



Soil Moisture Active Passive Mission SMAP

SMAP: Water Contamination Correction

Julian Chaubell¹, S. Yueh¹, S. Chan¹,
J. Peng²

(1) Jet Propulsion Laboratory, California Institute of Technology.
(2) Goddard Space Flight Center and Universities Space
Research Association

SMAP Cal/Val Workshop #8
June 20-22, 2017
Amherst, MA



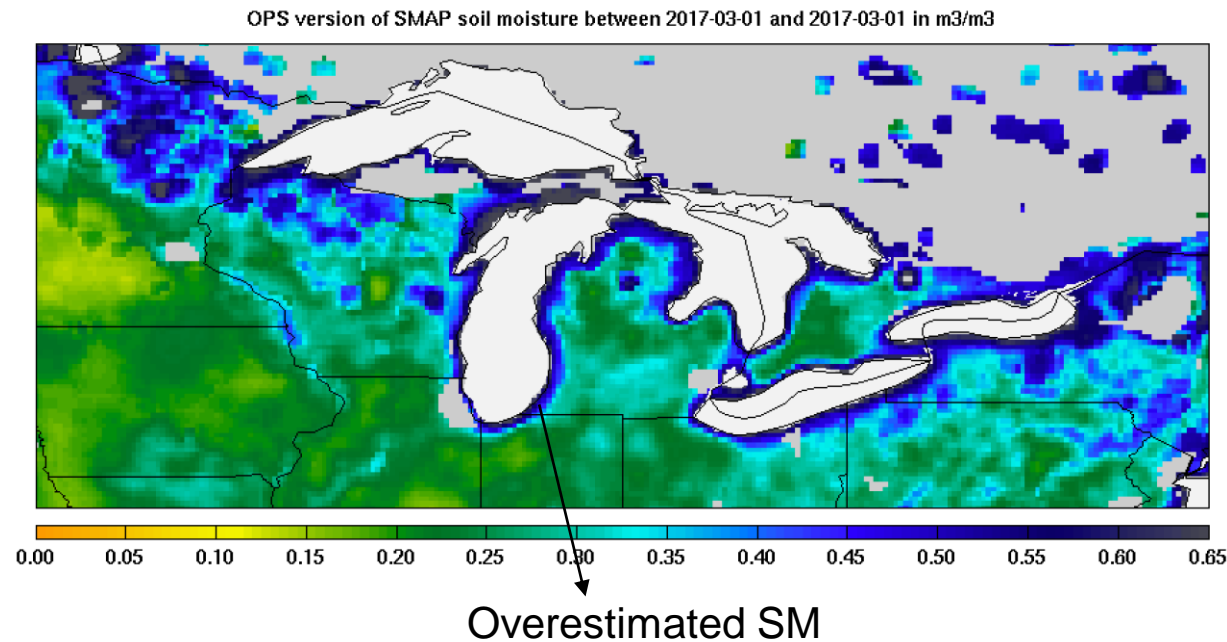
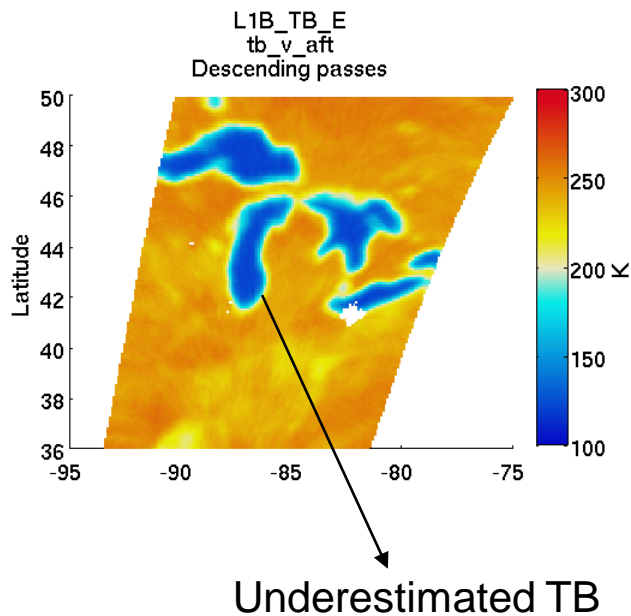
Outline

- Motivation
 - Overview of the applied theory for L1B_TB product and L1B_TB_E
 - Simulated examples and results
 - Application to real data and results
 - Preliminary SM examples
 - Future work
-



Motivation

- SMAP radiometer footprints over land can cover water from open water bodies or near coastlines
- Emission by water integrated along with emission by land, leading to underestimated TB
- Underestimated TB leads to wet bias in soil moisture retrieval





Water Contamination Correction Implementation

- If footprint is on land we apply the formula:

$$TB_p^{land} = \frac{TB_p - f * \overline{TB}_p^{water}}{1 - f}$$

- If footprint is on water we apply the formula:

$$TB_p^{water} = \frac{TB_p - (1 - f) * \overline{TB}_p^{land}}{f}$$

$p = v \text{ or } h$

where f is the water fraction. $f=1$ in pure water and $f=0$ for pure land.

$$f = \int G \cdot M d\Omega = \int_{\theta=[0,\pi], \psi=[0,2\pi]} G(\theta, \psi) M(\theta, \psi) \sin \theta \, d\theta d\psi$$

$$\cong \int_{\theta=[0,10*\pi/180], \psi=[0,2\pi]} G(\theta, \psi) M \sin \theta \, d\theta d\psi$$



Implementation (continuation)

- M is the land mask defined over 1Km EASE2 grid.
 - \overline{TB}_p^{water} is the TB at boresight over water computed from ocean TB model using ancillary files.
 - \overline{TB}_p^{land} is the TB at boresight over land computed from land TB model using ancillary files.
-



L1B_TB_E Implementation

- If grid point is on land we apply the formula:

$$TB_p^{land} = \frac{TB_p - f * \overline{TB}_p^{water}}{1 - f}$$

- If grid point is on water we apply the formula:

$$TB_p^{water} = \frac{TB_p - (1 - f) * \overline{TB}_p^{land}}{f}$$

$p = v \text{ or } h$

where f is the water fraction. $f=1$ in pure water and $f=0$ for pure land.

$$f = \sum_{i=1}^6 a_i f_i \quad \text{where } a_i \text{ are the Backus Gilbert coefficients.}$$



L1B_TB_E Implementation (continuation)

$$f_i = \int G \cdot M d\Omega = \int_{\theta=[0,\pi], \psi=[0,2\pi]} G(\theta, \psi) M(\theta, \psi) \sin \theta \, d\theta d\psi$$
$$\cong \int_{\theta=[0,10*\pi/180], \psi=[0,2\pi]} G(\theta, \psi) M \sin \theta \, d\theta d\psi$$



Simulation

$$TB = \int G \cdot tb d\Omega = \int_{\theta=[0,\pi], \psi=[0,2\pi]} G(\theta, \psi) tb(\theta, \psi) \sin \theta d\theta d\psi \cong \int_{\theta=[0,10*\pi/180], \psi=[0,2\pi]} G(\theta, \psi) tb(\theta, \psi) \sin \theta d\theta d\psi$$

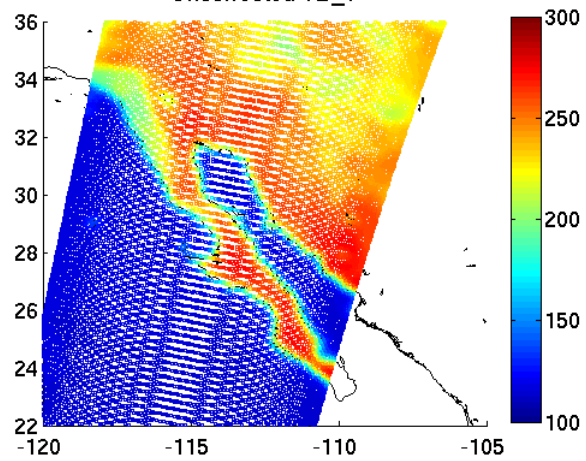
- Dielectric constant (ϵ) over ocean is generated by using Klein and Swift model.
- Dielectric constant (ϵ) over land is generated by using Mironov model.
- $$R_{vv} = \frac{\epsilon \cos \theta - \sqrt{\epsilon - \sin^2 \theta}}{\epsilon \cos \theta + \sqrt{\epsilon - \sin^2 \theta}}$$
- $$R_{hh} = \frac{\cos \theta - \sqrt{\epsilon - \sin^2 \theta}}{\cos \theta + \sqrt{\epsilon - \sin^2 \theta}}$$
- TB over ocean is computed using model. Takes into account wind, SST, and SSS.
- TB over land is computed using plane surface model.

