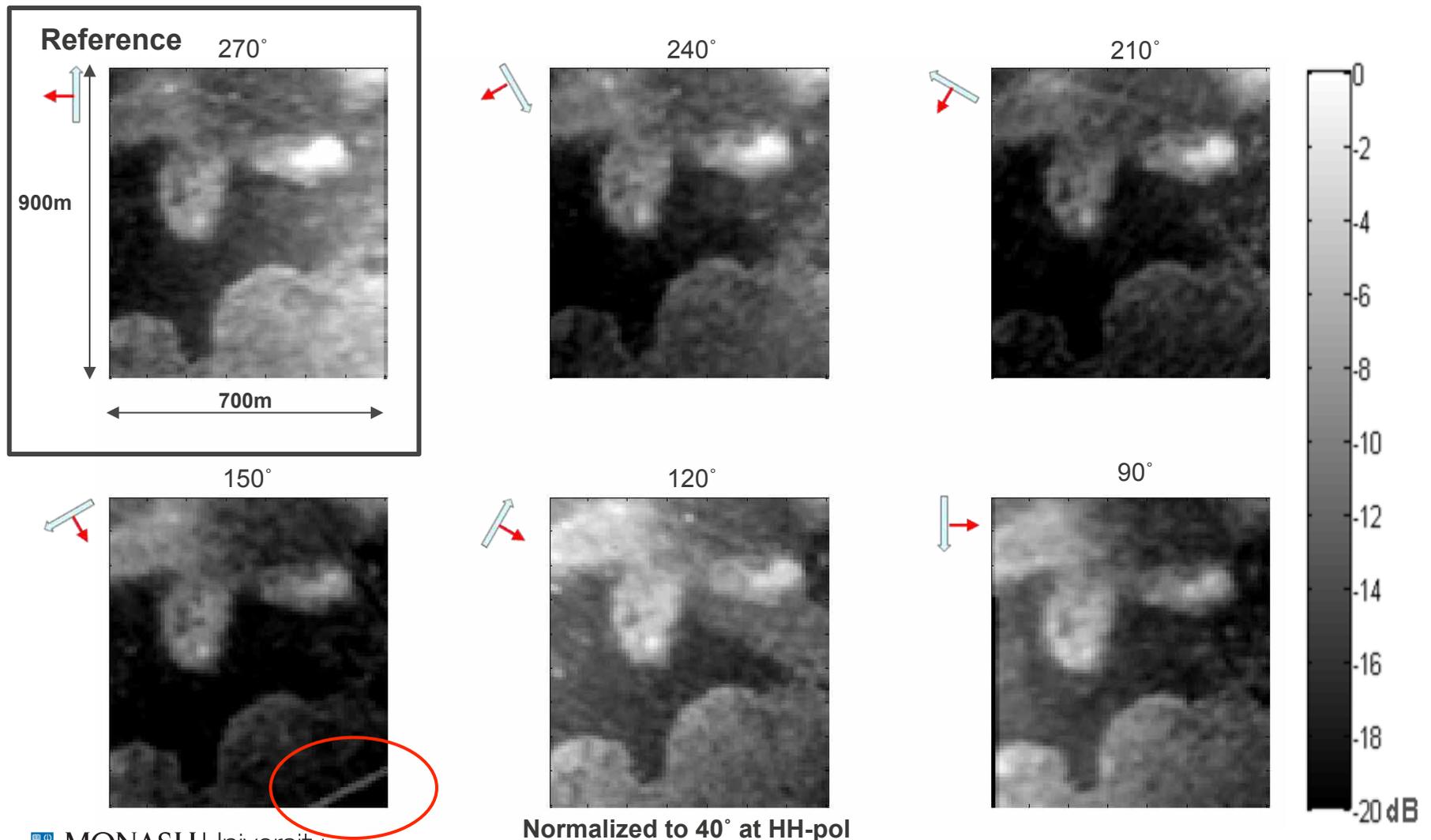
Normalized flight -- 7°

RMSE(K)	1km	3km	6km
Original	13.7	12.6	11.2
Normalized	7.4	5.7	3.0

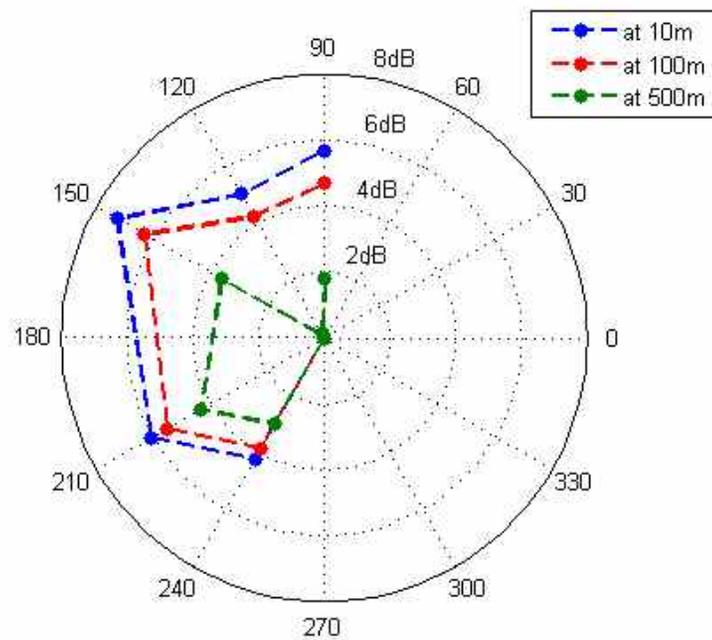
Normalized flight -- 22°

