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Impact of SMAP data in ECCC's numerical predictions

Marco L. Carrera¹, Bernard Bilodeau¹, Maria Abrahamowicz¹, Stephane Bélair¹, Albert Russell¹, and Xihong Wang²

¹ Meteorological Research Division, Environment and Climate Change Canada

² Meteorological Service of Canada, Environment and Climate Change Canada

**SMAP-Canada Workshop – 2017
University of Guelph, Ontario, Canada
16-17 May 2017**

Soil Moisture and Numerical Weather Prediction (NWP)

- Accurate initialization of the soil moisture state has been shown to be important for skillful weather and climate prediction.
 - Depth and stability of atmospheric boundary layer
 - Controls on evaporation in the pre-squall environment associated with intense convection
 - Influences air quality and the dispersion of pollutants
- At several meteorological centres, soil moisture is inferred from short-range NWP forecast errors in screen-level temperature and humidity, so-called "pseudo-analysis" of soil moisture.
- Increasing applications in hydrology, flood forecasting and agricultural and drought monitoring have placed more emphasis on accurate estimates of soil moisture.
- Gap in soil moisture observations is being alleviated by Soil Moisture Ocean Salinity (SMOS) and Soil Moisture Active Passive (SMAP), two separate satellite missions dedicated to the measurement of L-band microwave emission.



Objectives

- Quantitatively assess the impacts of assimilating SMAP brightness temperatures (Tbs) upon the estimation of the soil moisture state and the subsequent NWP forecasts with Environment Canada's Regional Deterministic Prediction System (RDPS).
- NWP verification will focus upon :
 - (i) upper-level variables with measurements from the radiosonde network over North America;
 - (ii) the SYNOP and METAR surface networks will be used to quantify skill improvements in surface temperature, dew-point temperature and precipitation.

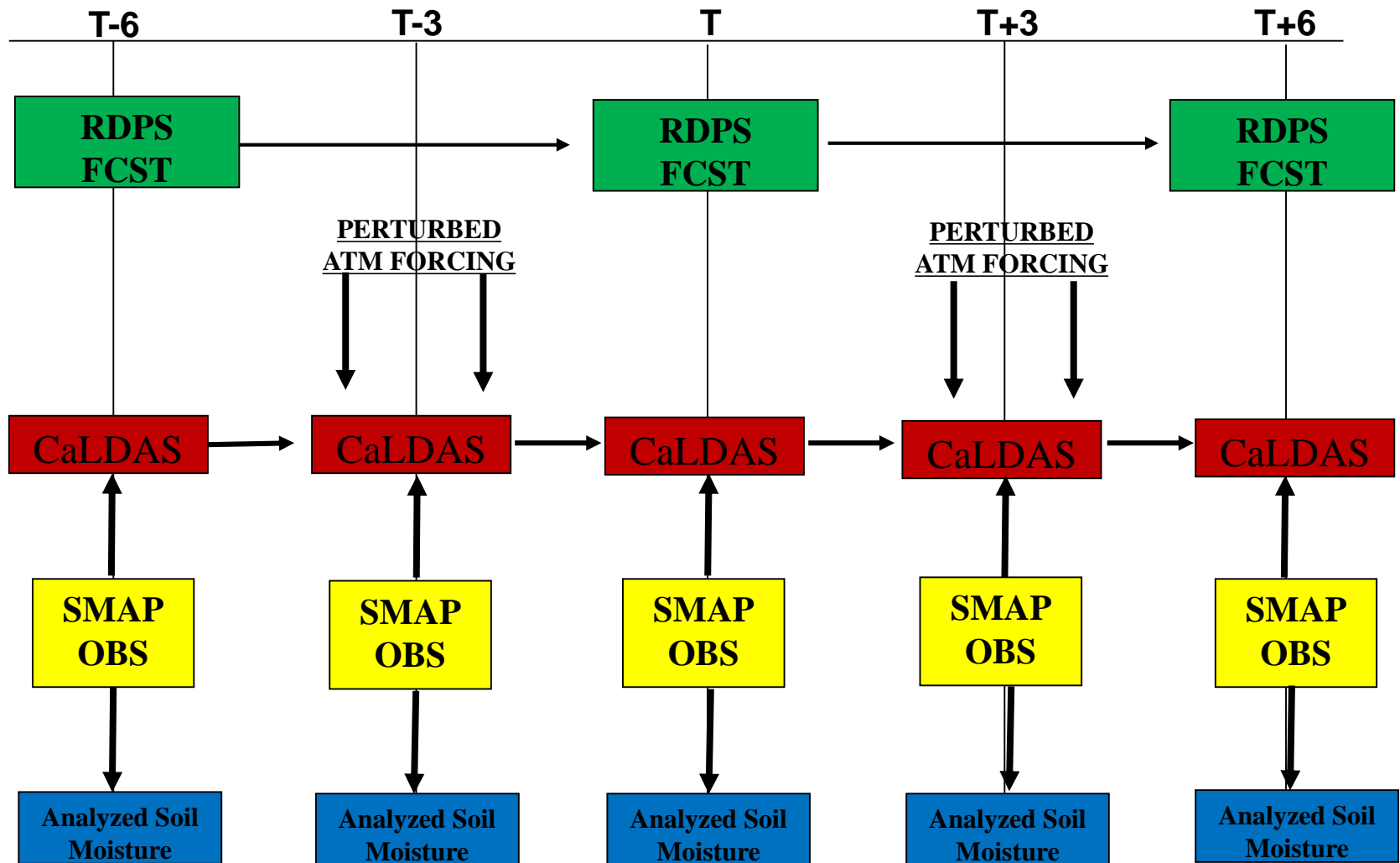


Canadian Land Data Assimilation System (CaLDAS)

- Based upon an externalized (off-line) land-surface modeling capability, currently the Canadian implementation of ISBA.
- Uses the Ensemble Kalman Filter (EnKF) methodology.
- CaLDAS is currently run operationally to provide initial soil and snow characteristics to the High-Resolution Deterministic Prediction System.
- CaLDAS has been configured to assimilate passive L-band Tbs using the Community Microwave Emission Modeling (CMEM) Platform (Carrera et al. 2015).



CaLDAS-SMAP Experimental Setup



Experiment Parameters

Strategy : The current operational assimilation, CaLDAS-Screen soil moisture will be compared with various configurations of the Canadian Land Data Assimilation System (CaLDAS) setup to assimilate the SMAP TBs.

- **Time Period** : July – August 2015.
- **NWP System** : Regional Deterministic Prediction System (RDPS) with a grid spacing of 10 km covering North America.
- **SMAP TBs** : SMAP Level 1B Radiometer Half-Orbit Time-Ordered Brightness Temperatures (Version 3).
- **Soil Moisture Analyses**: Quantitative verification of soil moisture analyses produced using AGDMN, SCAN and USCRN networks.
- **NWP Forecasts**: Impacts upon near-surface parameters from a series of 48-h forecasts with the GEM model issued with soil moistures from the various soil moisture analyses.



Description of Assimilation Experiments

July – August 2015

Experiment	Assimilation Methodology	Observations Assimilated	Analyzed Variables	Temporal Frequency	STATUS	LABEL
OI-Operational	Sequential Assimilation	T_{2m} , RH_{2m}	T_s , T_2 w_g , w_2	1 / day	OPERATIONAL RDPS (10 km)	OPER
CaLDAS-Screen	EnKF (24)	T_{2m} , TD_{2m}	w_2 , T_2	3 hours	HRDPS (2.5 km)	SCREEN
CaLDAS-SMAP-BC	EnKF (24)	TBH (SMAP) + T_{2m} , TD_{2m}	w_g , w_2 , T_2	3 hours	Development	SMAP - BC
CaLDAS-SMAP-no BC	EnKF (24)	TBH (SMAP) + T_{2m} , TD_{2m}	w_g , w_2 , T_2	3 hours	Development	SMAP - no BC
CaLDAS-SMAP-no BC - SVS	EnKF (24)	TBH (SMAP) + T_{2m} , TD_{2m}	w_{1-4} , TG_{1-2} , TVG_{1-2}	3 hours	Development	SMAP - no BC - SVS



Soil Moisture Verification Sparse Networks



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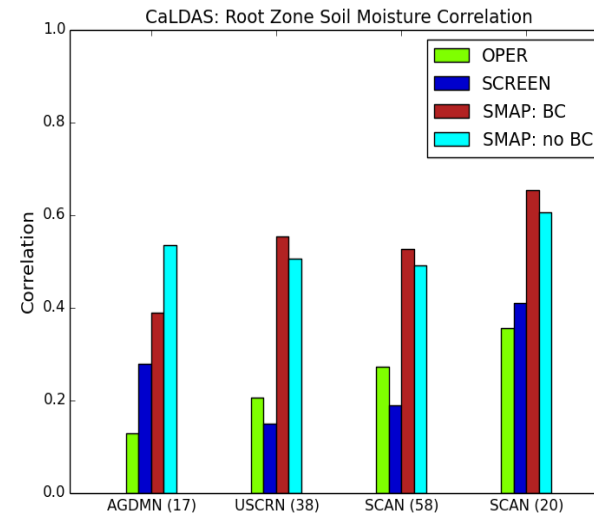
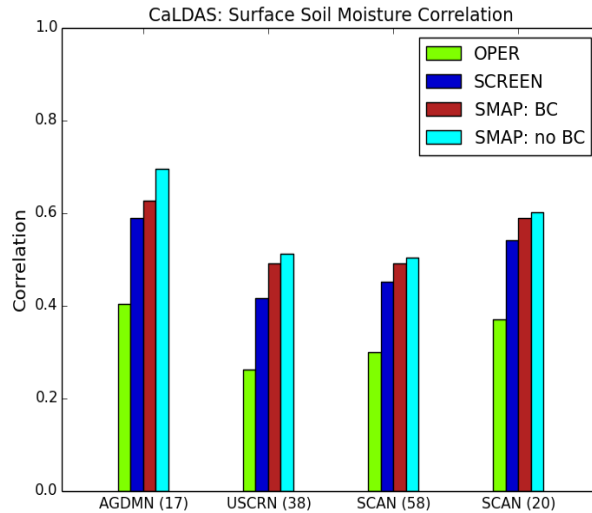
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Sparse Network Soil Moisture Verification

