



# Modeling L-band UAVSAR data through dielectric changes in soil moisture and vegetation over shrublands

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*Seung-bum Kim<sup>1</sup>, Motofumi Arie<sup>2</sup>, Thomas Jackson<sup>3</sup>*

*<sup>1</sup>Jet Propulsion Laboratory, California Institute of Technology*

*<sup>2</sup>Mitsubishi Electric Corporation, Kanagawa, Japan, <sup>3</sup>USDA ARS*

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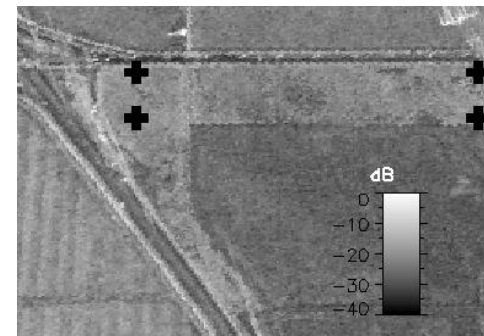
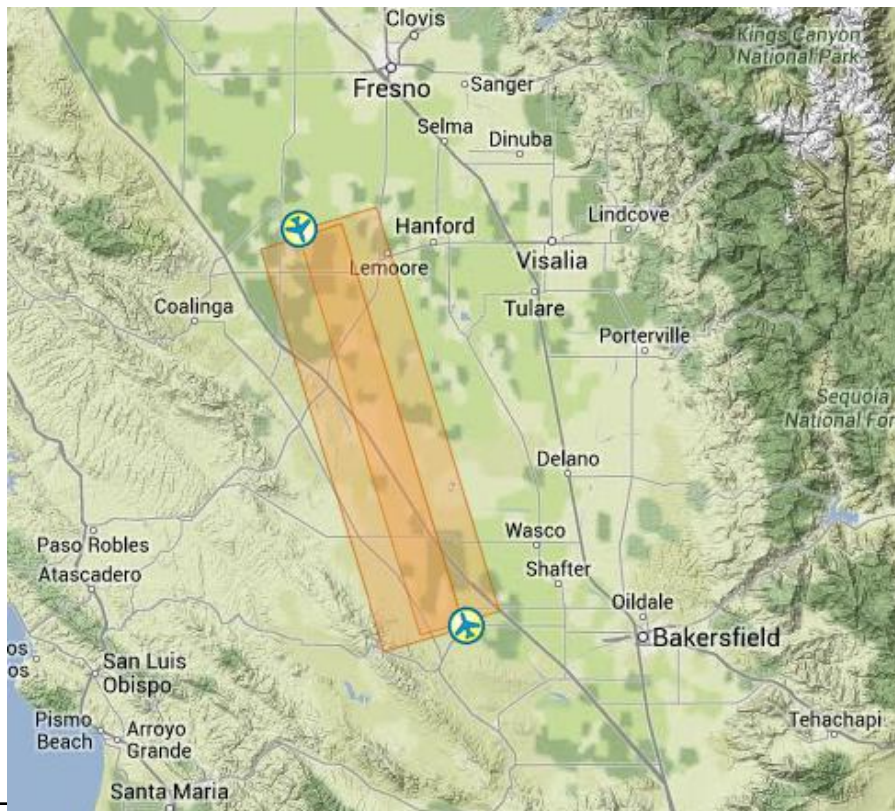
# Context & Motivation

- UAVSAR soil moisture retrieval (NISAR ?)
- Applicability to Sentinel radar-only retrieval
- California Central Valley shrubland (subsidence?)