RMSE (K)	1km	3km	6km
Original	11.6	7.2	6.6
Normalized	6.7	4.0	2.9

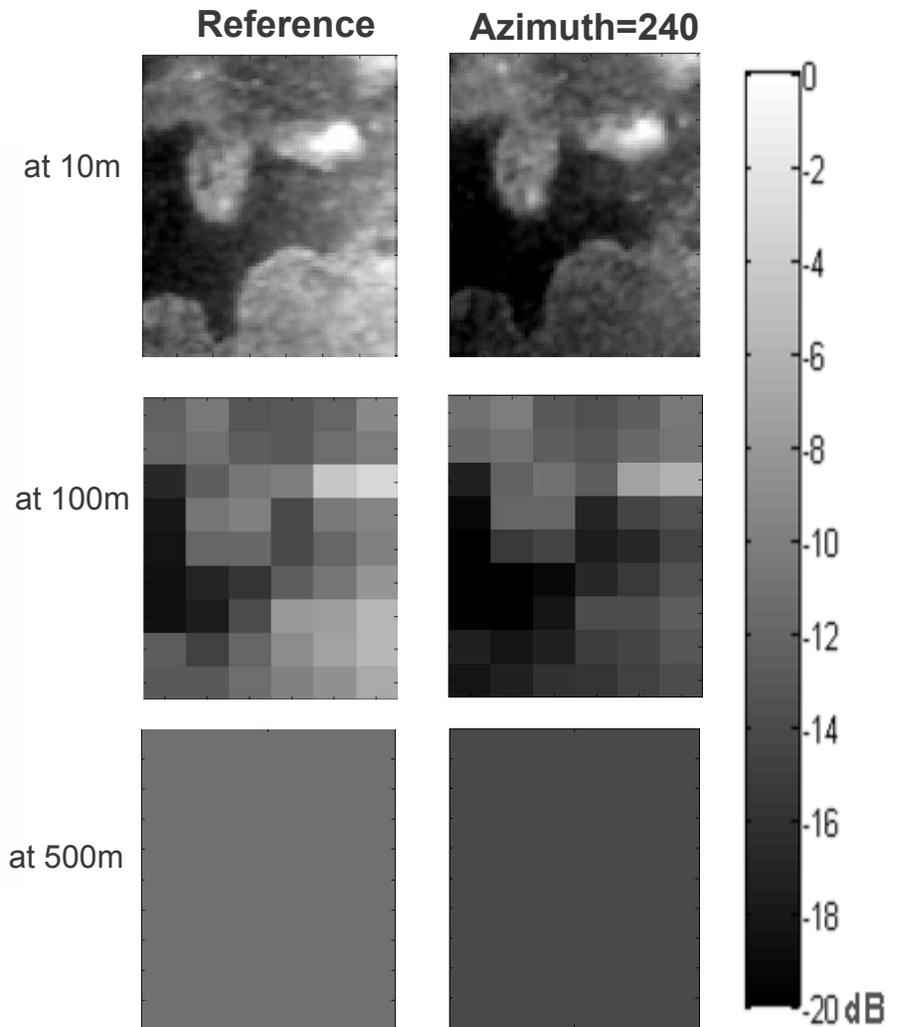
# Azimuth effect for PLIS



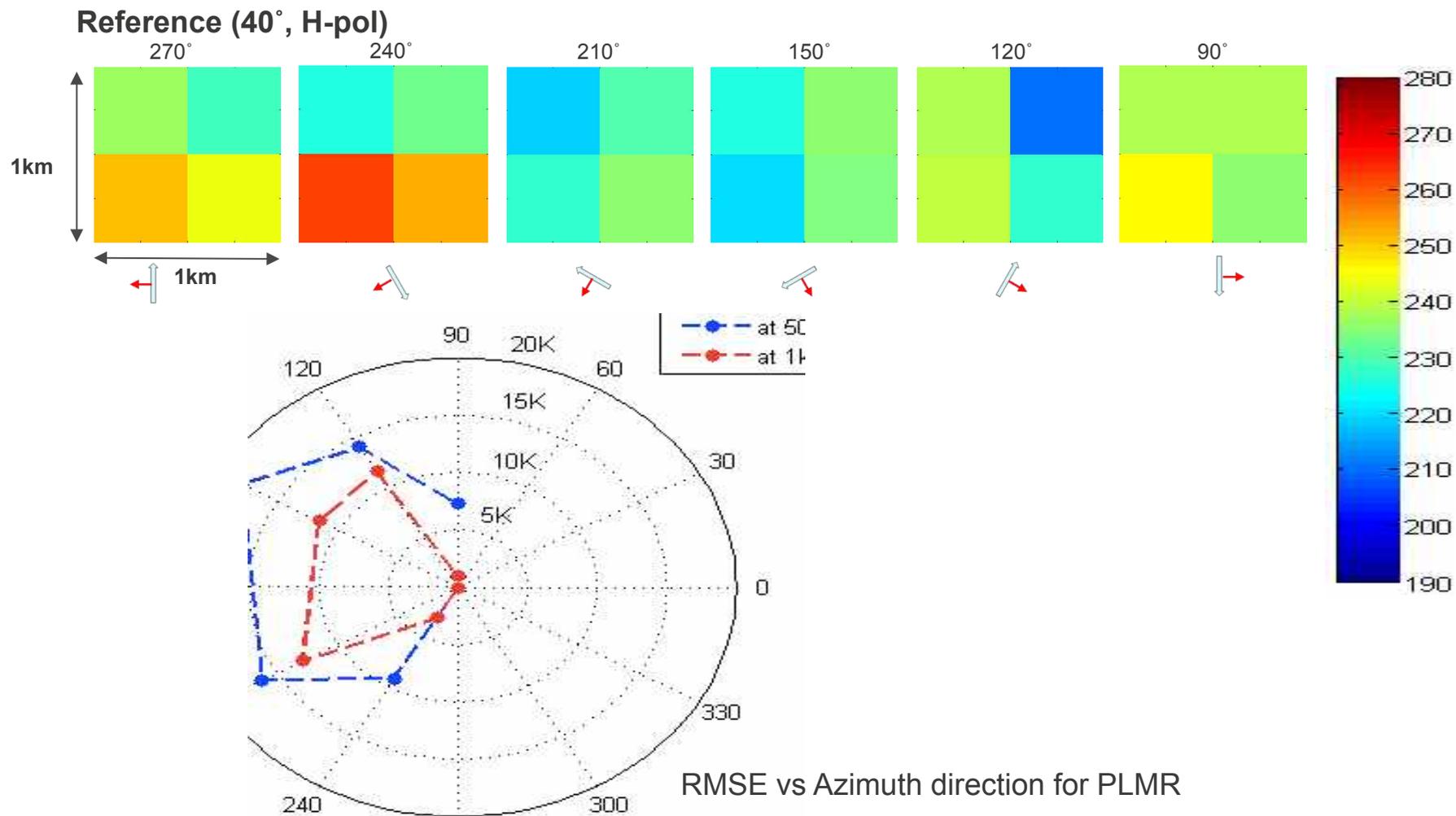