$$tb = (1 - |R|^2)Ts$$

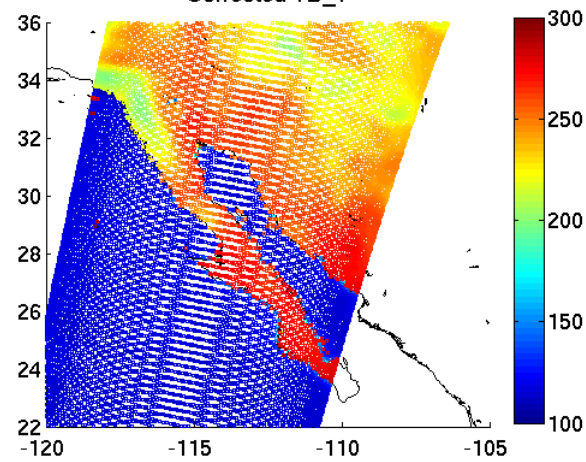


Results over Land

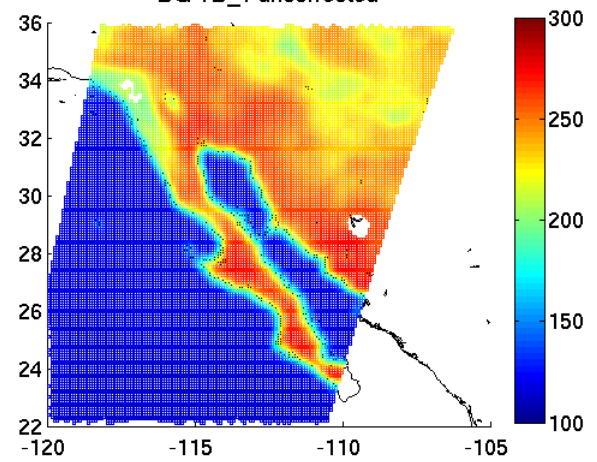
Uncorrected TB_v



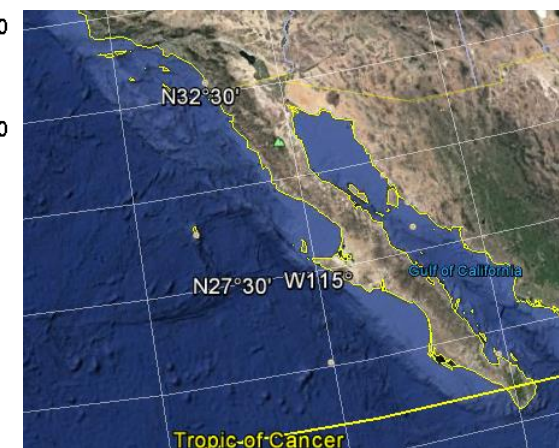
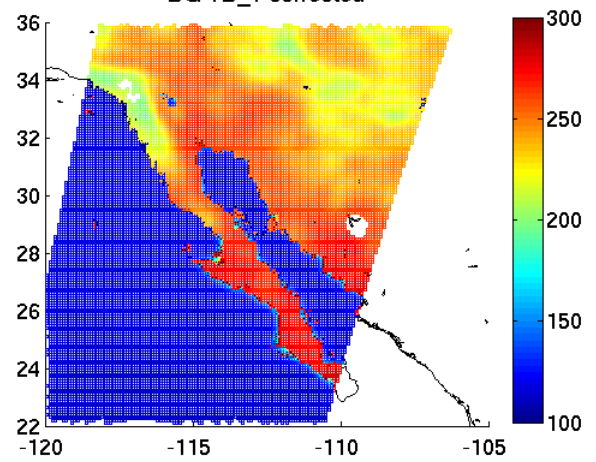
Corrected TB_v



BG TB_v uncorrected

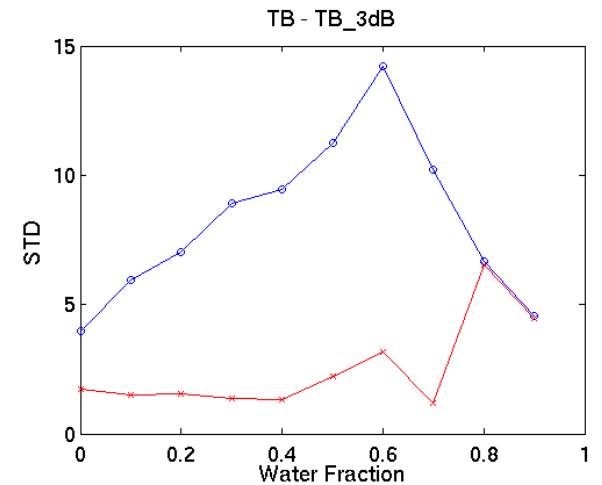
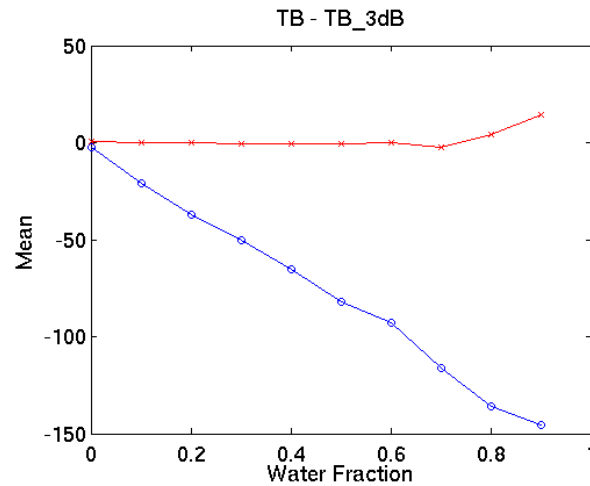
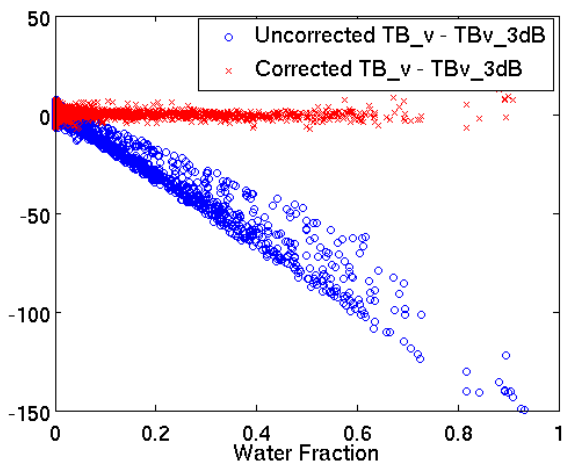
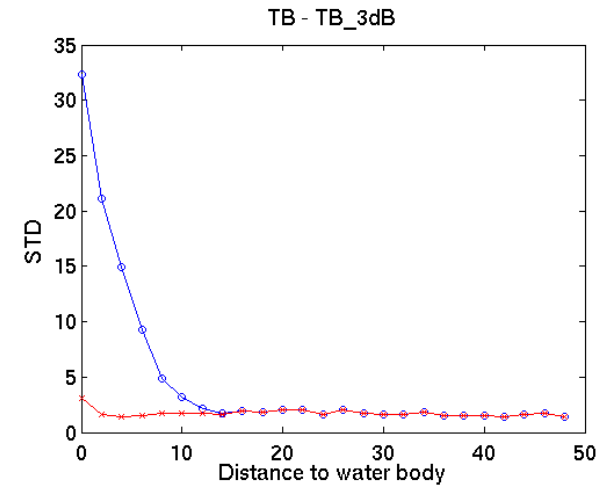
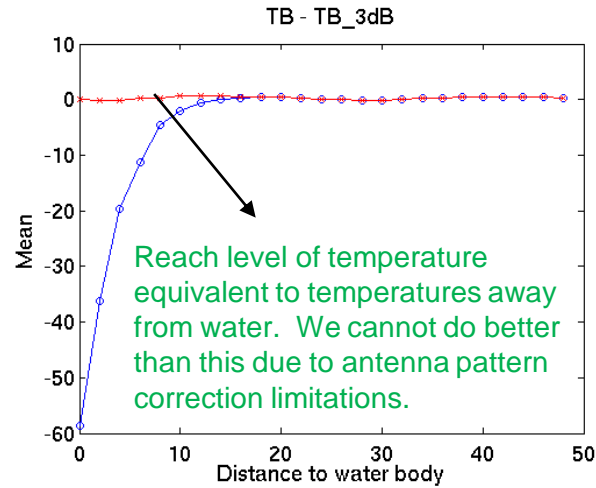
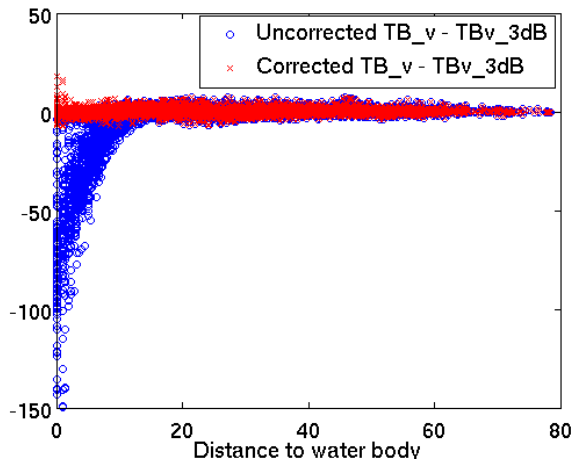