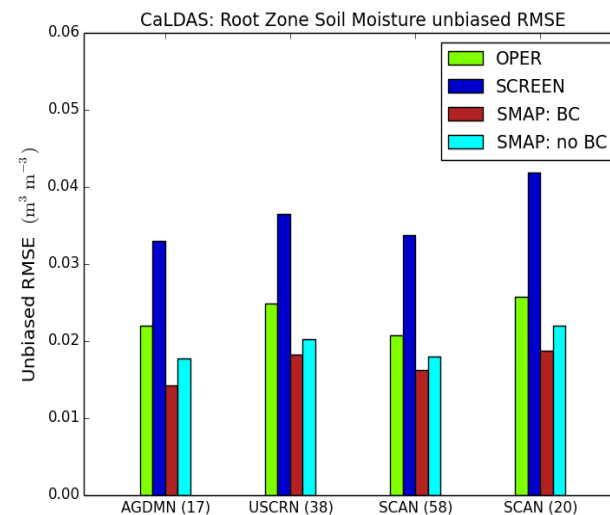
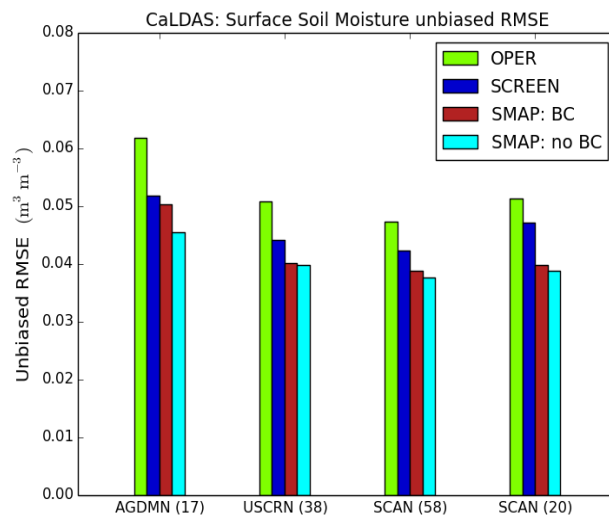
July – August 2015

Correlation



Superficial
Soil Moisture
 w_g

STDE

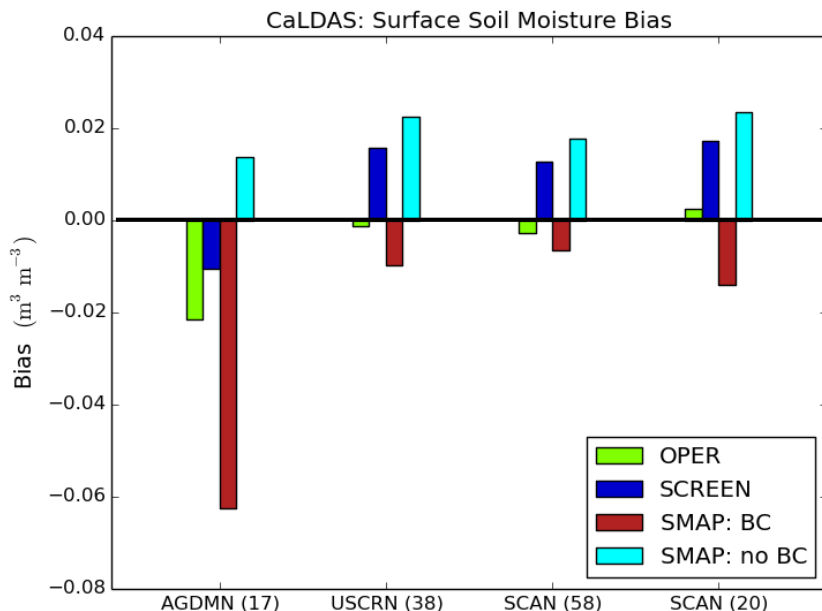


Root Zone Soil
Moisture
 w_2



Sparse Network Soil Moisture Verification : Bias

July – August 2015



Wet

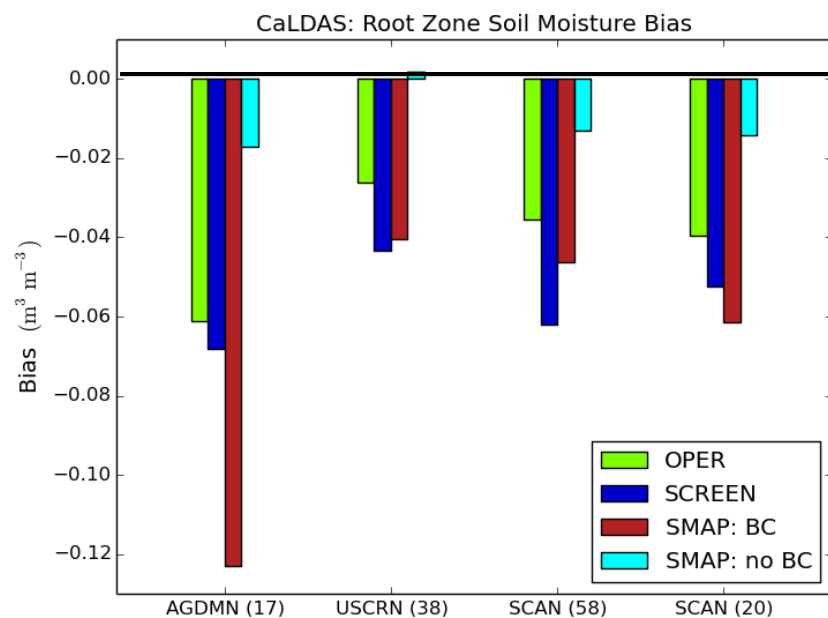
Superficial
Soil Moisture

w_g

Dry

Root Zone Soil
Moisture

w_2



Wet

Dry



Numerical Weather Prediction Scores

- Series of 48-h integrations with the GEM (Global Environmental Multiscale) NWP model initialized at 0000 UTC for July-August 2015.
- Upper-air radiosonde verification scores.
- Surface verification scores: TT_{2m} and TD_{2m} along with precipitation.

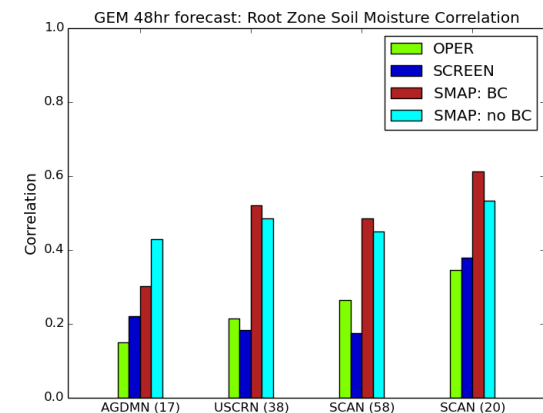
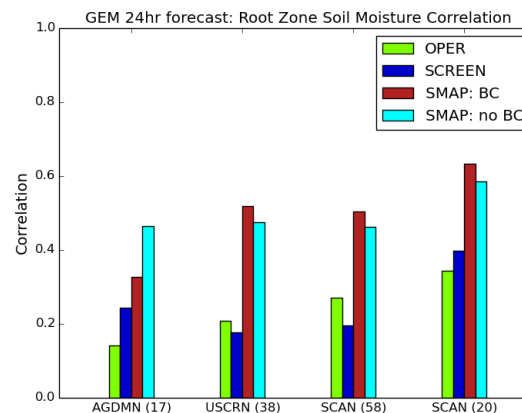
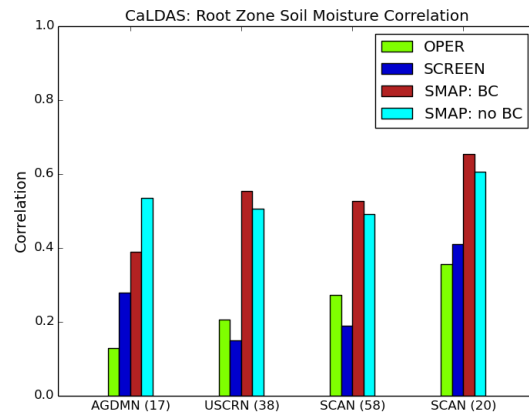
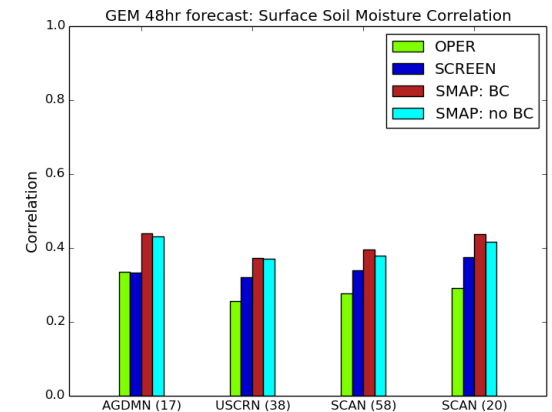
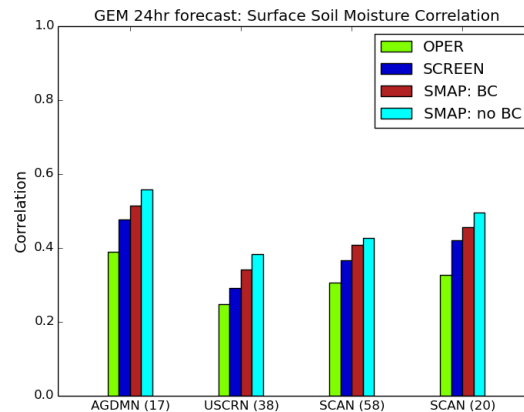
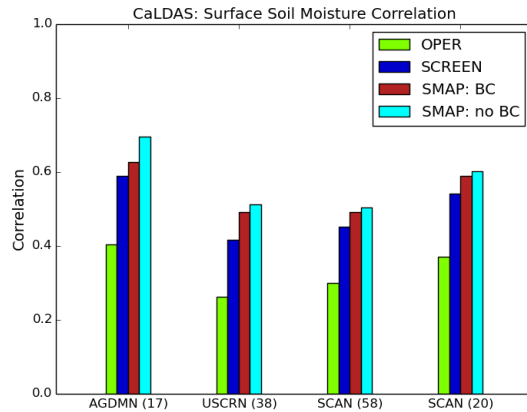


Soil Moisture Forecast Verification : Sparse Network

Correlation : July – August 2015

24h

48h



CaLDAS-Screen (T_{2m} , TD_{2m} , 3hrs; w_2 , T_2) vs

SMAP – BC (T_{2m} , TD_{2m} , TBH(SMAP), 3hrs; w_g , w_2 , T_2)