# Azimuth effect for PLIS



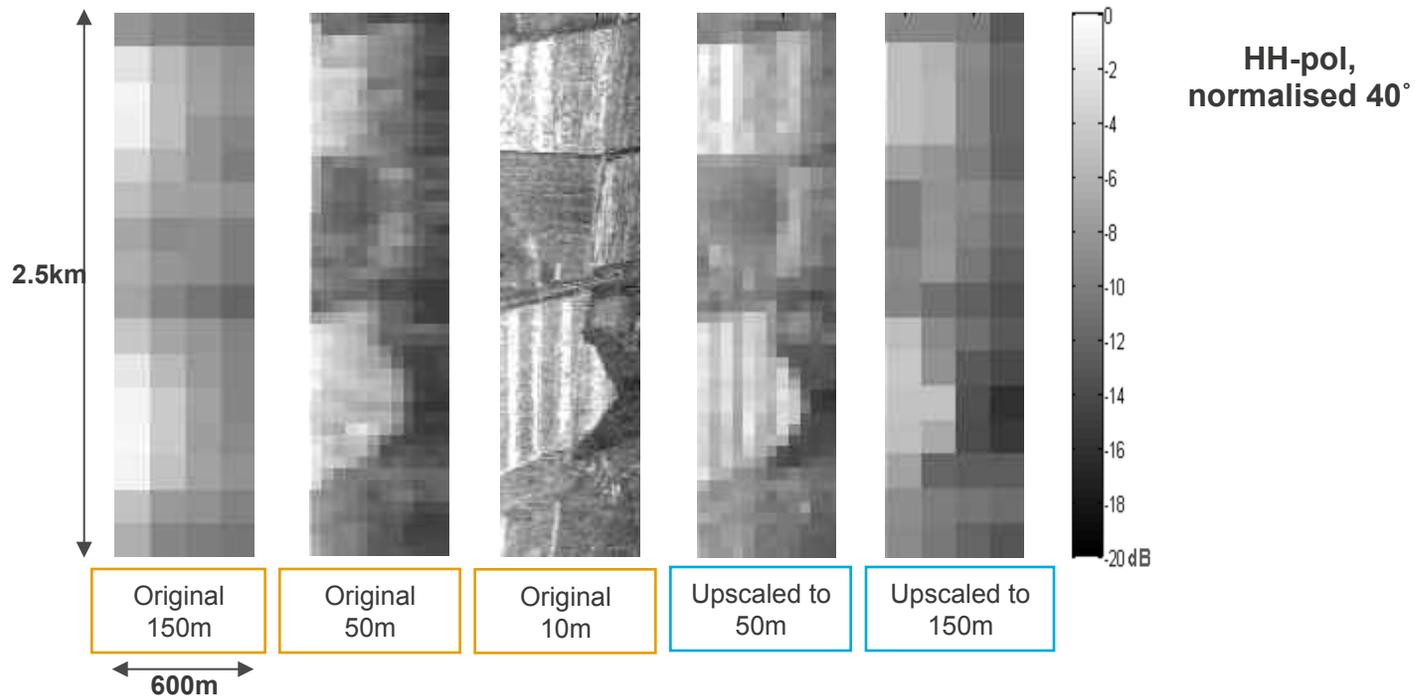
RMSE vs Azimuth direction for PLIS



# Azimuth effect for PLMR

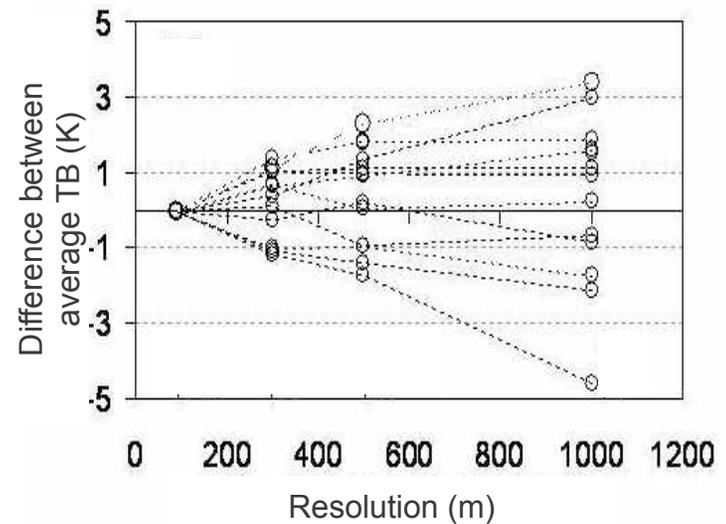
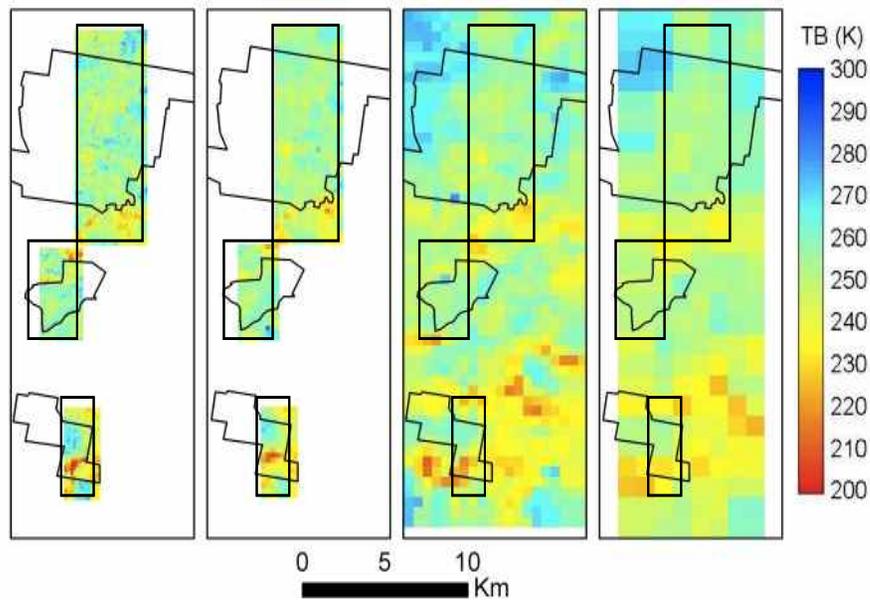