BG TB_v corrected





Statistics

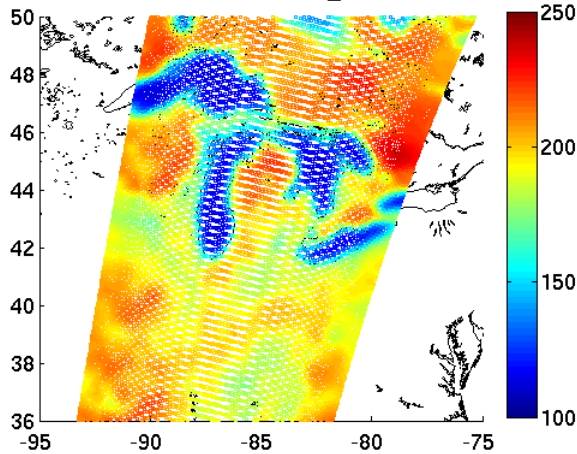




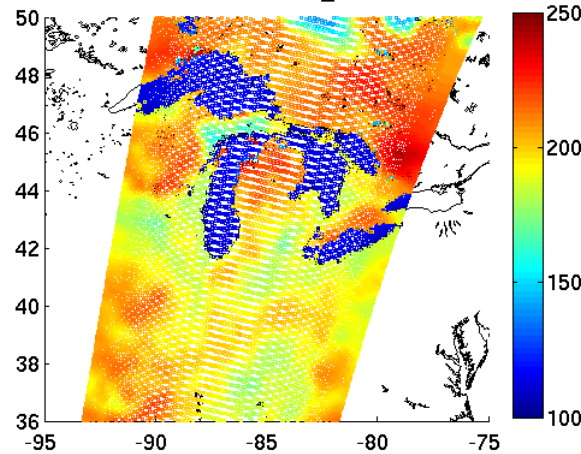
Results over Land



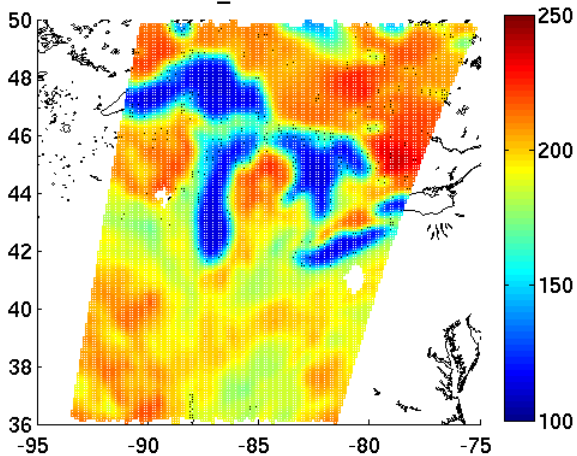
Uncorrected TB_v



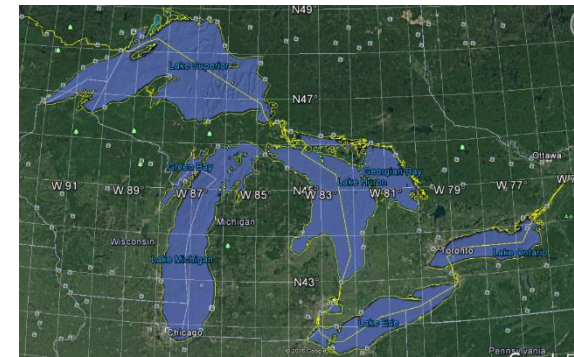
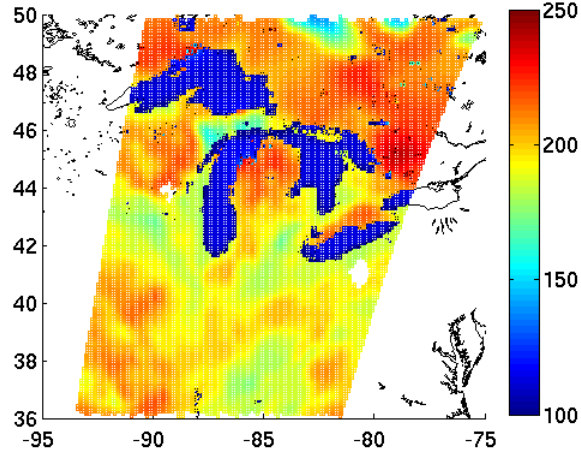
Corrected TB_v