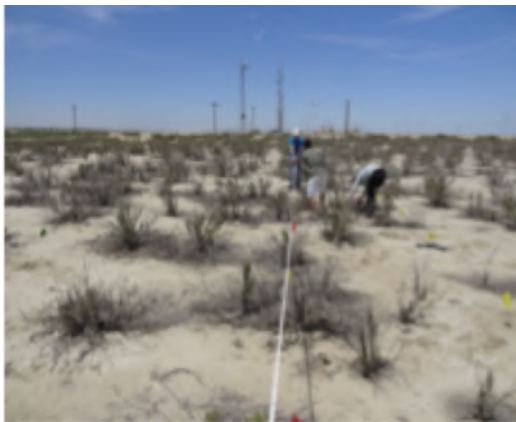
# UAVSAR Central Valley Observations



| year          | 10 | 2011 |    |   |    |    |    |    |    |    |    |    |    | 2012 |    | 13 | 2014 |    |   |    |    |    |  |
|---------------|----|------|----|---|----|----|----|----|----|----|----|----|----|------|----|----|------|----|---|----|----|----|--|
| month         | 6  | 5    |    | 6 |    |    | 7  | 8  |    | 9  | 10 | 11 |    | 5    | 6  | 11 | 1    | 2  | 4 | 5  | 6  | 8  |  |
| day           | 29 | 19   | 20 | 1 | 16 | 30 | 18 | 12 | 29 | 19 | 11 | 2  | 14 | 29   | 19 | 25 | 17   | 11 | 1 | 28 | 20 | 14 |  |
| UAVSAR        |    |      |    |   |    |    |    |    |    |    |    |    |    |      |    |    |      |    |   |    |    |    |  |
| soil moisture |    |      |    |   |    |    |    |    |    |    |    |    |    |      |    |    |      |    |   |    |    |    |  |
| vegetation    |    |      |    |   |    |    |    |    |    |    |    |    |    |      |    |    |      |    |   |    |    |    |  |