# Upscaling for PLIS



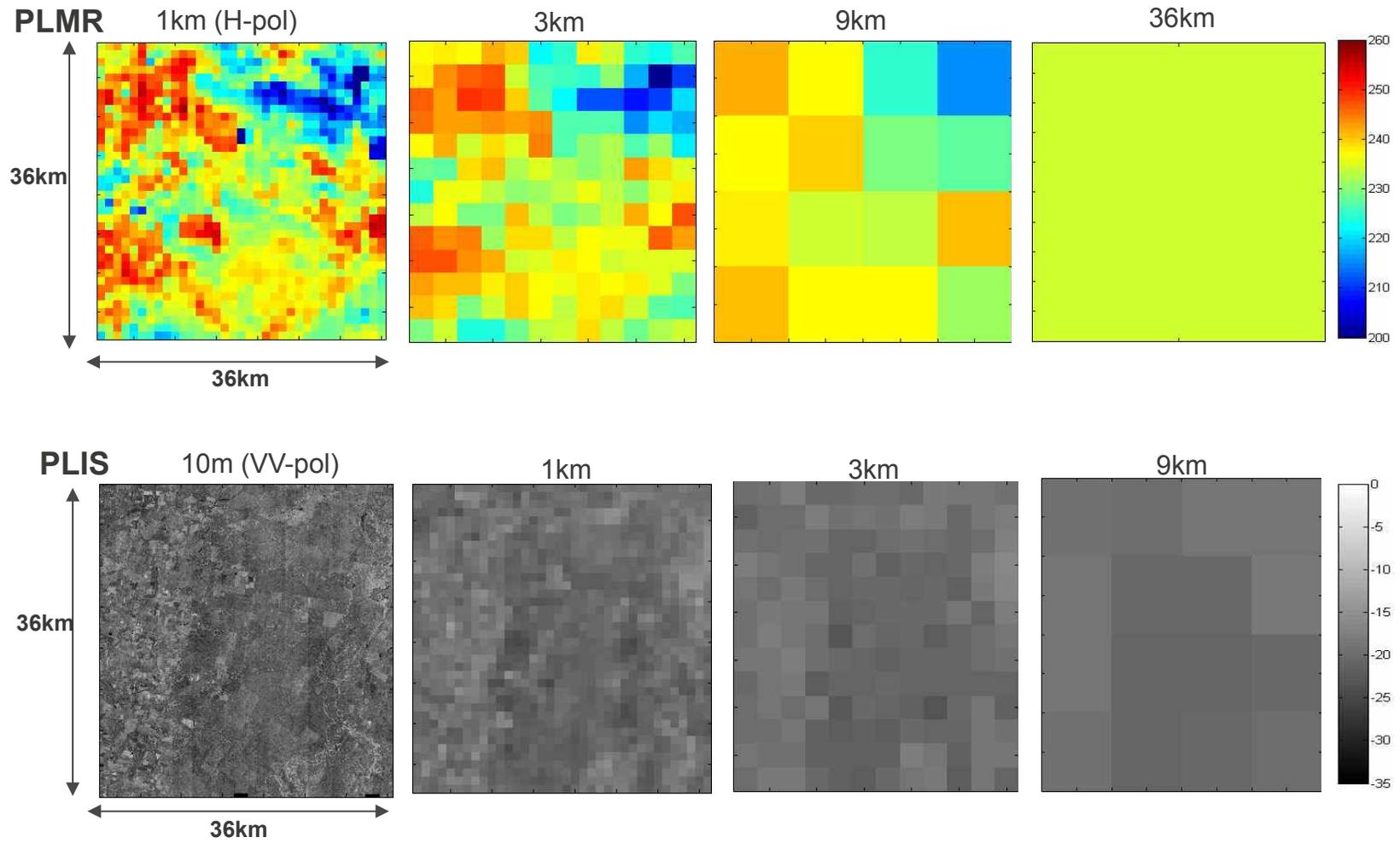
	50m	150m	
RMSE (dB) of upscaling	4.0	2.1	
	10m	100m	500m
RMSE (dB) of normalisation	3.7	2.0	1.8