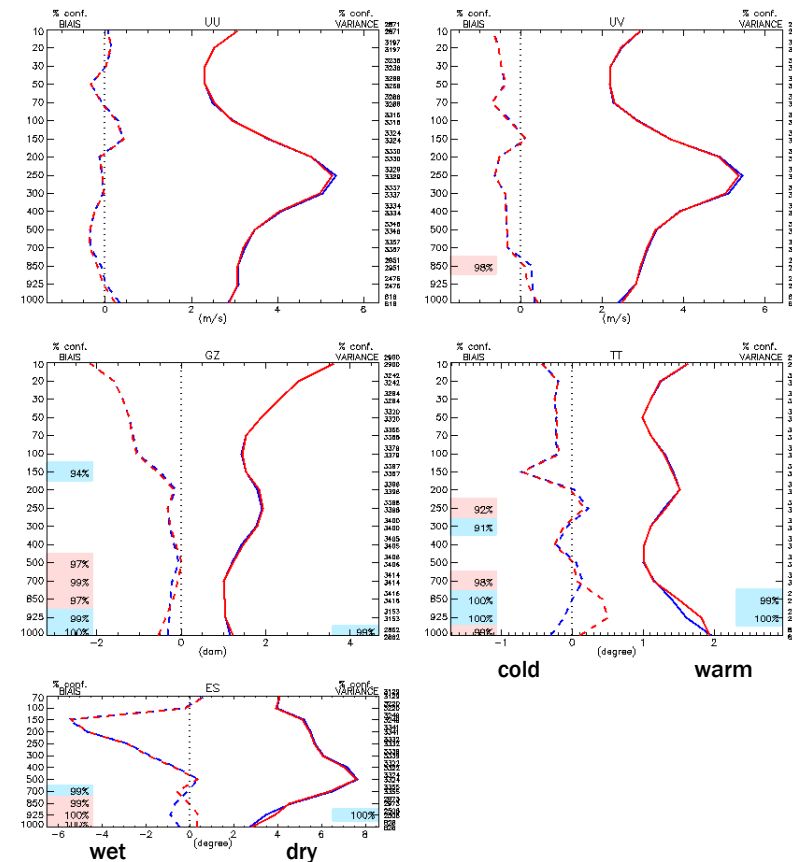
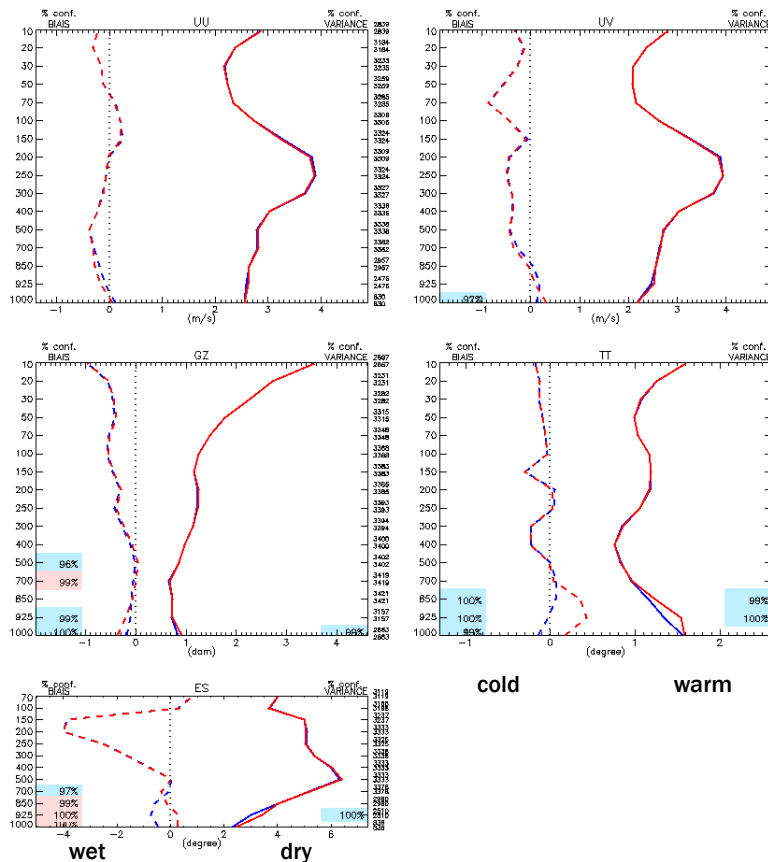


CaLDAS-Screen vs CaLDAS-SMAP - BC

Greater North America :Forecast - Observation

24h

48h



Type : 0-P 24 hr
 Region : Amérique du Nord plus
 Lat-lon : (26N, 179W) (86N, 40W)
 Stat. inversee

Legend:
 - E-T m.us (150701-150830).046.co.uk.us.r/teplene.us.r/teplene (31)
 - BWS m.us (150701-150830).046.co.uk.us.r/teplene.us.r/teplene
 - E-T m.us (150701-150830).046.co.uk.us.r/teplene.us.r/teplene (31)
 - BWS m.us (150701-150830).046.co.uk.us.r/teplene.us.r/teplene

Type : 0-P 48 hr
 Region : Amérique du Nord plus
 Lat-lon : (26N, 179W) (86N, 40W)
 Stat. inversee

Legend:
 - E-T m.us (150701-150830).046.co.uk.us.r/teplene.us.r/teplene (31)
 - BWS m.us (150701-150830).046.co.uk.us.r/teplene.us.r/teplene
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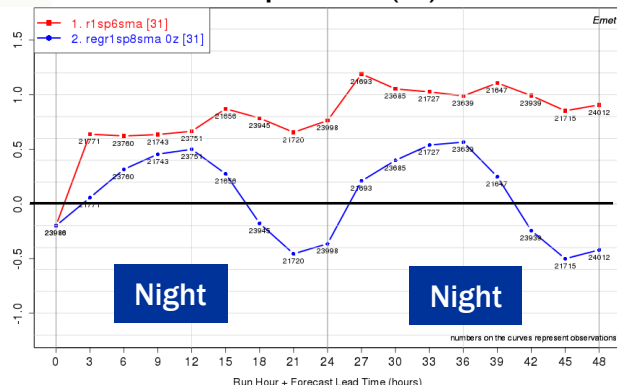
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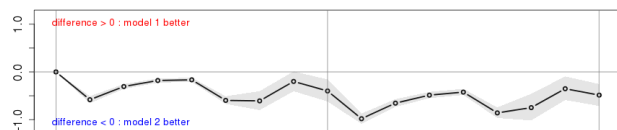
CaLDAS-SCREEN vs CaLDAS-SMAP-BC

Temperature Biases (F - O) : July - August 2015, 00Z Runs

Temperature (°C)



Forecast Hour



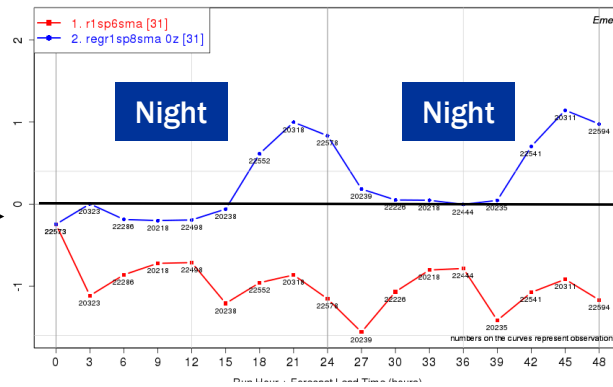
CaLDAS-SCREEN
CaLDAS-SMAP-BC

warmer

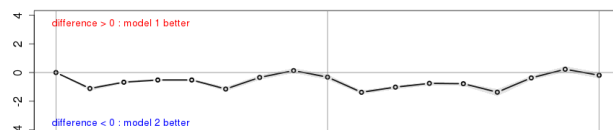
← Canada →

colder

Dew-Point Temperature (°C)



Forecast Hour

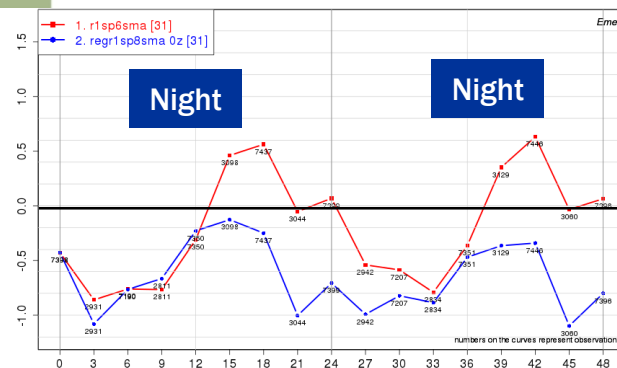


wetter

drier

Night

Night



Forecast Hour



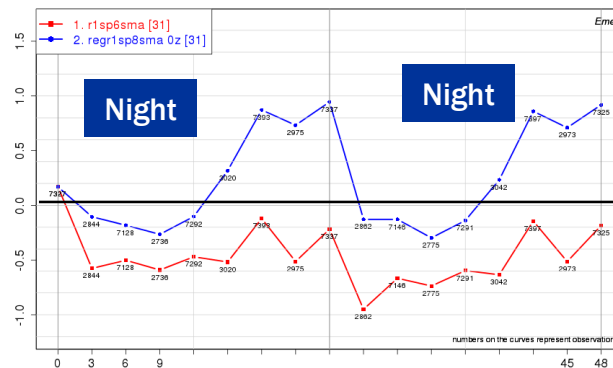
warmer

← USA →

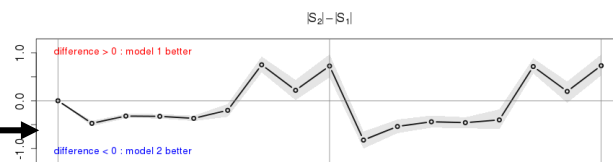
colder

Night

Night



Forecast Hour



wetter

drier

90% confidence interval
based upon block
bootstrapping

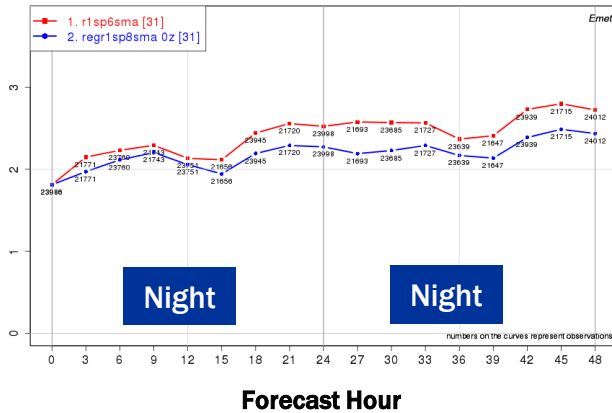
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CaLDAS-SCREEN vs CaLDAS-SMAP-BC

Temperature STDE : July - August 2015, 00Z Runs

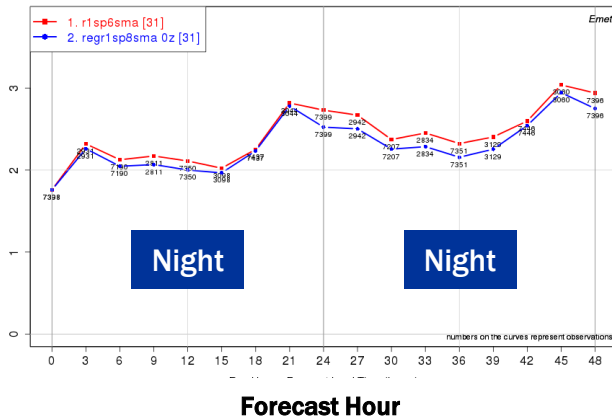
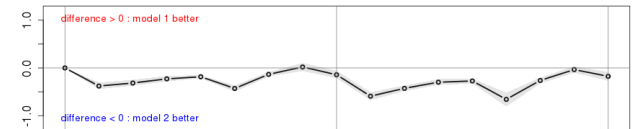
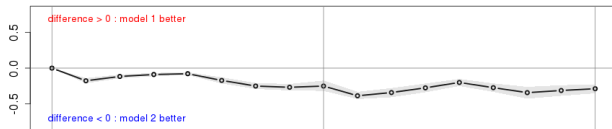
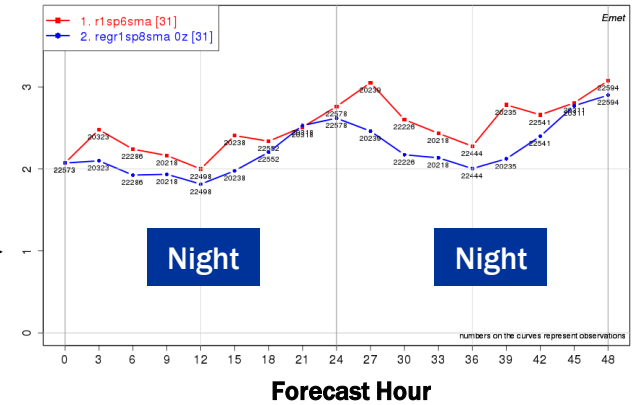
Temperature (°C)