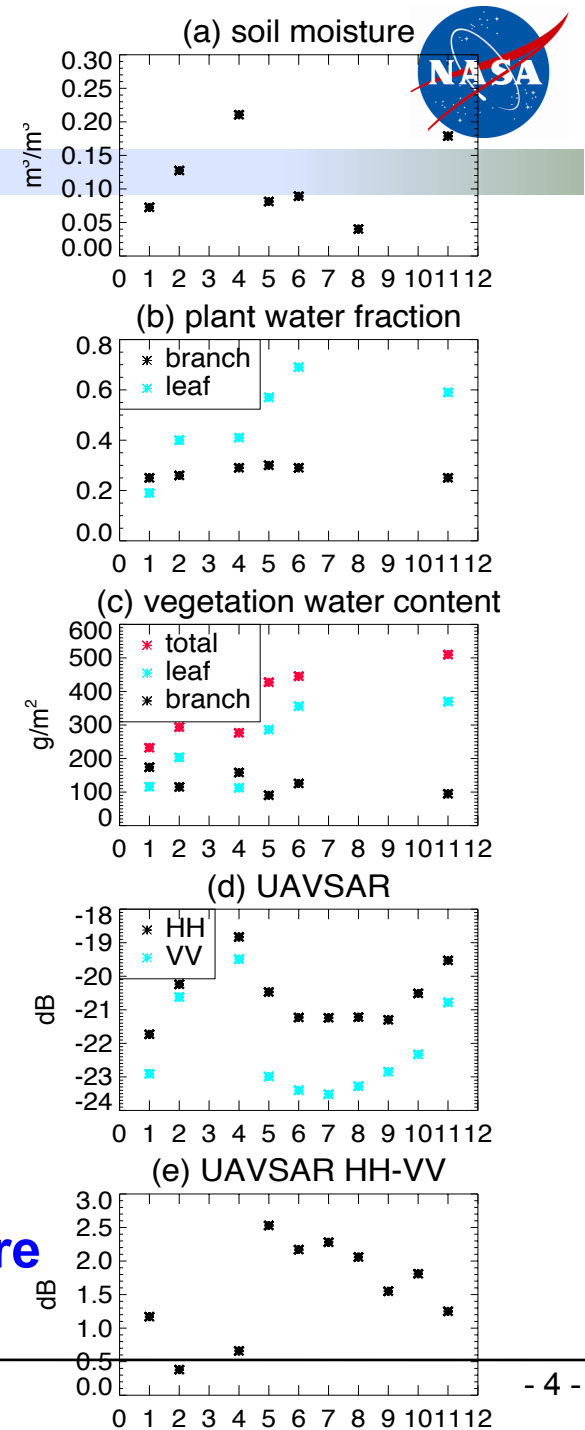


# UAVSAR Central Valley Observations









# Science Questions

- Soil moisture (a) – in situ samples
  - California’s wet winter and dry summer
- Plant water fraction (b) – destructive samples
  - Branch: temporally static
  - Leaf: wet summer, dormant winter →
- Vegetation water content (c) – destructive samples
  - Leaf VWC is dominant
  - Branch VWC is temporally static
- UAVSAR HH or VV (d)
  - Correlates highly with soil moisture
  - Correlates poorly with VWC →
- UAVSAR HH/VV (e)
  - HH > VV
  - Anti-correlates with soil moisture
- Questions to investigate
  - **HH > VV → vegetation has strong effect**
  - **BUT HH (and VV) correlates with soil moisture**
  - Will explain through modeling

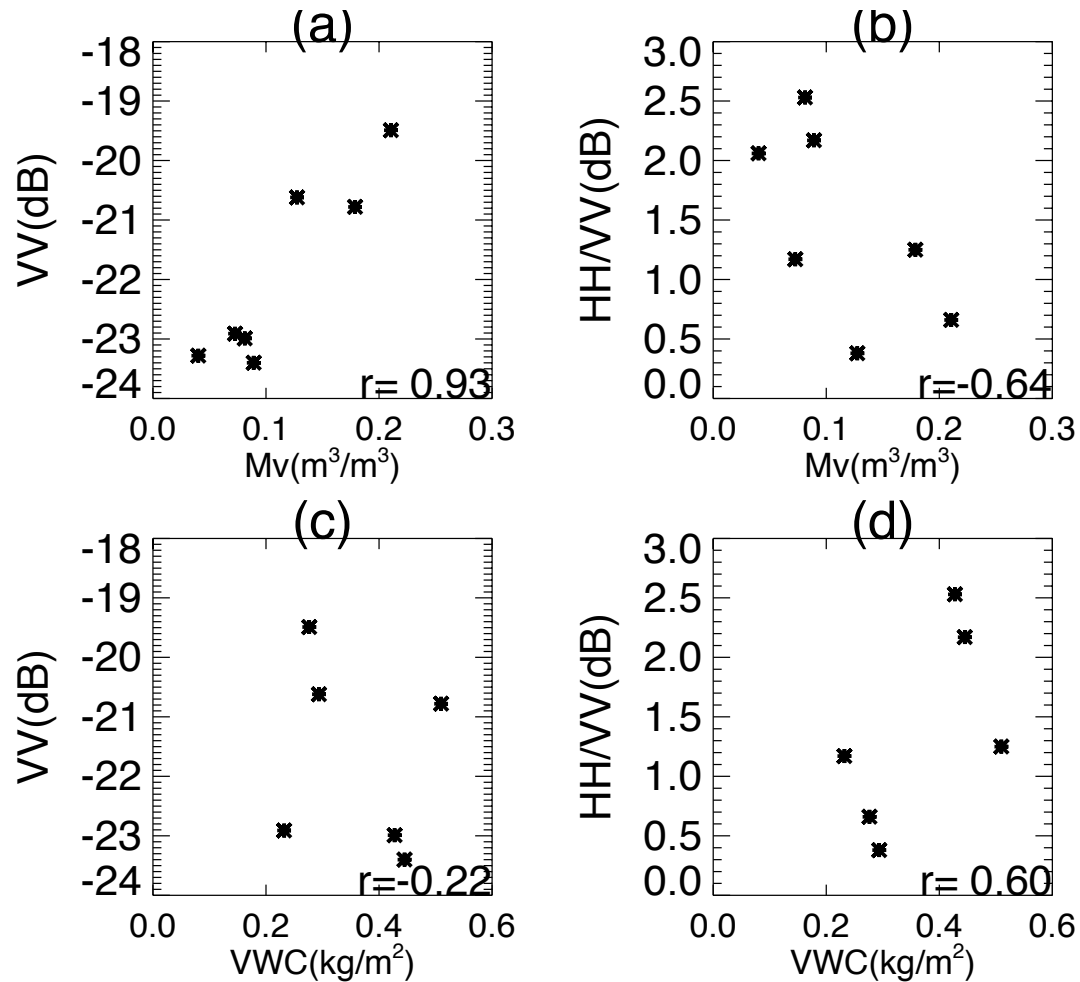
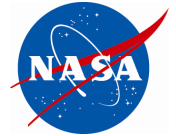