# Upscaling for PLMR



Panciera, Walker et al. (2009), RSE

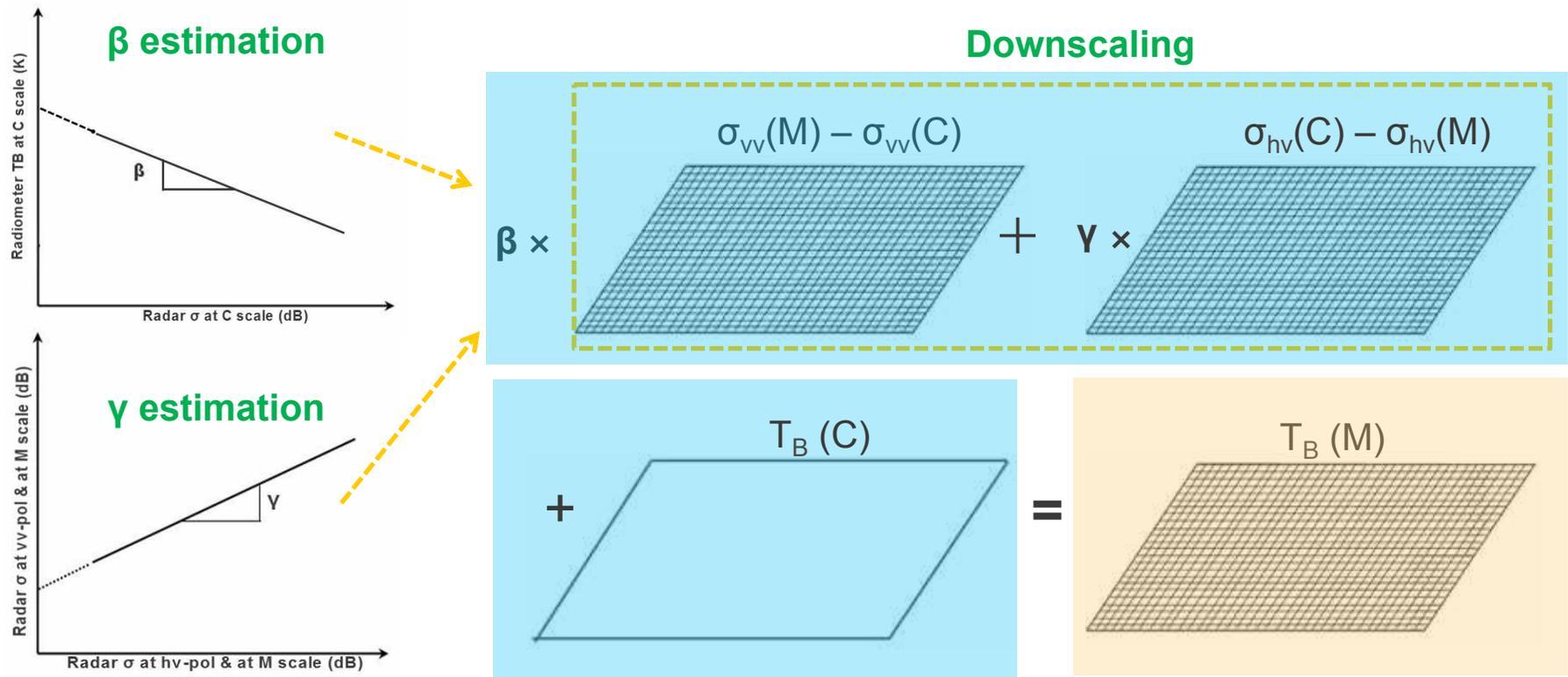
# Example of simulated data



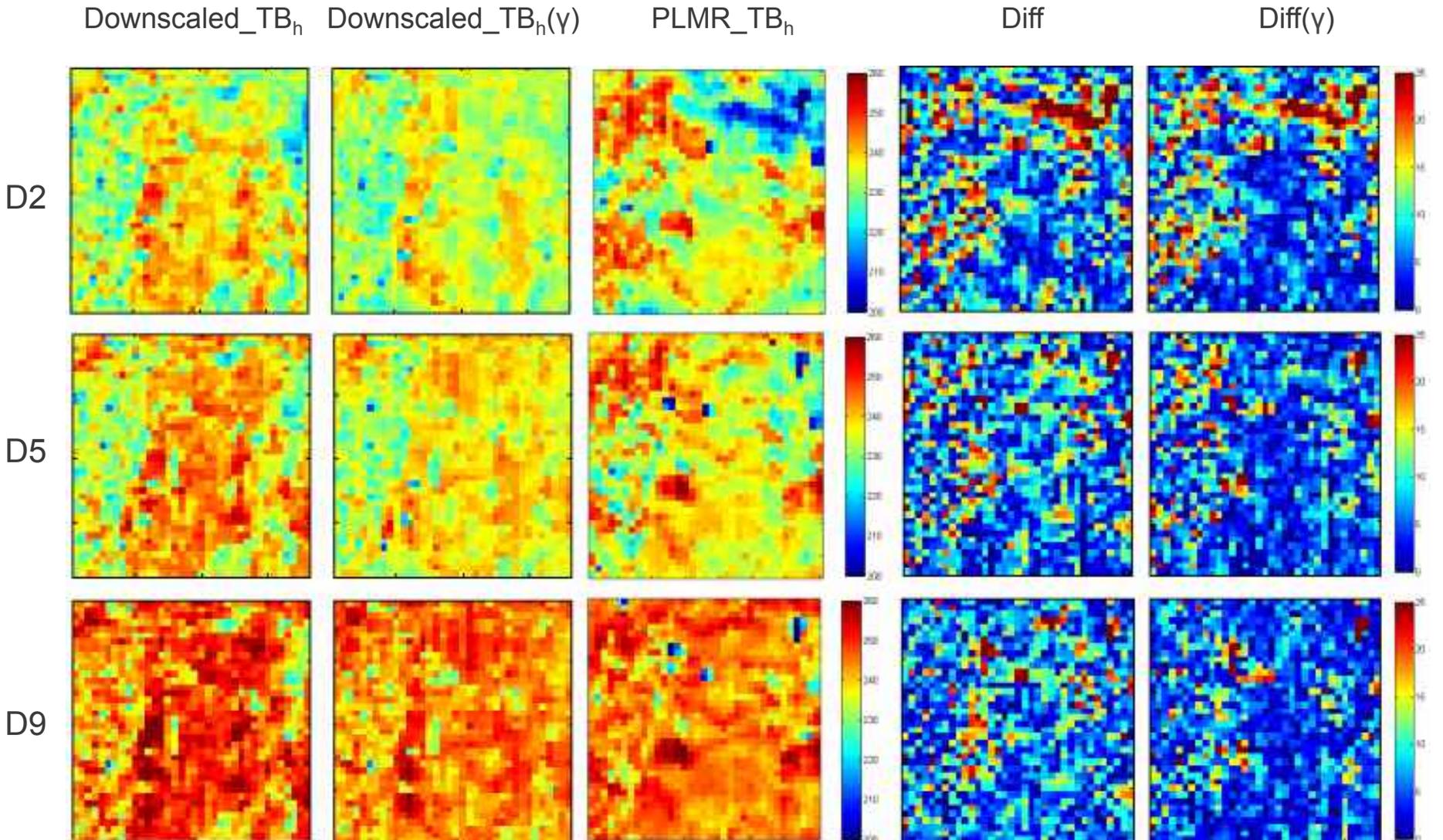
(Data collected on 7<sup>th</sup> Sept. 2011)