BG TB_v uncorrected

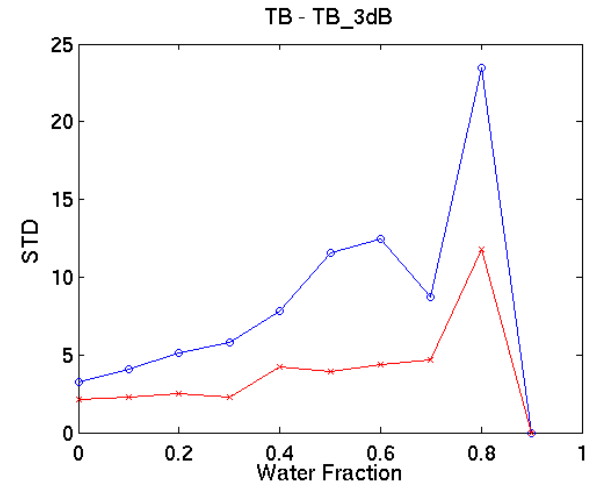
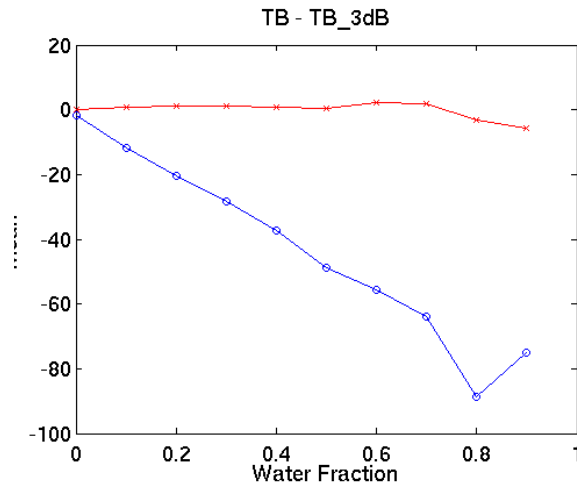
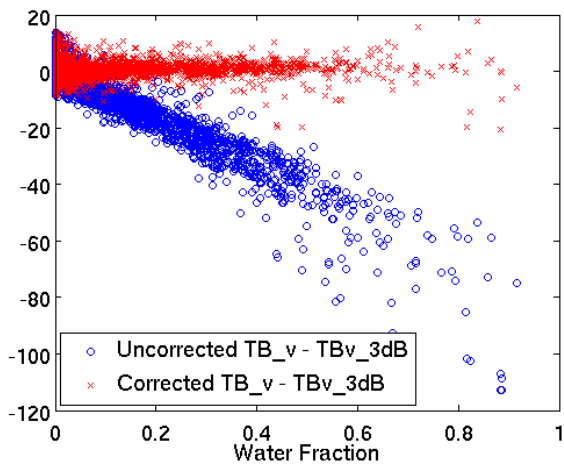
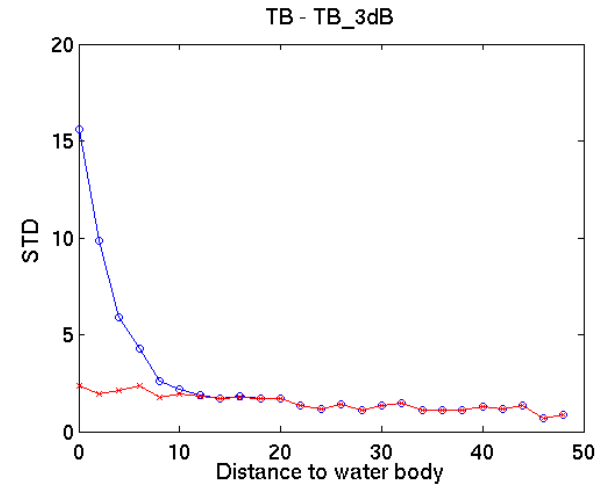
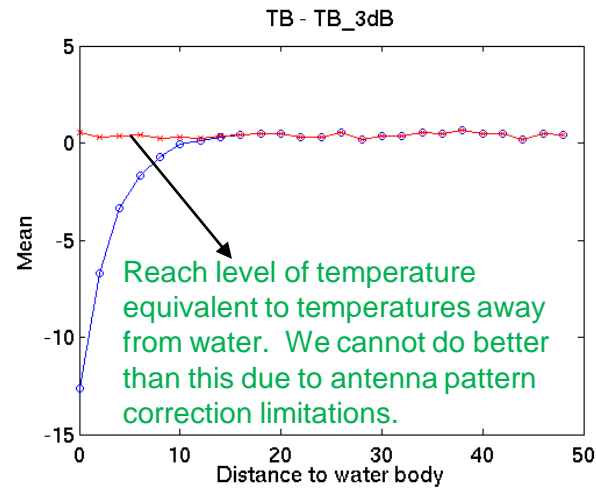
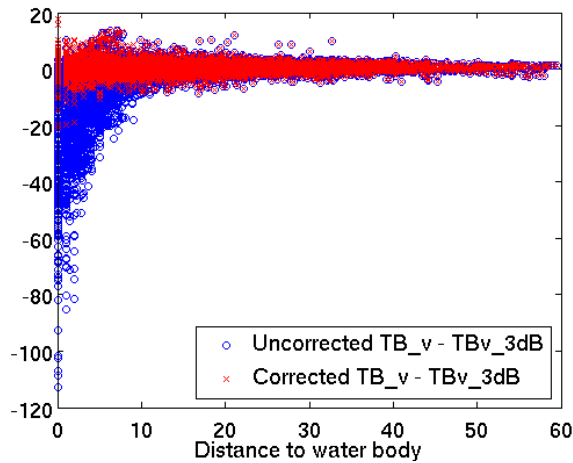


BG TB_v corrected



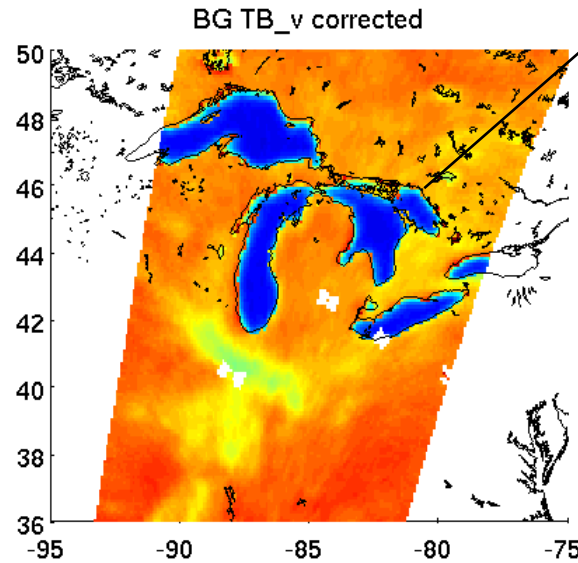
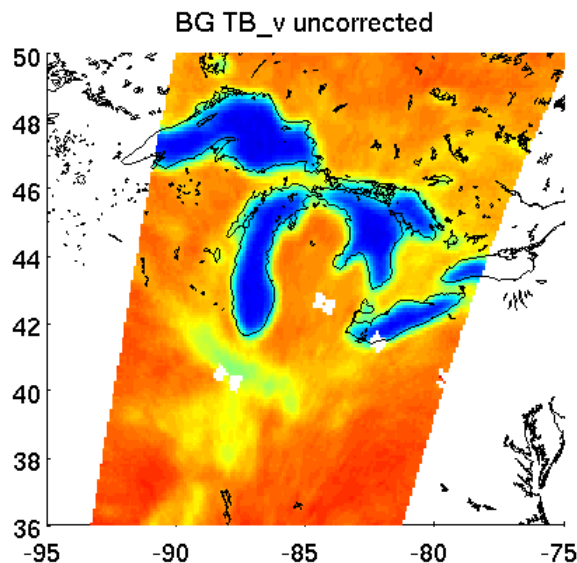
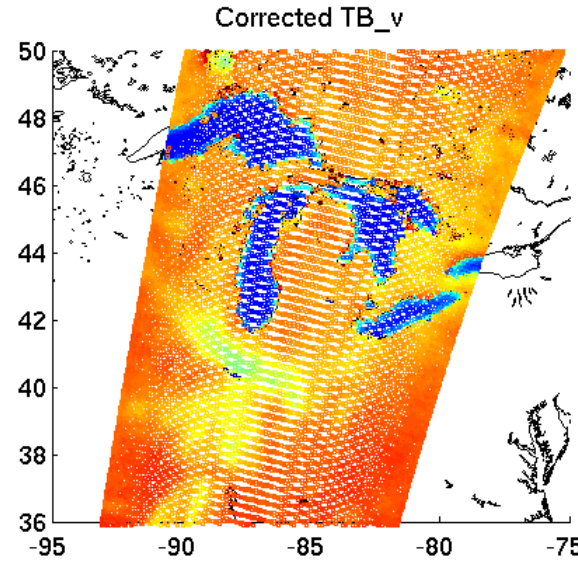
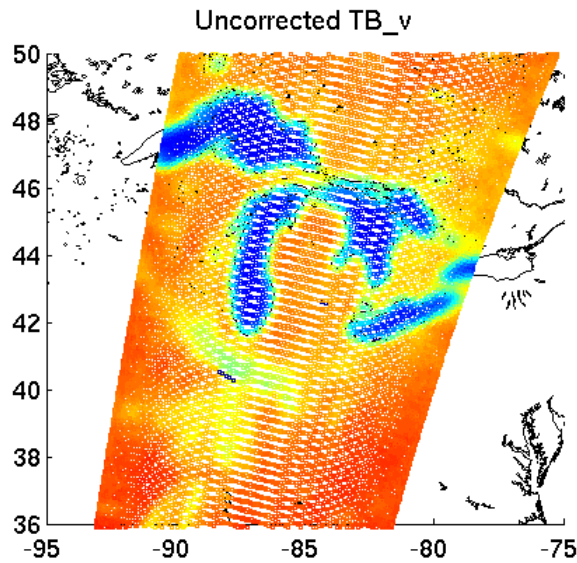