# UAVSAR Central Valley Observations

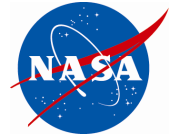


|  |   |  |
|--|---|--|
|   |   |   |
| Jan. 16, 2014  | Feb. 11, 2014   | Apr. 1, 2014   |
|  |  |  |
| May 29, 2012   | Aug. 14, 2014   | Nov. 14, 2011  |

# Sigma0 vs Mv (or VWC)



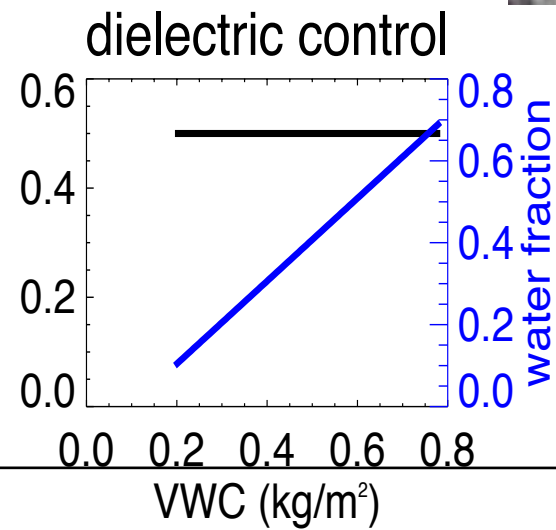
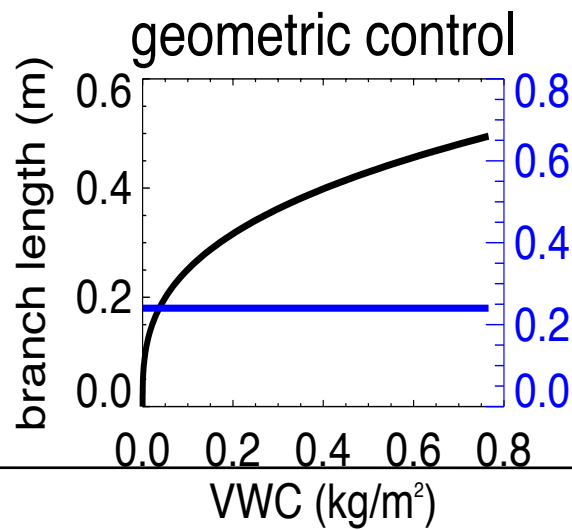
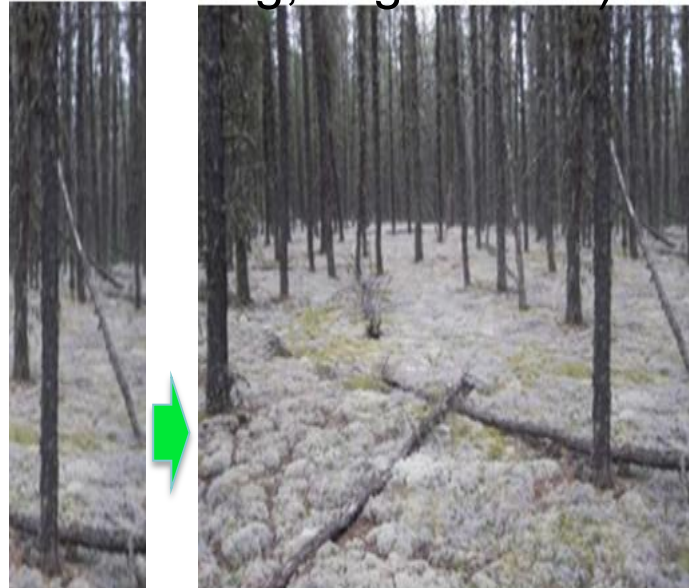
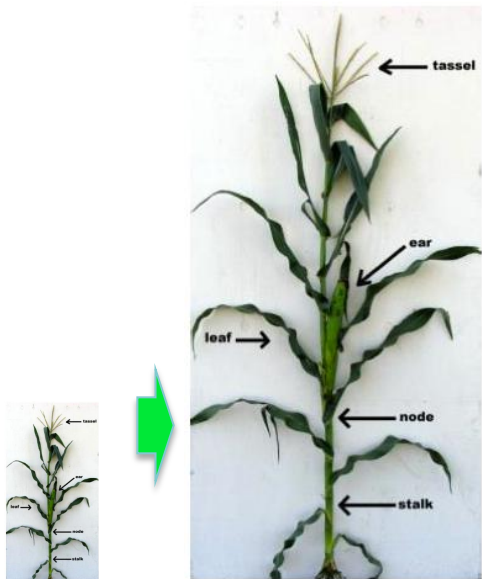
# Strategy of Scattering Modeling: Geometric vs. Dielectric Control of VWC