# Baseline downscaling algorithm for SMAP

- Near-linear relationship between Radar backscatter and Brightness Temperature (ATBD, algorithm for SMAP mission)



See Poster by Wu et al. tomorrow

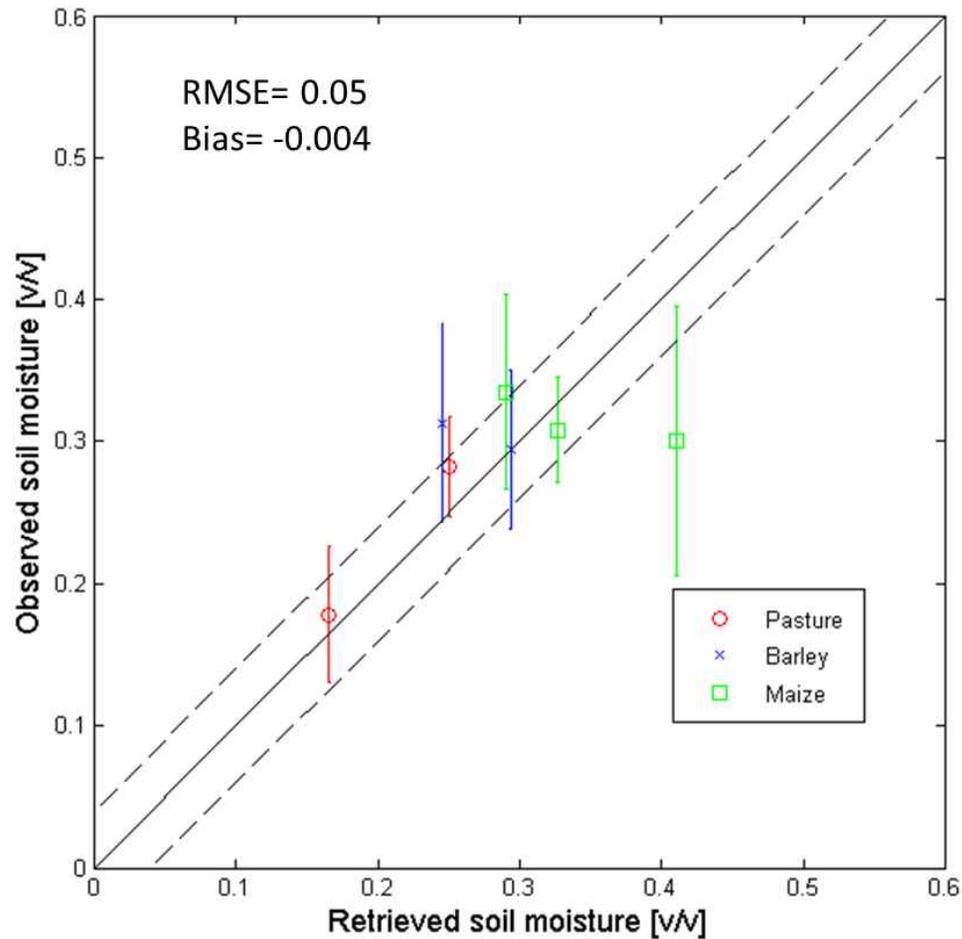


# Active-passive downscaling results

	D2		D5		D9		Average	
Pol.	H	V	H	V	H	V	H	V
1km	12.2	9.6	10.8	8.3	10.3	7.8	11.1	8.5
	11.4	9.4	9.6	8.0	8.6	7.2	9.8	8.2
3km	9.4	7.4	7.1	5.3	6.4	4.5	7.6	5.7
	9.0	7.3	6.5	5.2	5.2	4.3	6.9	5.6
9km	6.7	5.8	4.3	3.3	3.5	2.3	4.8	3.8
	7.1	6.3	4.0	3.3	2.5	1.9	4.5	3.8

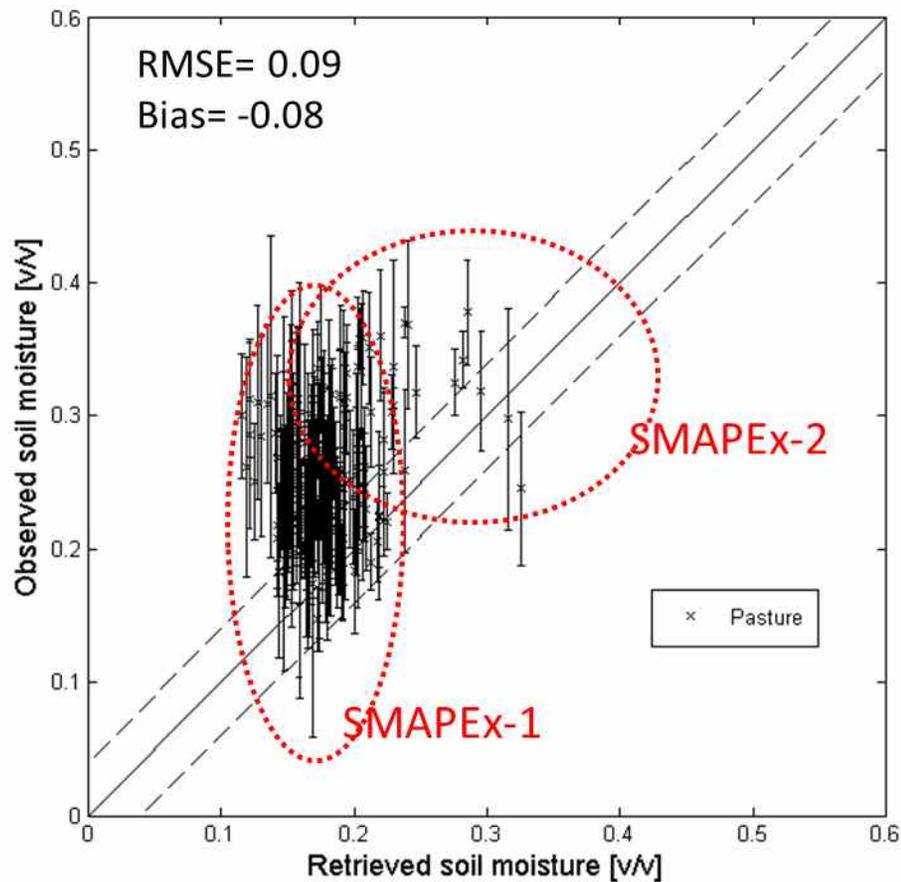
- Results on V-pol are better than H-pol
- Improvement by including vegetation conditions