Statistics



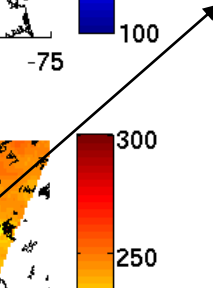


Results from Product



Great Lakes

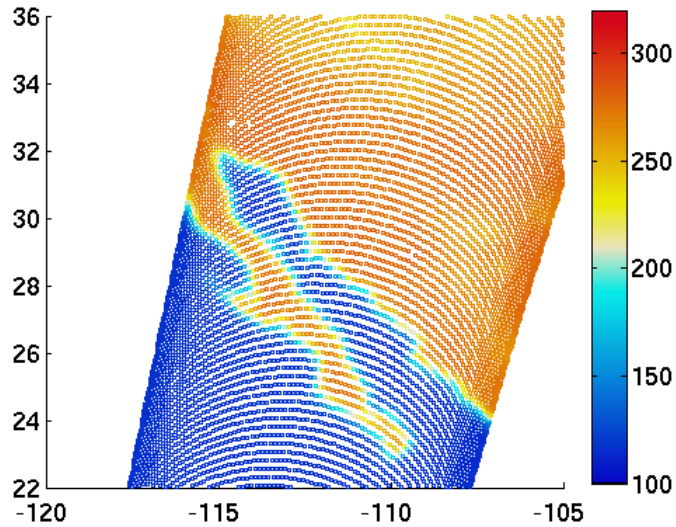
Over estimating
water temperature.
Bad ancillary data
selection.



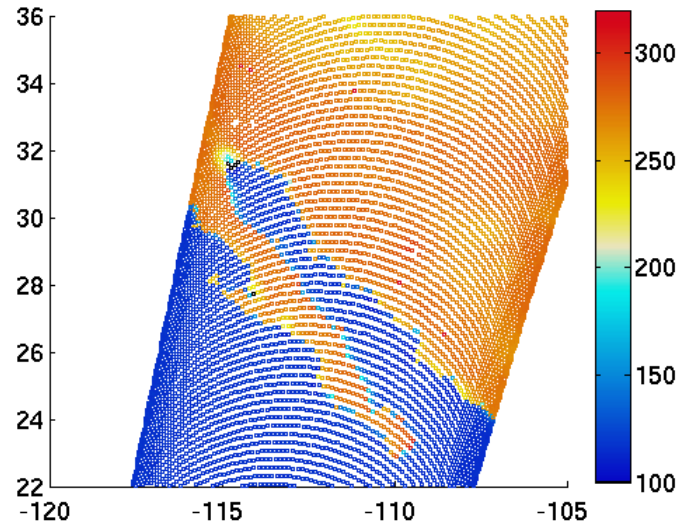


Results from Product

TB_v

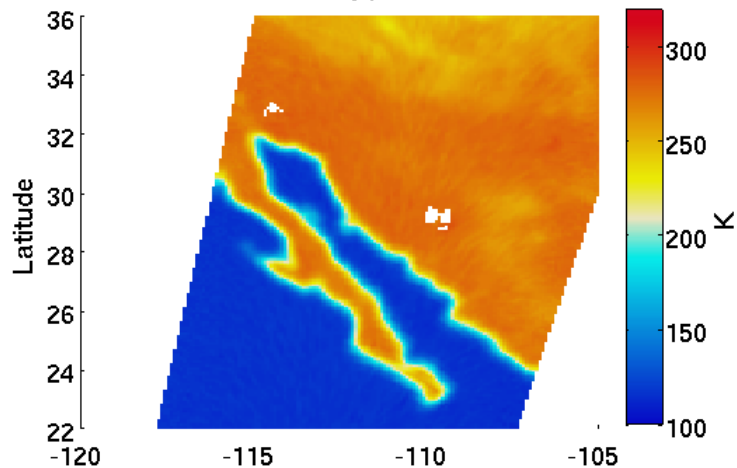


TB_v

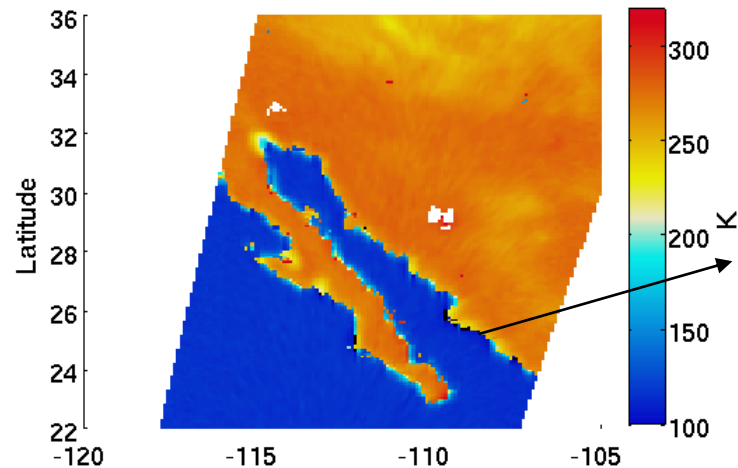


Baja California.

L1B_TB_E
tb_v_aft
Descending passes



L1B_TB_E
tb_v_aft
Descending passes



Underestimating
ocean TB.
Bad ancillary
Data selection.



SM Examples

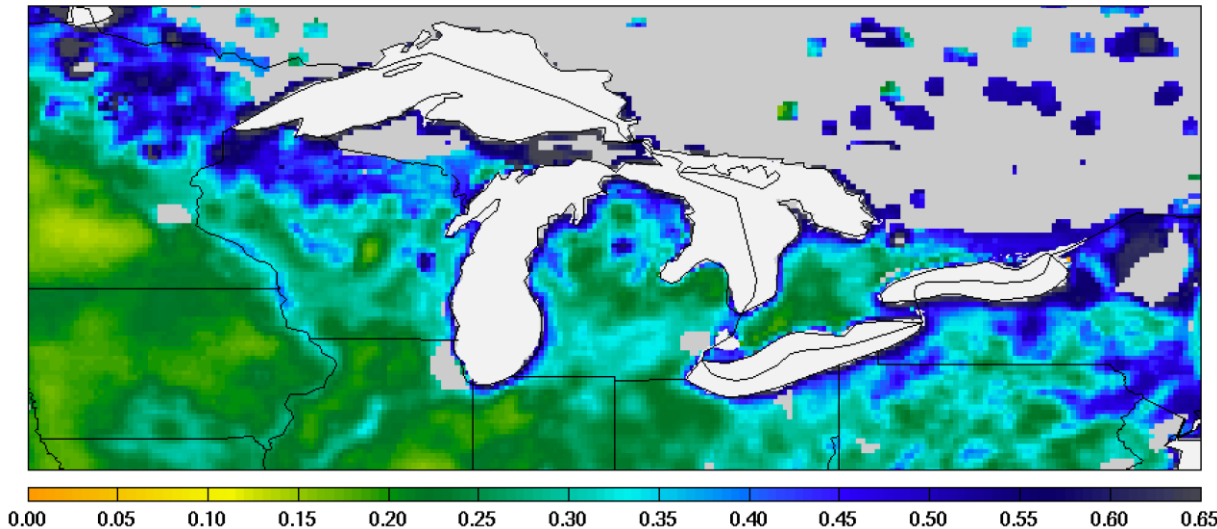
Caveats – Not an exact apple-to-apple comparison:

- Baseline passive L2_SM_P_E (**BP**) performs water TB correction only when water fraction is below 0.05. No water TB correction is performed when water fraction is above 0.05.
- Experimental passive L2_SM_P_E (**XP**) does not perform water TB correction. Water TB correction is done in L1B_TB and L1B_TB_E and then followed by L1C_TB_E processing. Water TB correction is performed as long as water fraction is not 1.00, which is an ambitious (and error-prone) scheme.