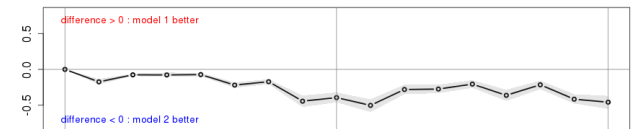
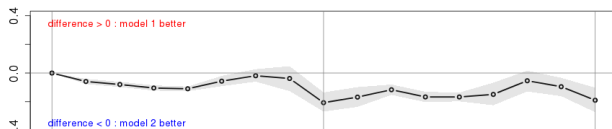
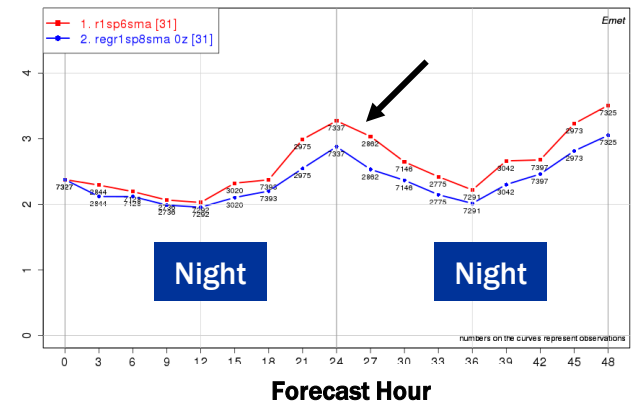
CaLDAS-SCREEN
CaLDAS-SMAP-BC

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Dew-Point Temperature (°C)



USA



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CaLDAS-Screen (T_{2m} , TD_{2m} , 3hrs; w_2 , T_2) vs

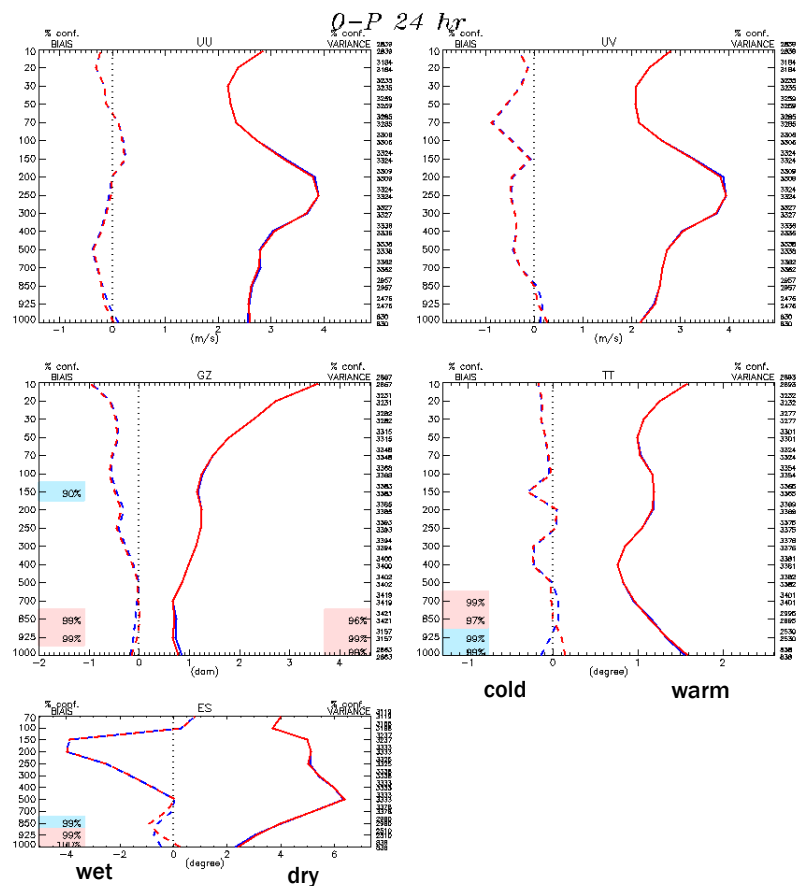
SMAP – no BC (T_{2m} , TD_{2m} , TBH(SMAP), 3hrs; w_g , w_2 , T_2)



CaLDAS-Screen vs CaLDAS-SMAP – no BC

Greater North America :Forecast - Observation

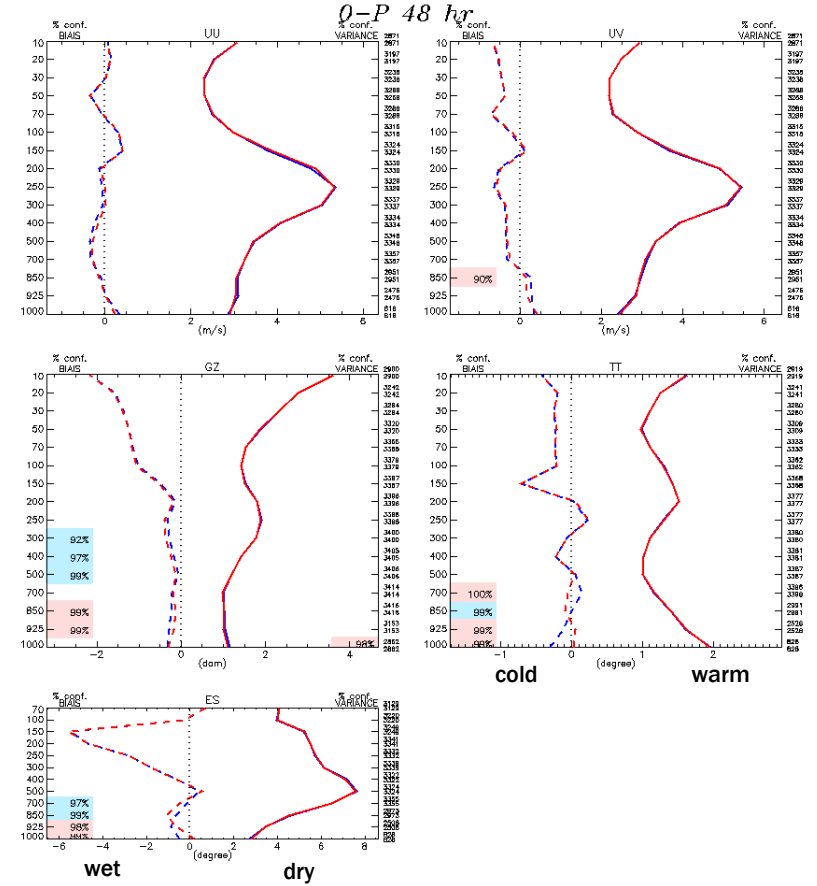
24h



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 E-T m.us15070100_15083000_046.atloc.us.rpt4eme.us2.us.rpt4eme (31)
 BWS m.us15070100_15083000_046.atloc.us.rpt4eme.us2.us.rpt4eme

Type : 0-P 24 hr
 Region : Amerique du Nord plus
 Lat-lon : (26N, 170W) (65N, 40W)
 Stat. inversee

48h



E-T m.us15070100_15083000_046.atloc.us.rpt4eme.us.rpt4eme.us2 (31)
 BWS m.us15070100_15083000_046.atloc.us.rpt4eme.us.rpt4eme.us2
 E-T m.us15070100_15083000_046.atloc.us.rpt4eme.us2.us.rpt4eme (31)
 BWS m.us15070100_15083000_046.atloc.us.rpt4eme.us2.us.rpt4eme

Type : 0-P 48 hr
 Region : Amerique du Nord plus
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 Stat. inversee



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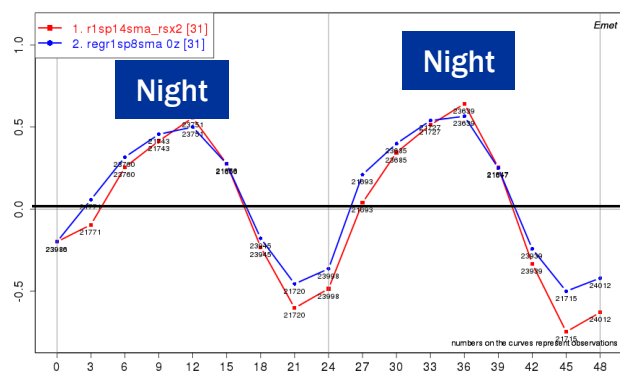
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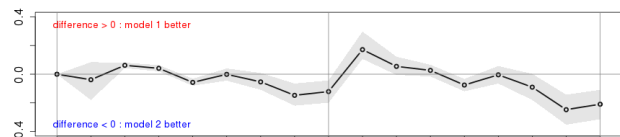
CaLDAS SCREEN vs CaLDAS-SMAP-no BC

Temperature Biases (F - O) : July - August 2015, 00Z Runs

Temperature (°C)



Forecast Hour



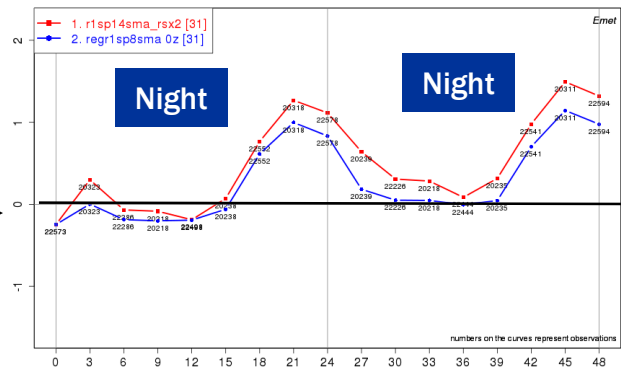
CaLDAS SCREEN
CaLDAS-SMAP-no BC

warmer

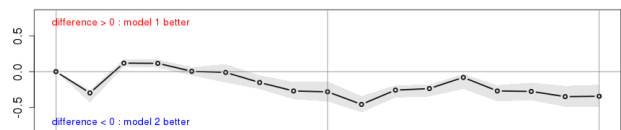
← Canada →

colder

Dew-Point Temperature (°C)