(I) Geometry controls VWC: Crops (Tsang)

(II) Density controls VWC: Forest (self thinning; Moghaddam)

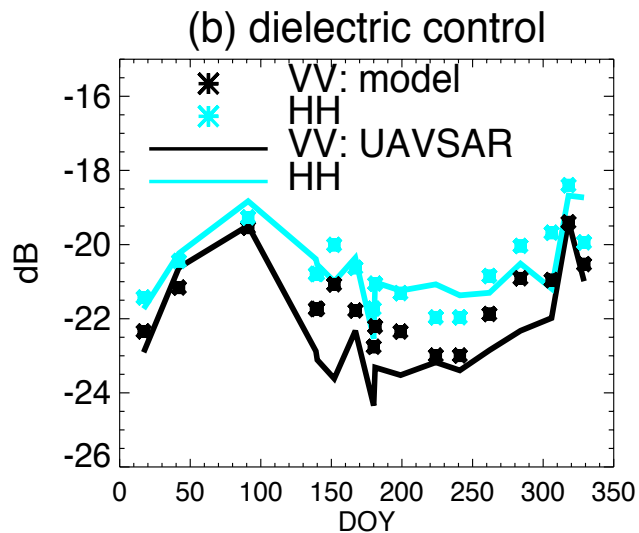
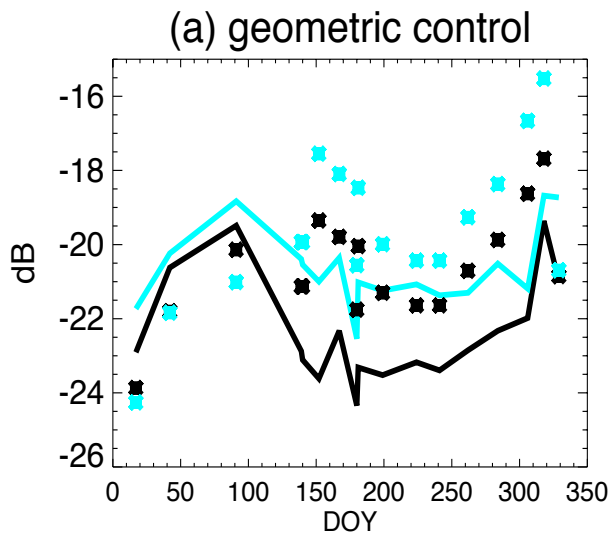
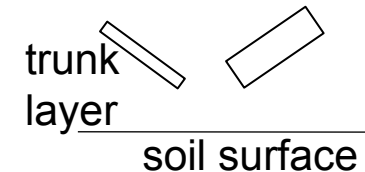
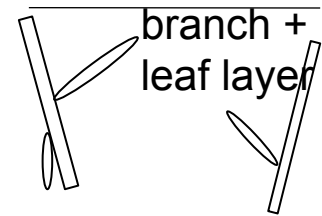
(III) Dielectrics controls VWC





# Forward Model Formulation

- DSM (discrete scattering model)
  - Durden/van Zyl (1993), Arii (2009, thesis), Arii et al. (2016)