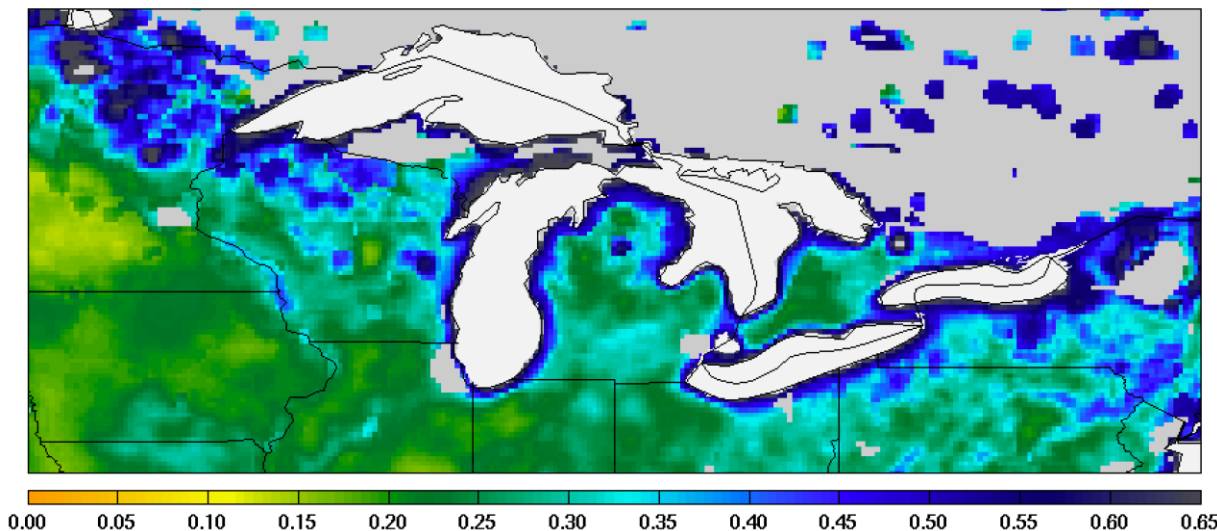


Example 1: The Great Lakes

OAS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m³/m³



OPS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m³/m³



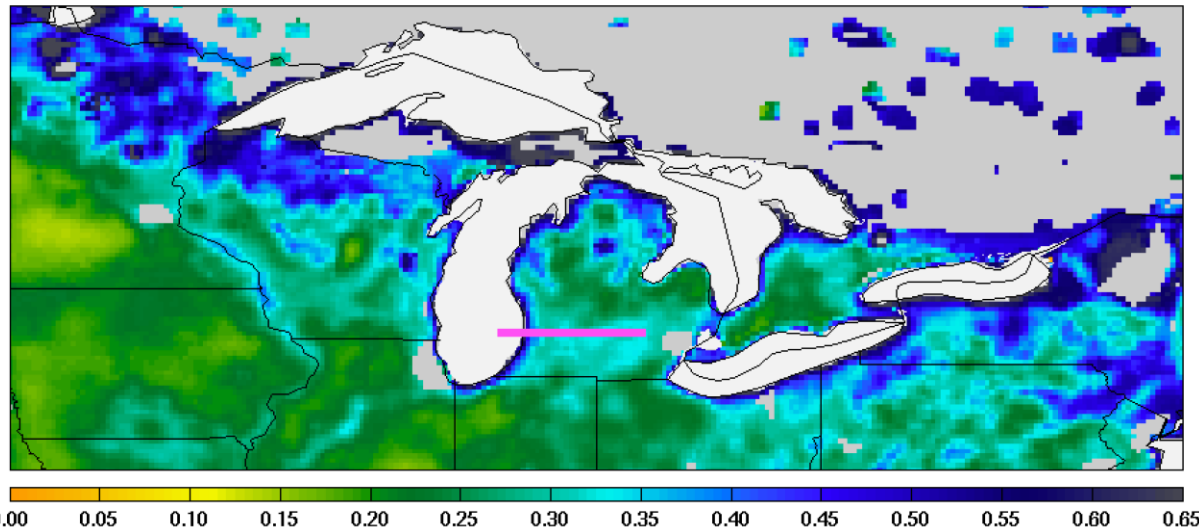
Observations:

- Narrower near-saturation soil moisture bands around open water bodies (OWB) in XP intuitively more reasonable than BP.
- Harder to interpret their relative merits elsewhere in the absence of ground truth – is XP over-correcting or BP under-correcting?
- BP and XP converge wherever water fraction is zero (i.e., no water TB correction performed).

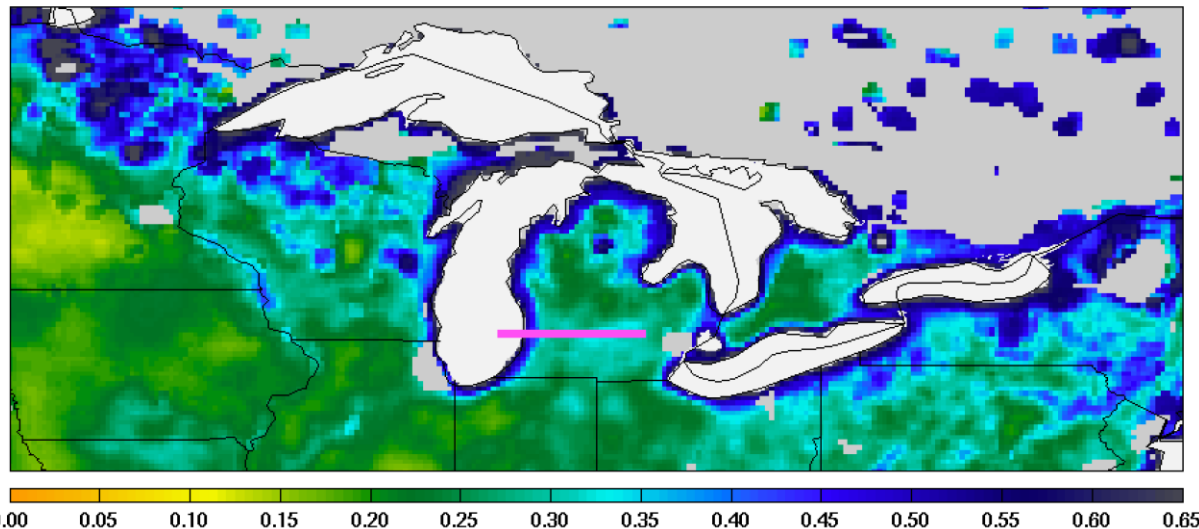


Example 1: The Great Lakes

OAS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m3/m3



OPS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m3/m3



Observations:

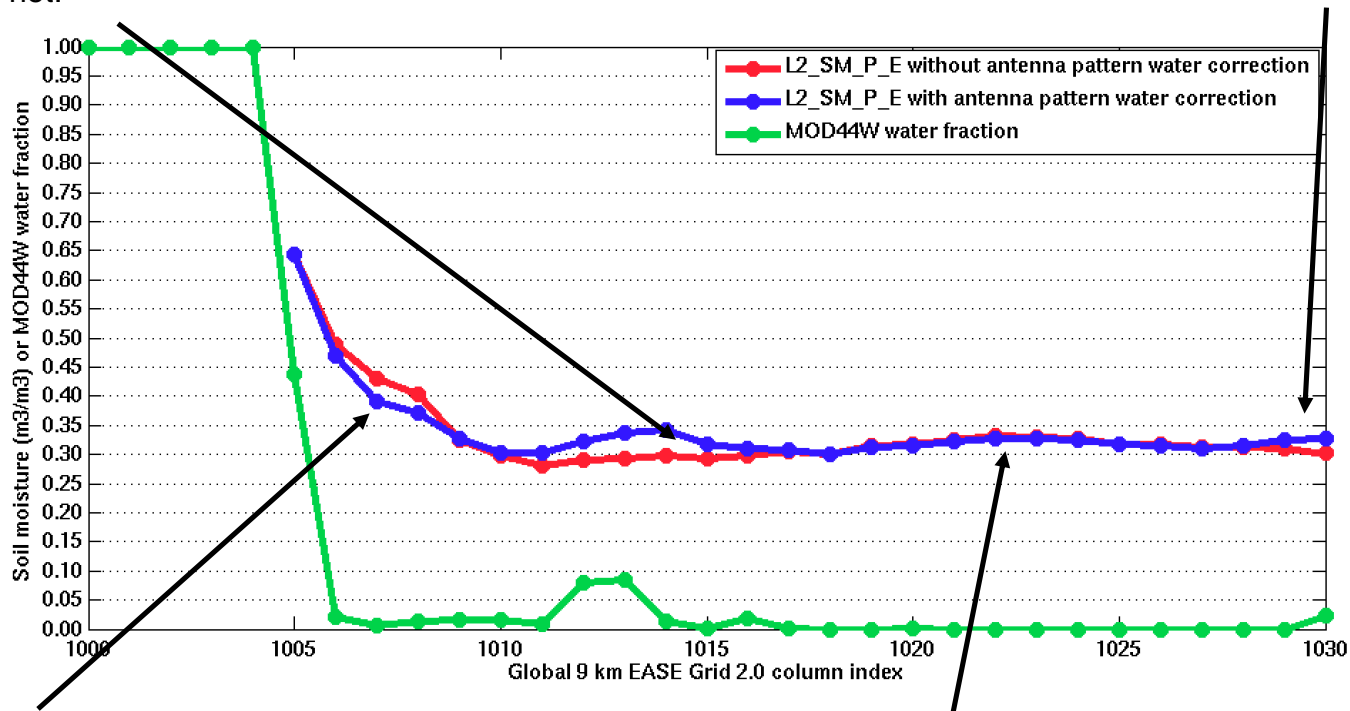
- Compare a transect (magenta line) near Lake Michigan between XP and BP.
- Transect covers a wide range of static water fraction.
- BP attempts water TB correction only when water fraction is below 0.05.
- XP attempts water TB correction as long as water fraction is not 1.00, which is an ambitious (and error-prone) correction scheme.
- BP and XP converge wherever water fraction is zero (i.e., no water TB correction performed).



Example 1: The Great Lakes

Inconclusive: At water fraction above 0.05, BP does not attempt water TB correction but XP does. However, XP should result in lower soil moisture than BP but it does not.

Inconclusive: Both XP and BP perform water TB correction when water fraction is below 0.05. Impossible to indicate which one is more accurate without *in situ* data.



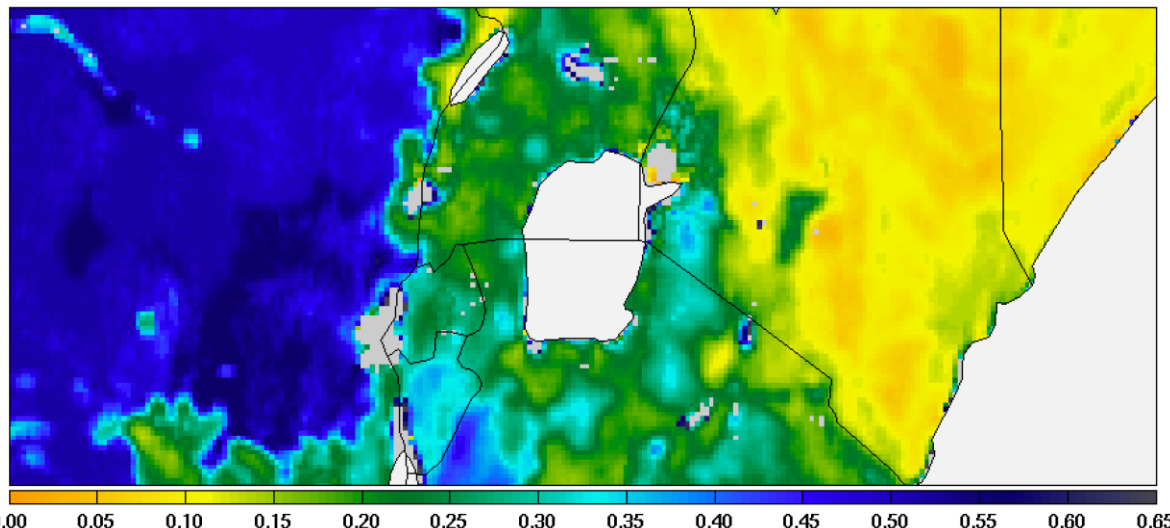
Good: XP seeps less into land from OWB compared with BP.

Good: XP and BP converge as expected wherever water fraction is zero

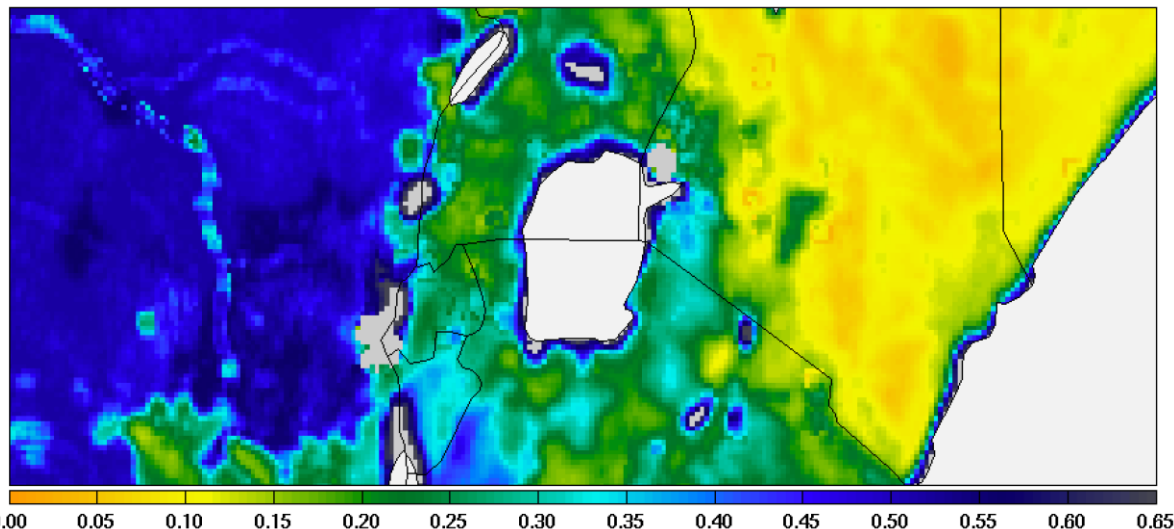


Example 2: Lake Victoria

OAS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m³/m³



OPS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m³/m³



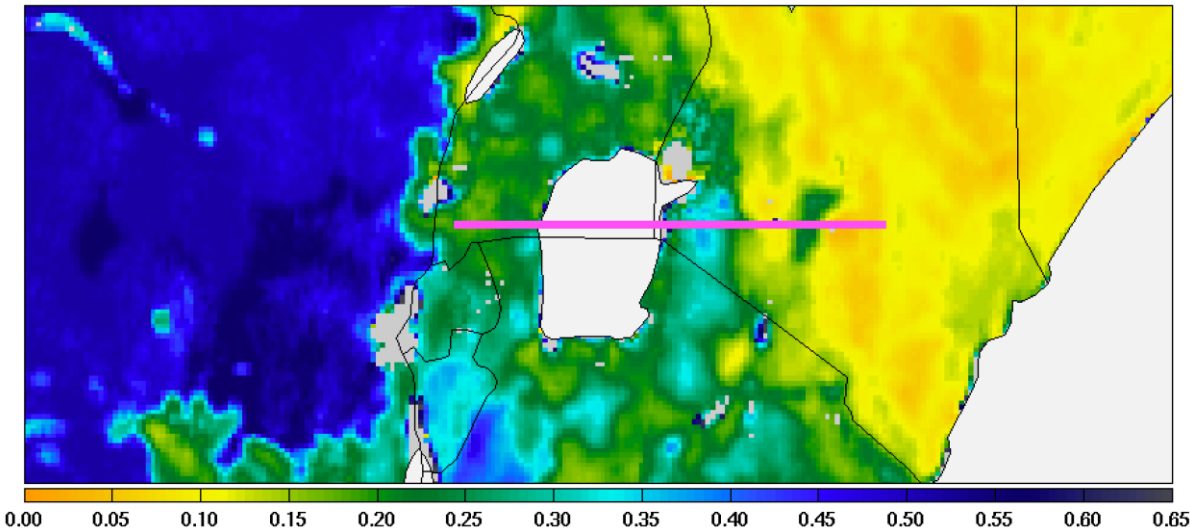
Observations:

- Non-existent near-saturation soil moisture bands around OWB and coastlines in XP visually more pleasing than BP.
- Forest right-hand boundaries better defined in XP than in BP. Real features?
- Forest retrievals in XP and BP hard to interpret. It is likely that BP is over-correcting TB and XP is about right.
- BP's occasional water TB over-correction (dashed circles) addressed quite well in XP.
- BP and XP converge wherever water fraction is zero (i.e., no water TB correction performed).