# Passive microwave soil moisture retrieval

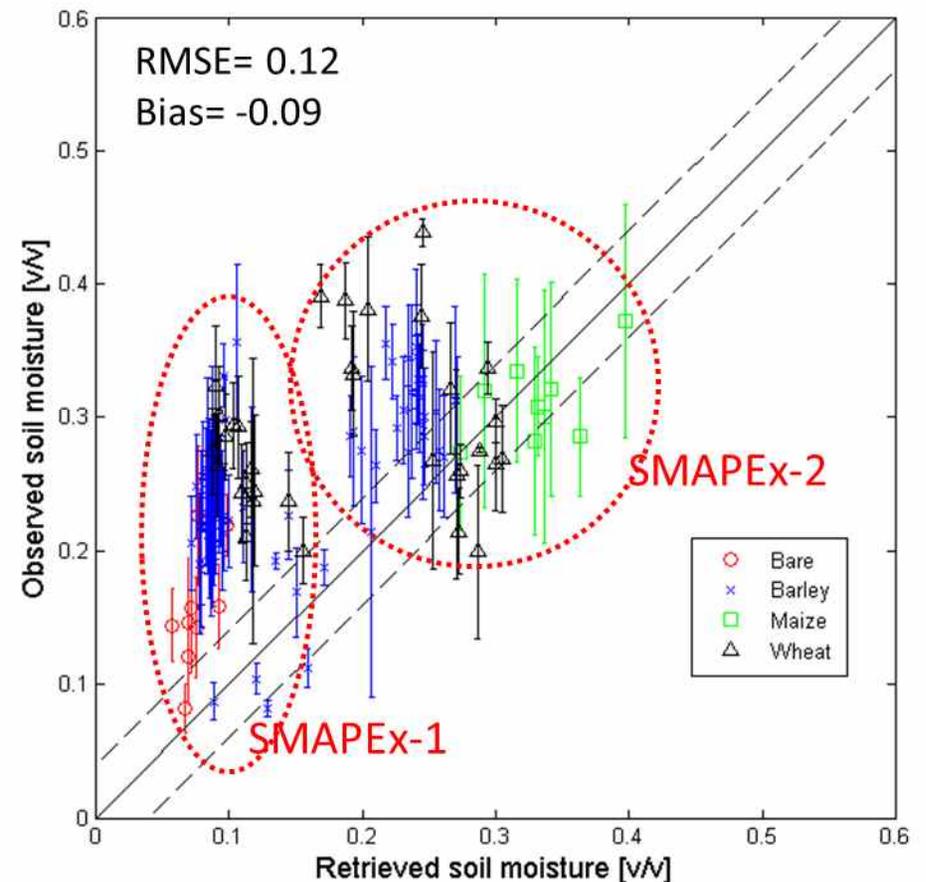


- SMAPE<sub>x</sub>-1 and SMAPE<sub>x</sub>-2 target pixels (100m)
- Retrieval using default model parameters
- Pixels with VWC sampled at exactly the same location