Forecast Hour

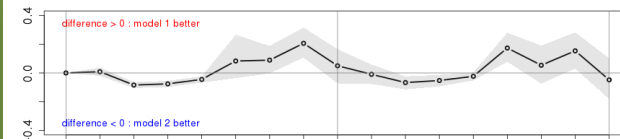
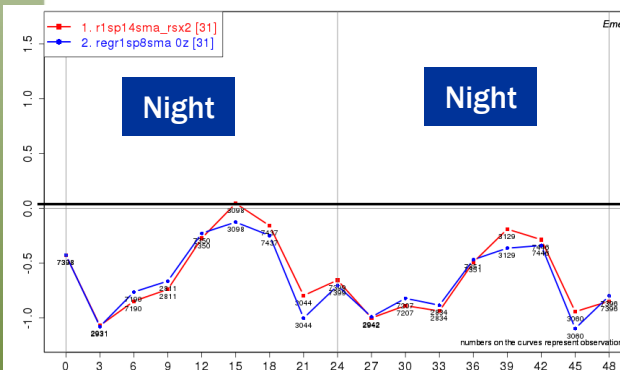


warmer

← USA →

colder

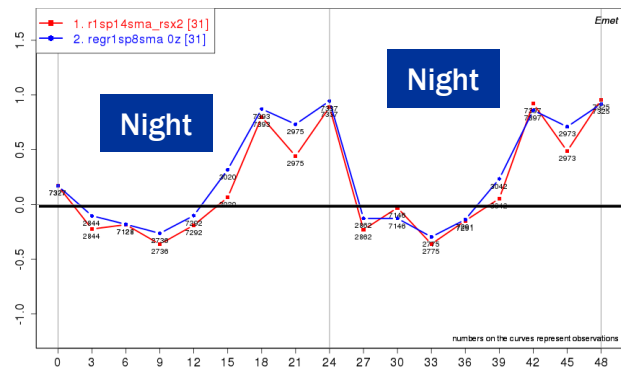
Forecast Hour



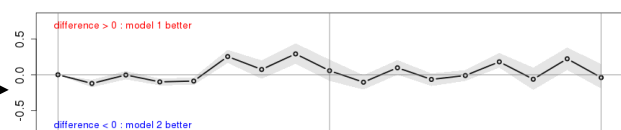
90% confidence interval
based upon block
bootstrapping

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Forecast Hour



CaLDAS SCREEN vs CaLDAS-SMAP-no BC

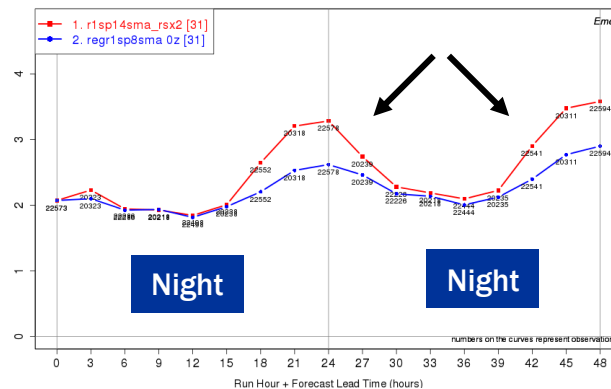
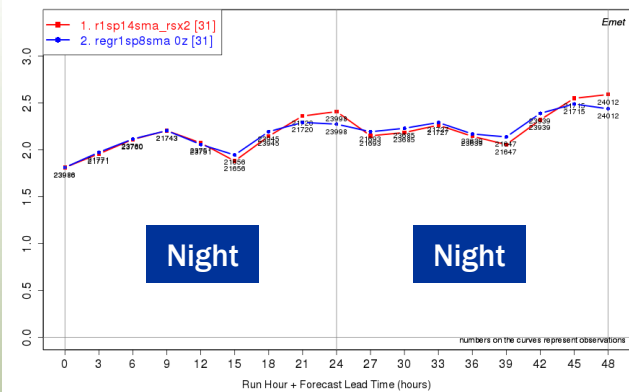
Temperature STDE : July - August 2015, 00Z Runs

Temperature (°C)

Dew-Point Temperature (°C)

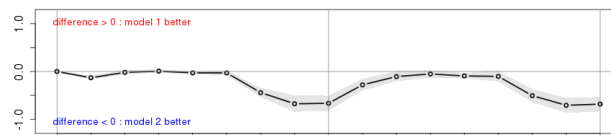
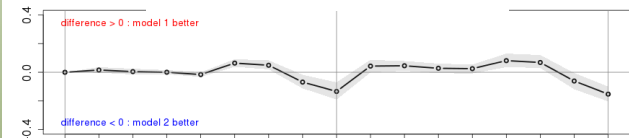
CaLDAS SCREEN
CaLDAS-SMAP-no BC

Canada

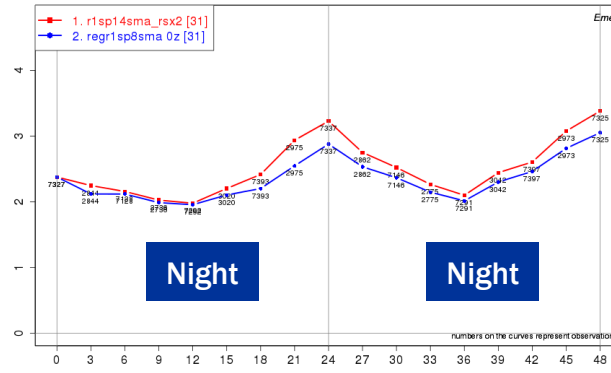
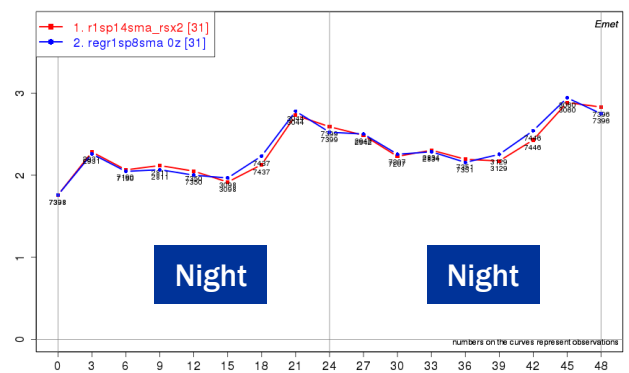


Forecast Hour

Forecast Hour

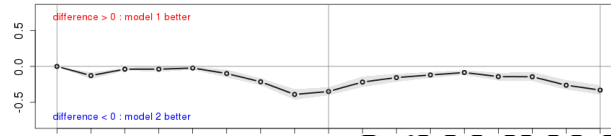
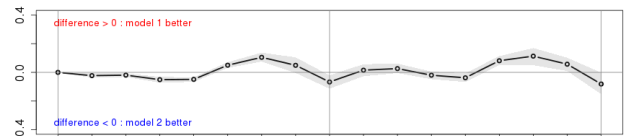


USA



Forecast Hour

Forecast Hour



CaLDAS-Screen (T_{2m} , TD_{2m} , 3hrs; w_2 , T_2) vs

SMAP – no BC ($r_s \times 3$) (T_{2m} , TD_{2m} , TBH(SMAP), 3hrs; w_g , w_2 , T_2)

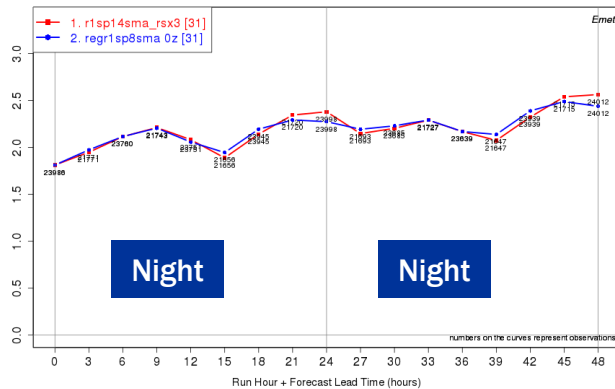


CaLDAS SCREEN vs CaLDAS-SMAP -no BC ($r_s \times 3$)

Temperature STDE : July - August 2015, 00Z Runs

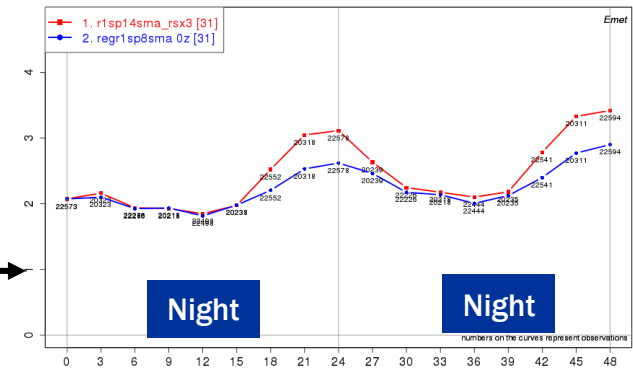
Temperature (°C)

Dew-Point Temperature (°C)

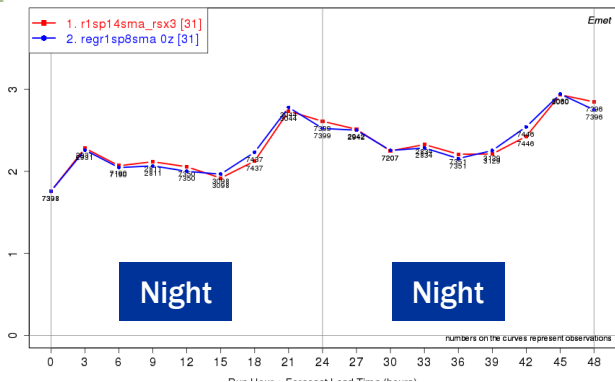
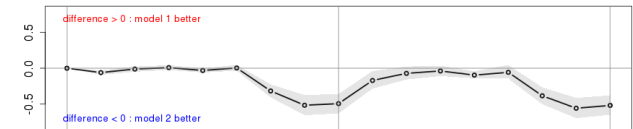


CaLDAS SCREEN
CaLDAS-SMAP-no BC
($r_s \times 3$)

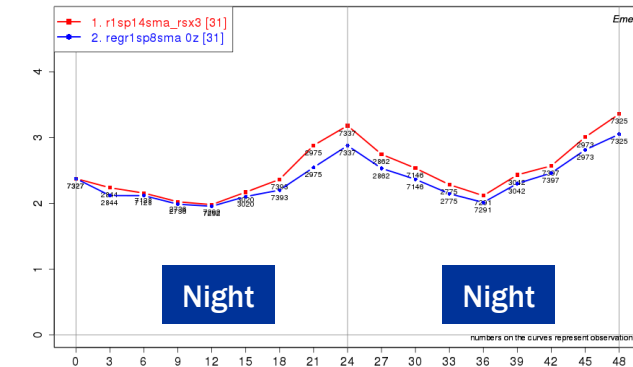
← Canada →



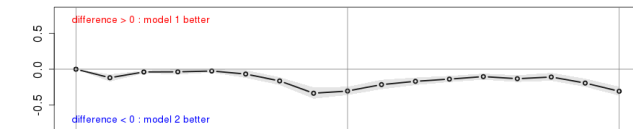
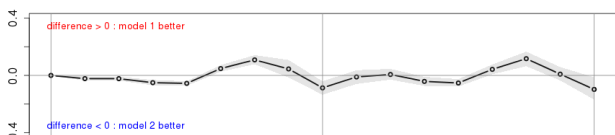
Forecast Hour