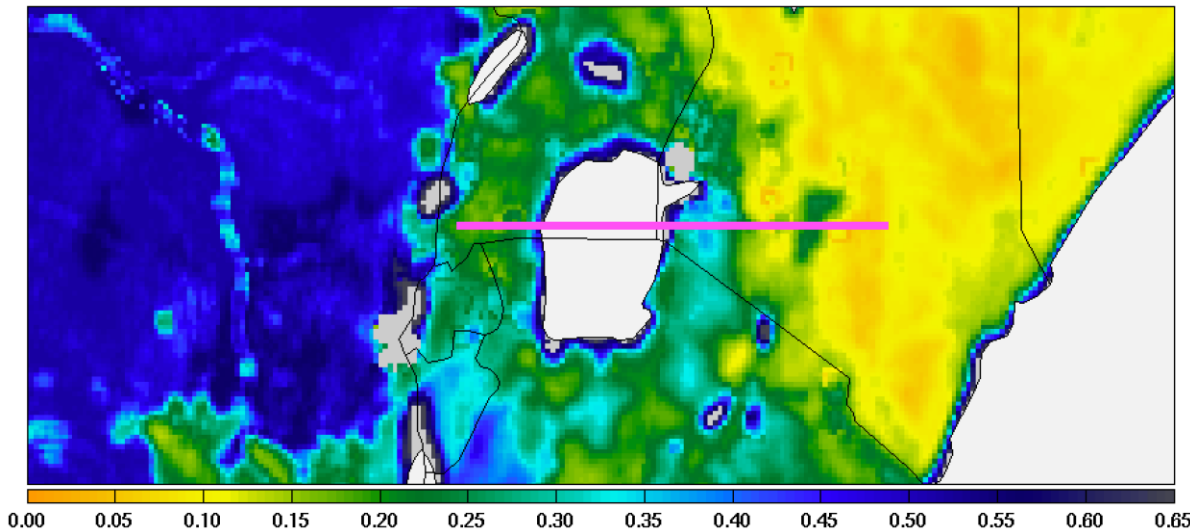


Example 2: Lake Victoria

OAS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m³/m³



OPS version of SMAP soil moisture between 2017-03-01 and 2017-03-01 in m³/m³



Observations:

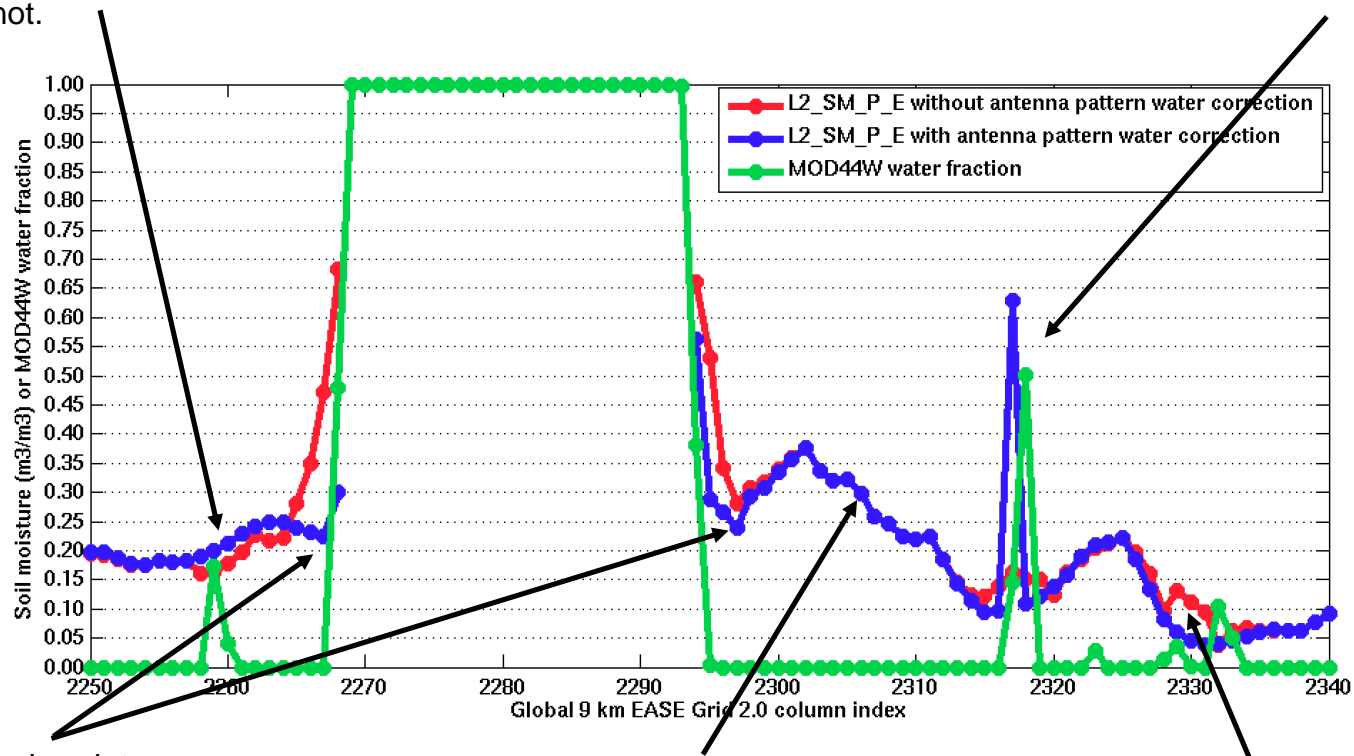
- Compare a transect (magenta line) across Lake Victoria between XP and BP.
- Transect covers a wide range of static water fraction.
- BP attempts water TB correction only when water fraction is below 0.05.
- XP attempts water TB correction as long as water fraction is not 1.00, which is an ambitious (and error-prone) correction scheme.
- BP and XP converge wherever water fraction is zero (i.e., no water TB correction performed).



Example 2: Lake Victoria

Inconclusive: At water fraction above 0.05, BP does not attempt water TB correction but XP does. However, XP should result in lower soil moisture than BP but it does not.

Note: Point on water. The code tries to correct for land contamination.



Good: XP seeps less into land from OWB compared with BP.

Good: XP and BP converge as expected wherever water fraction is zero

Good: BP's occasional water TB over-correction (dashed circles) addressed quite well in XP.



Observations:

- XP offers a few noticeable improvements over BP:
 - Seeps less into land from open water bodies (OWB) and coastlines
 - Addresses BP's occasional water TB over-correction over land (and perhaps dense forests too)
- There are also uncertain behaviors associated with XP:
 - Produces wetter soil moisture than BP even when BP is not doing any water TB correction

Next Steps:

- Improve land fraction calculation efficiency.
 - Analyze cause of anomalies.
 - Improve ancillary data selection.
 - Include ice ancillary data and model.
 - Acquire *in situ* data for quantitative assessment.
-