# Passive microwave soil moisture retrieval



**Pasture**

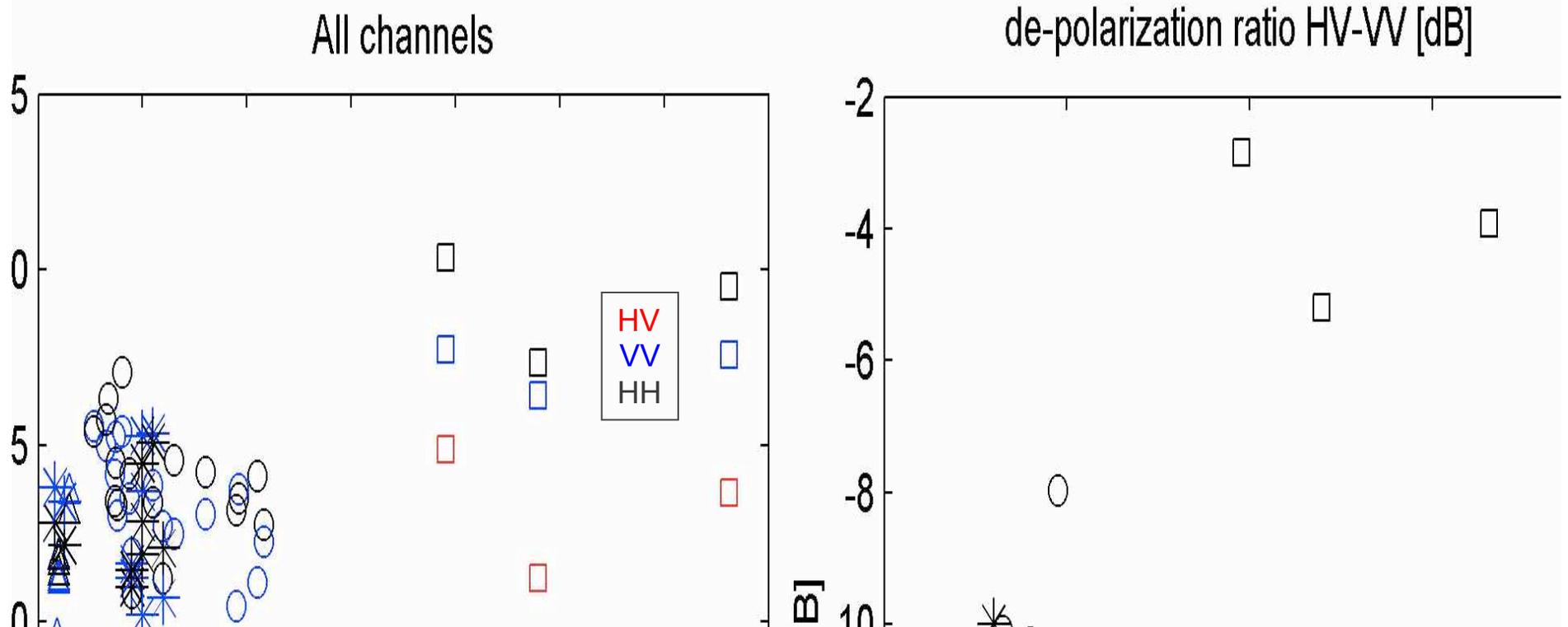


**Croplands**

*All pixels, with averaged  
VWC for each type of  
land cover*

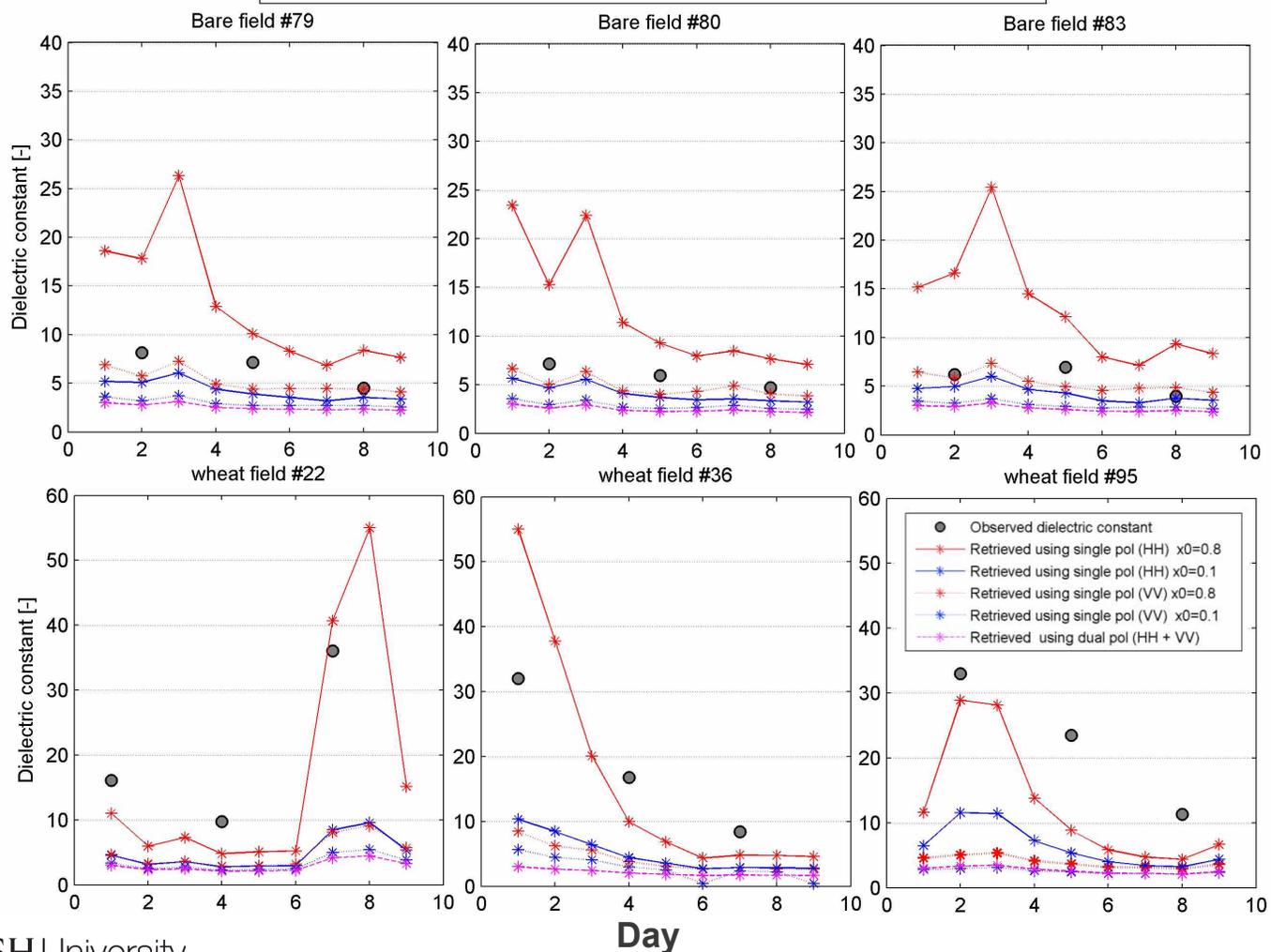
# Active microwave VWC retrieval

## Vegetation Water Content and Depolarization - SMAPEX-3



# Active microwave soil moisture retrieval

Alfa approximation change detection testing - SMAPE<sub>x3</sub>



# Future work

- Try and eliminate any angle normalisation contributions to the azimuth and scaling results and assess georegistration contributions
- Undertake soil moisture retrievals from 1km PLMR (passive only), validated with higher resolution PLMR data and ground observations, for evaluation of SMAP soil moisture retrieval algorithms based on simulated SMAP data
- Testing of SMAP default radiometer parameters
- Testing of SMAP radar baseline algorithms
- Testing of alternate active-passive downscaling algorithms; there is about 4K downscaling error in the current baseline algorithm





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