← USA →



Forecast Hour



Precipitation Scores 24h Accumulation over North America

FBI = Frequency Bias Index

POD = Probability of Detection

FAR = False Alarm Ratio

ETS = Equitable Threat Score

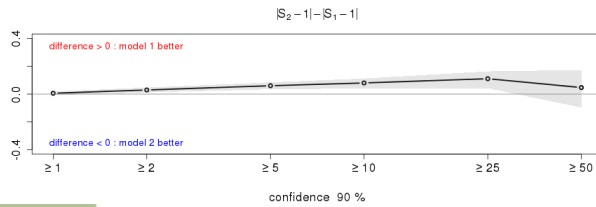
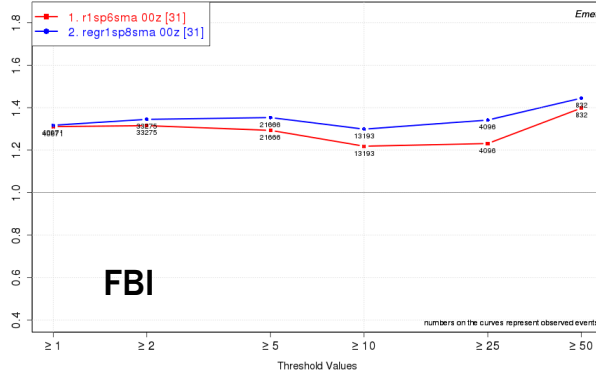


Precipitation-24 h : North America July - August 2015

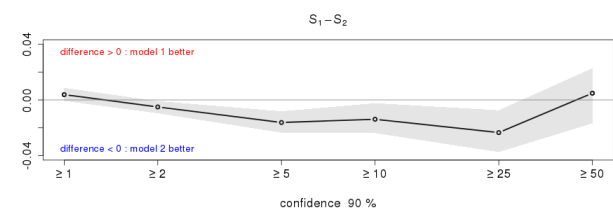
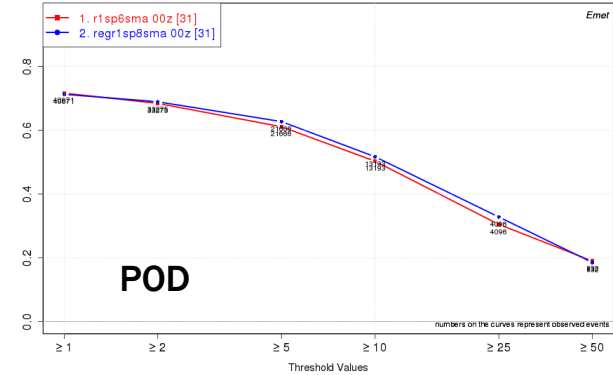
CaLDAS-SMAP-BC

CaLDAS SCREEN

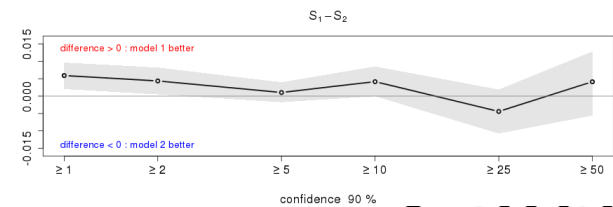
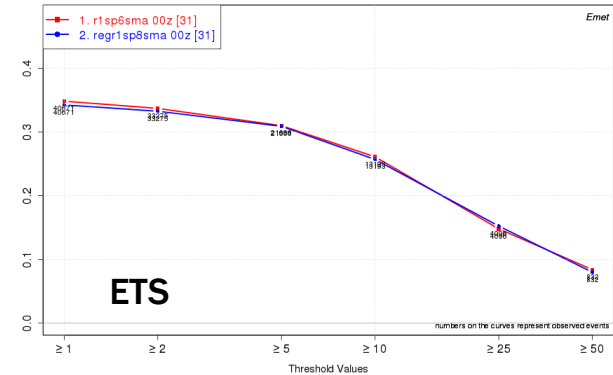
FREQUENCY BIAS INDEX OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



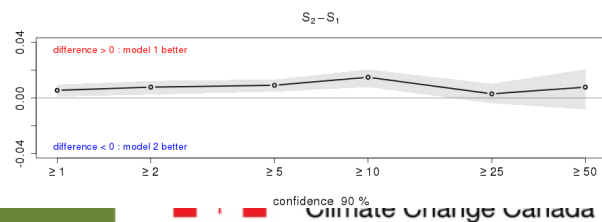
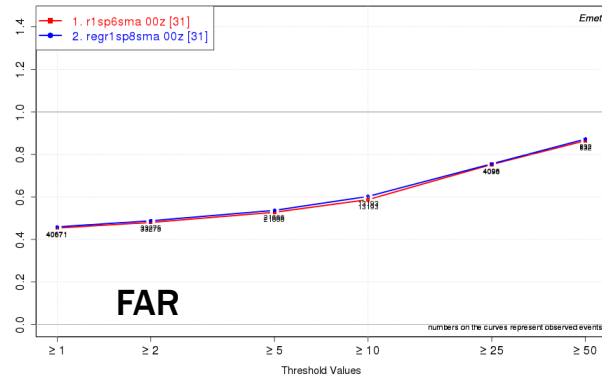
PROB. OF DETECTION OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



EQUITABLE THREAT SCORE OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



FALSE ALARM RATIO OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America

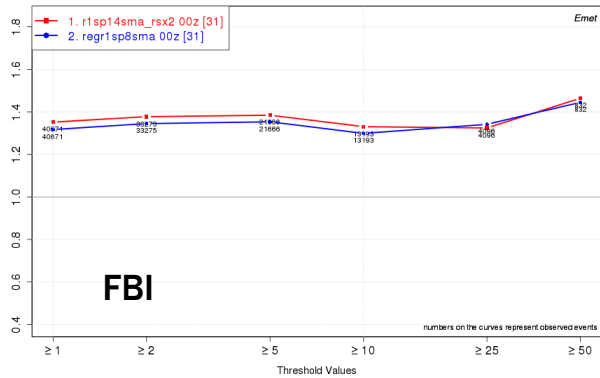


Precipitation-24 h : North America July - August 2015

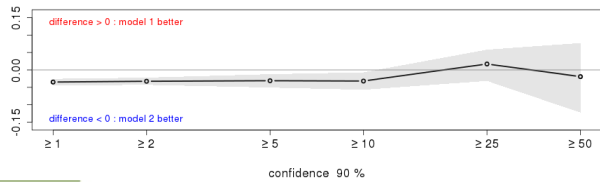
CaLDAS-SMAP-no-BC

CaLDAS SCREEN

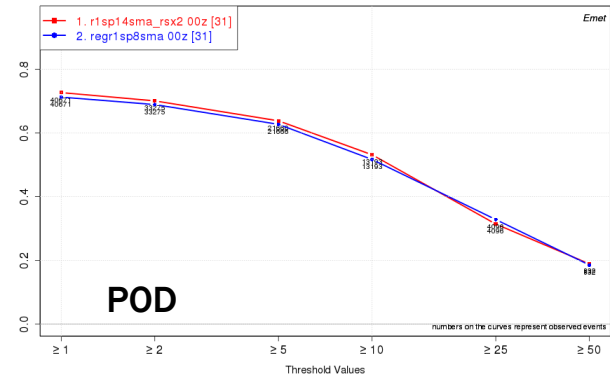
FREQUENCY BIAS INDEX OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



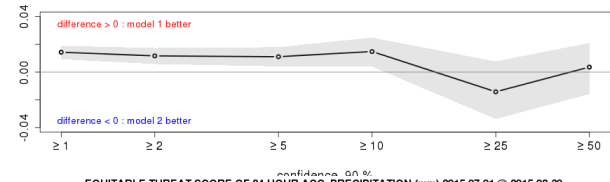
$$|S_2 - 1| - |S_1 - 1|$$



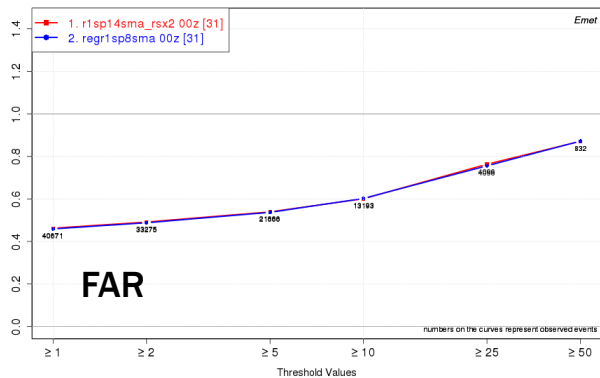
PROB. OF DETECTION OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



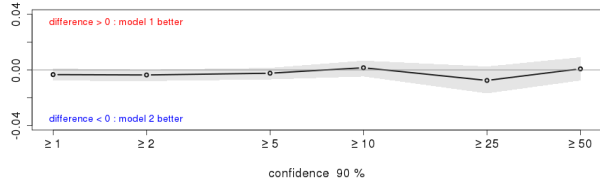
$$S_1 - S_2$$



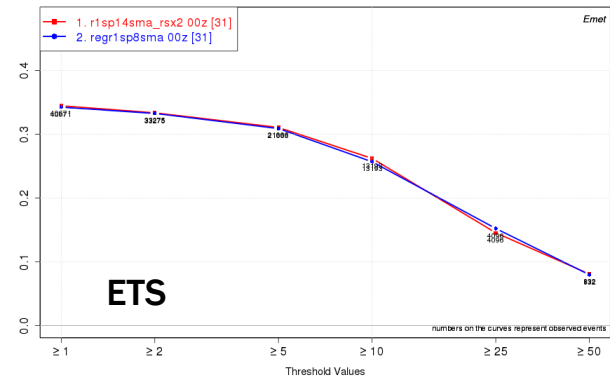
FALSE ALARM RATIO OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



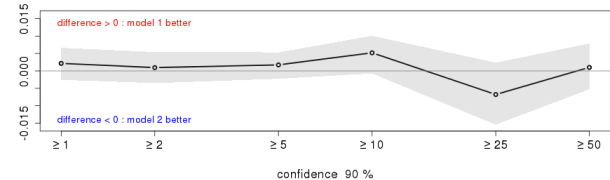
$$S_2 - S_1$$



EQUITABLE THREAT SCORE OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



$$S_1 - S_2$$

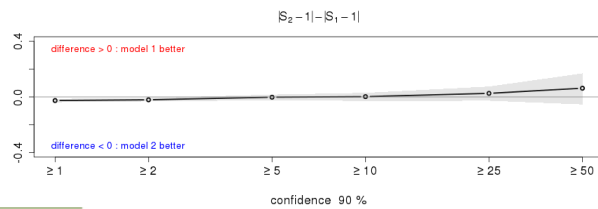
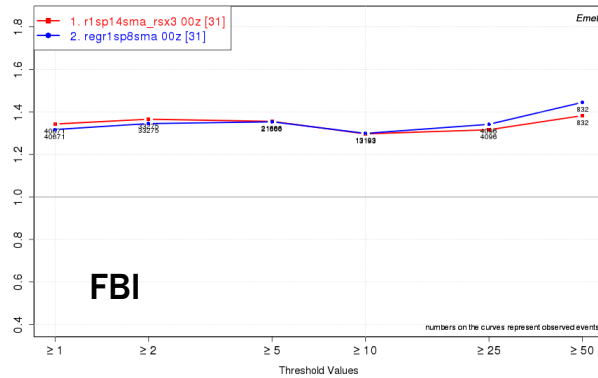


Precipitation-24 h : North America July - August 2015

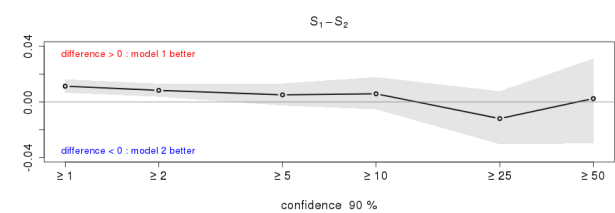
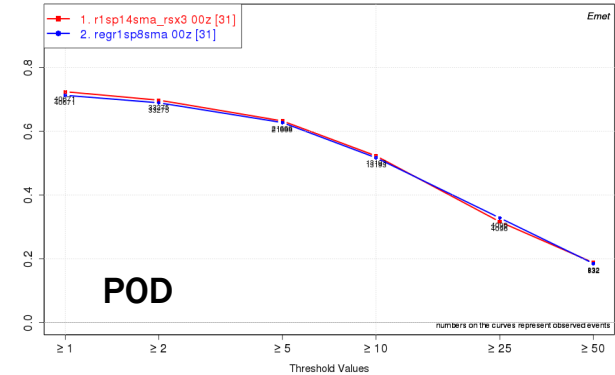
CaLDAS-SMAP-no-BC ($r_s \times 3$)

CaLDAS SCREEN

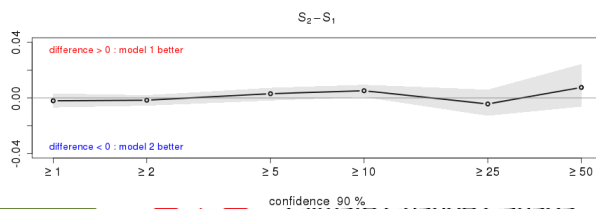
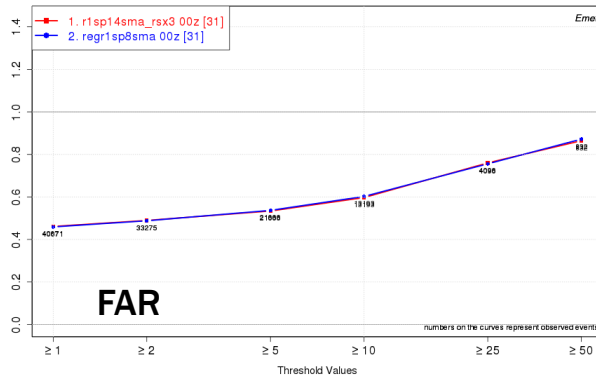
FREQUENCY BIAS INDEX OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



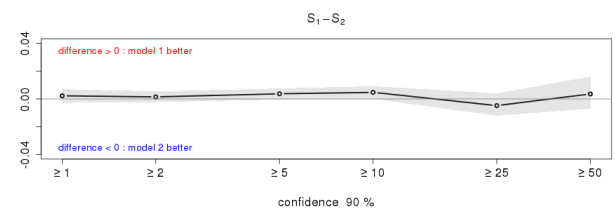
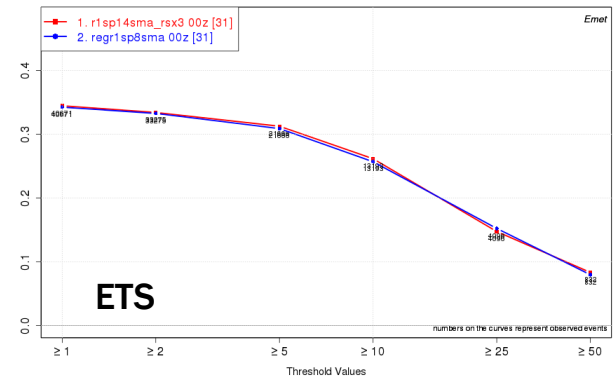
PROB. OF DETECTION OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
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FALSE ALARM RATIO OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
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EQUITABLE THREAT SCORE OF 24-HOUR ACC. PRECIPITATION (mm) 2015-07-01 @ 2015-08-30
accum 12h @ 36h run 0z valid 12z day 2 capa North America



SVS (Soil, Vegetation, Snow) Land Surface Model

Alavi et al. (2016), Husain et al. (2016)

- Multi budget energy calculations for bare soil, vegetation and snow.
- Improved soil hydrology with the inclusion of multiple soil layers .

CaLDAS - Screen (T_{2m} , TD_{2m} , 3hrs; w_2 , T_2) vs

SMAP – no BC –SVS (T_{2m} , TD_{2m} , TBH(SMAP), 3hrs; w_{1-4} , Tbg_{1-2} , Tvg_{1-2})

Limited to 13 48-h forecasts in July 2015



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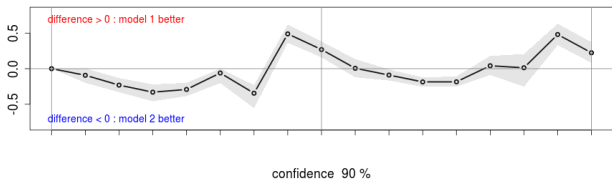
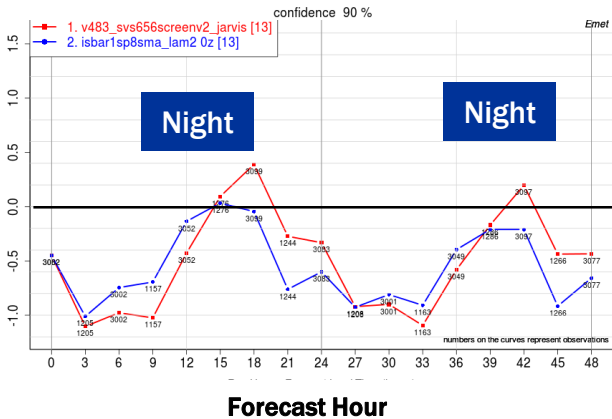
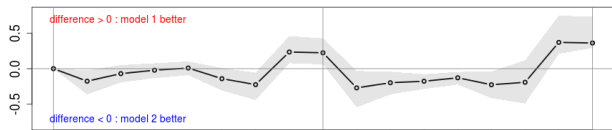
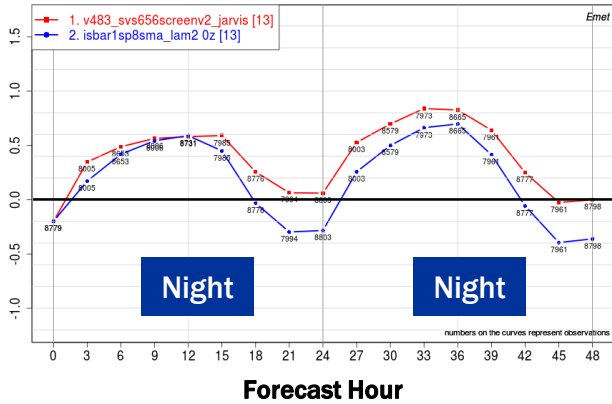
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Canada

CaLDAS SCREEN vs CaLDAS-SMAP - no BC - SVS

Temperature Biases (F - O) : July 2015, (13) 00Z Runs

Temperature (°C)



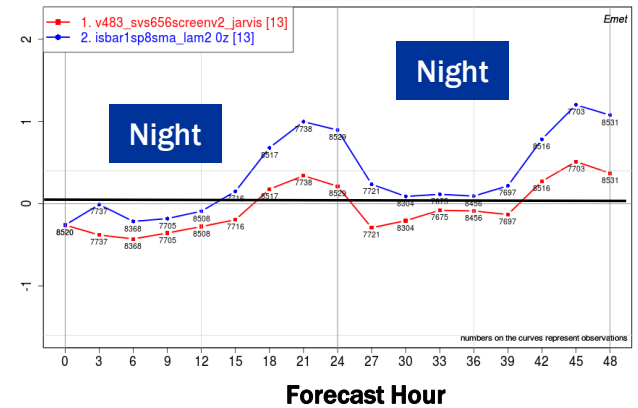
CaLDAS SCREEN
CaLDAS-SMAP-no BC -
SVS

warmer

← Canada →

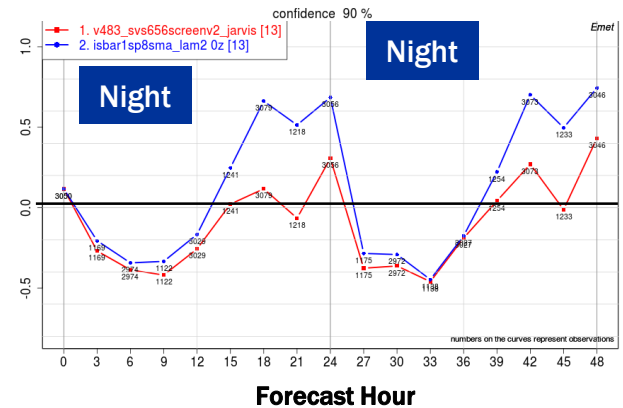
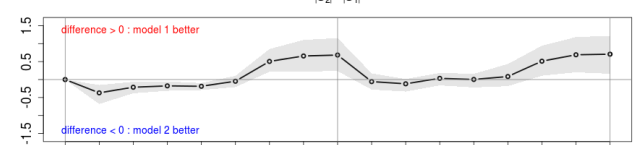
colder

Dew-Point Temperature (°C)



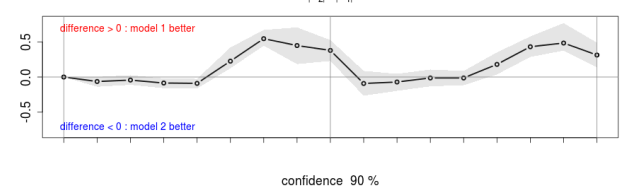
wetter

drier



wetter

drier



90% confidence interval
based upon block
bootstrapping

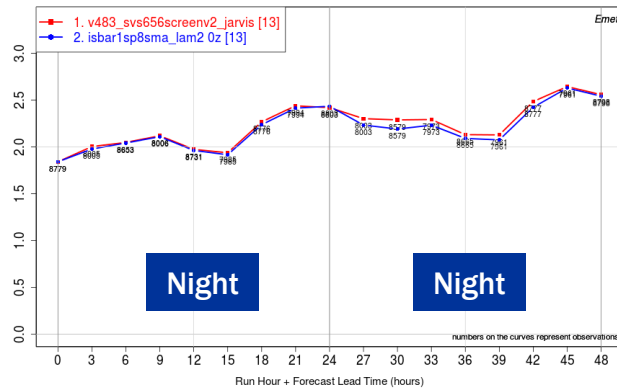
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ngement climatique Canada



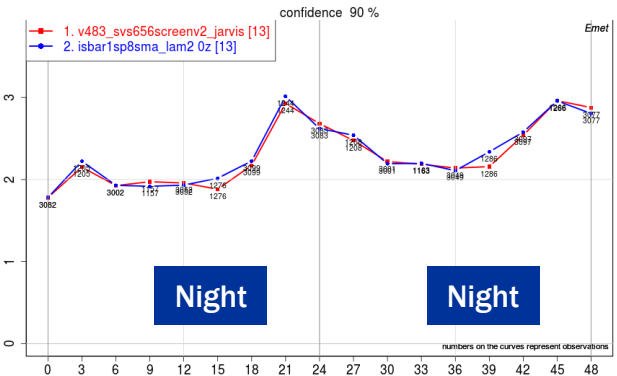
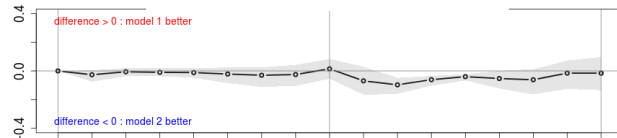
CaLDAS SCREEN vs CaLDAS-SMAP -no BC-SVS

Temperature STDE : July 2015, (13) 00Z Runs

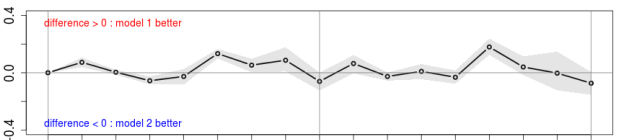
Temperature (°C)



Forecast Hour



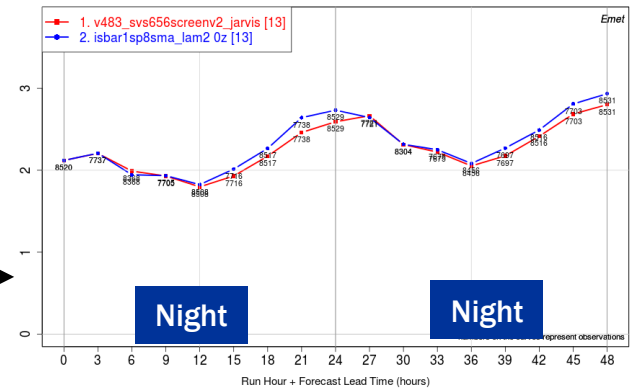
Forecast Hour



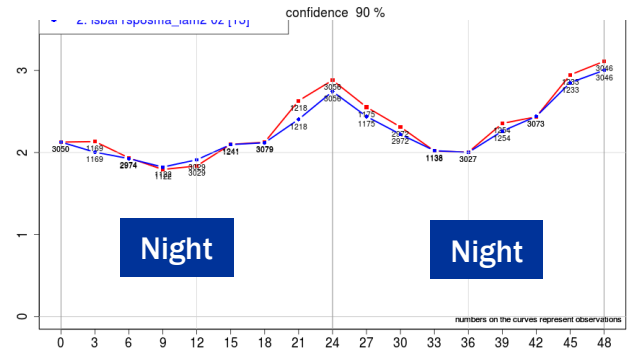
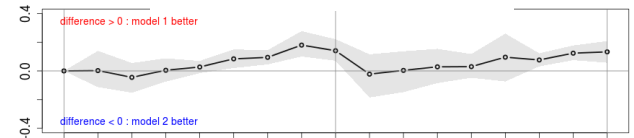
confidence 90 %

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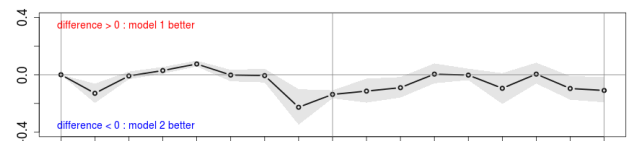
Dew-Point Temperature (°C)



Forecast Hour



Forecast Hour



confidence 90 %



Summary

- **Soil Moisture** : Assimilation of SMAP Tb data leads to significant improvements in temporal correlations for both w_g and w_2 when compared to the use of screen-level parameters alone. STDEs are also improved. Assimilating screen level variables more frequently, acts to deteriorate the w_2 verifications scores.
- Assimilation of screen-level variables appears to be necessary to give a comparable level of skill as to the current operational CaLDAS-Screen.
- **Approaching a configuration where** :
 - Significantly Improved soil moisture with positive impacts on atmospheric forecasts as compared to the current operational assimilation system.
 - **Recall** : NWP tests were performed over North America where the screen-level data coverage can be considered good. Anticipate larger impacts over more data sparse regions.



Thank you for your attention

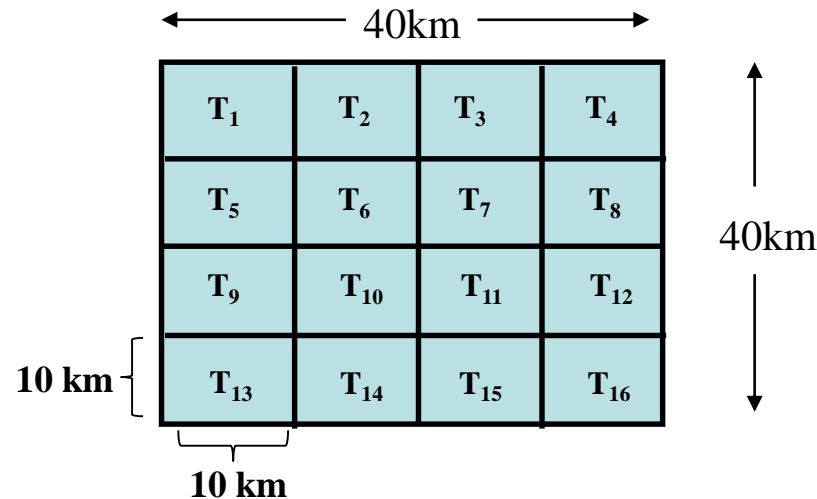


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TB "Downscaling" Strategy; Within EnKF algorithm



DeLannoy et al. (2010)

- Observation: TB at 40 km.
- Each sub tile (T_i) seems the same innovation: $TB(SMOS) - \frac{1}{16} \sum_{i=1}^{16} TB_i$
- This innovation needs to be distributed to each sub tile.
- Correlations between the fine-scale (10 km) model states and the coarse-scale (40 km) observation predictions downscales the coarse-scale innovations.

$$BH^T \cong Cov[(w_g, w_2); TB]; HBH^T \cong Cov[TB, TB]$$

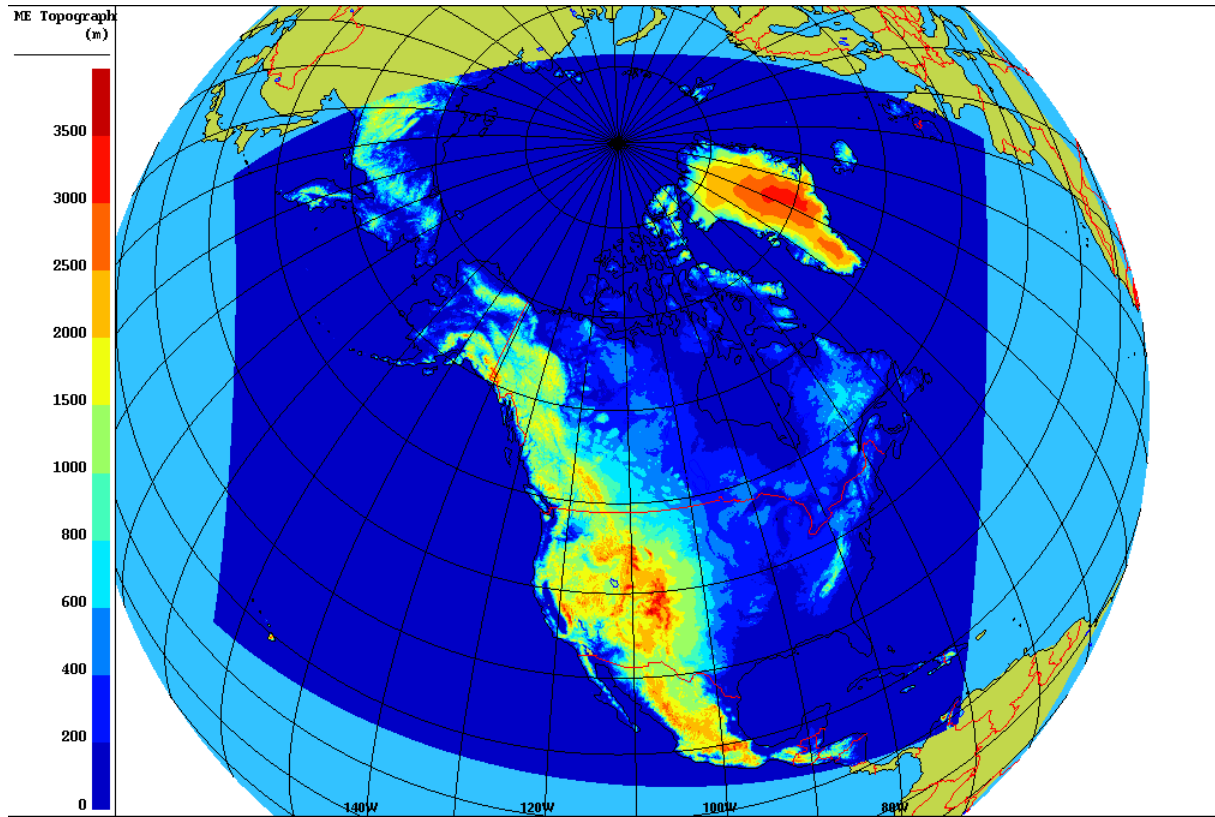
fine-scale

coarse -scale

coarse -scale



RDPS Domain



- Principal short-range guidance (days 1-2) used by Meteorological Service of Canada forecasters.
- Grid spacing of 10 km covering North America and adjacent oceans.
- Launched 4x daily out to 48 h.