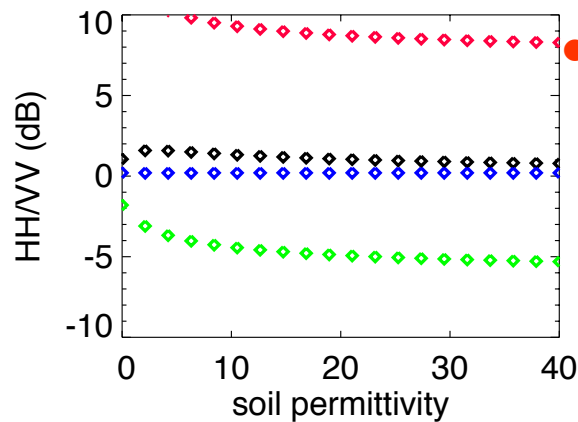
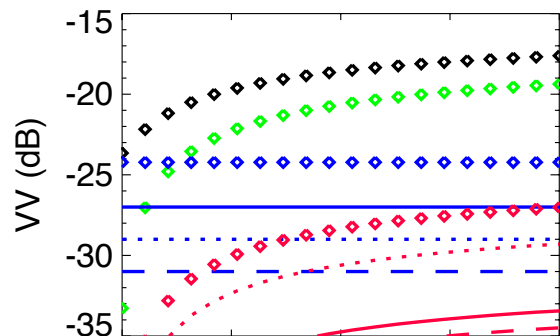
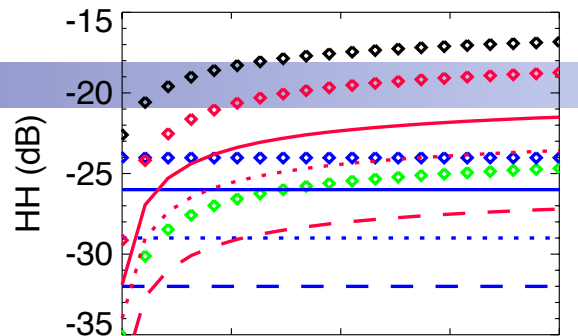


If VWC changes were to be due to plant growth by 1.5 times, it would increase  $s_0$  by ~5 dB (= modelled!)

In reality, VWC varies by leaf water fractions. But leaf is insignificant.

When VWC is modelled by changing water fraction in leaf, the model simulates the observation within 0.8 dB over the entire seasons/multi-years

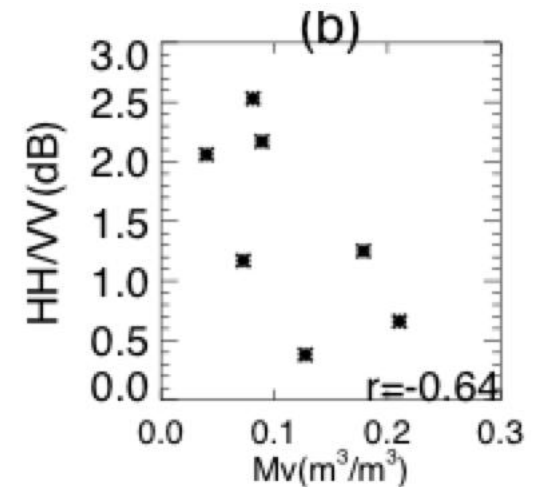
# Modelled Mechanisms



- Total HH is due to double bounce and by trunk
  - responding to soil moisture

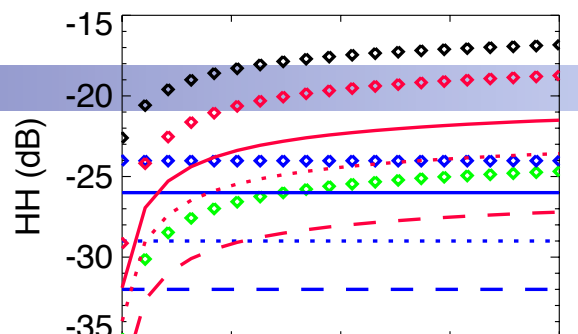
- Total VV is due to surface scattering
  - Leaf (dots) contributions are always minor

- HH/VV decreases as SM increases.
  - signature of soil surface

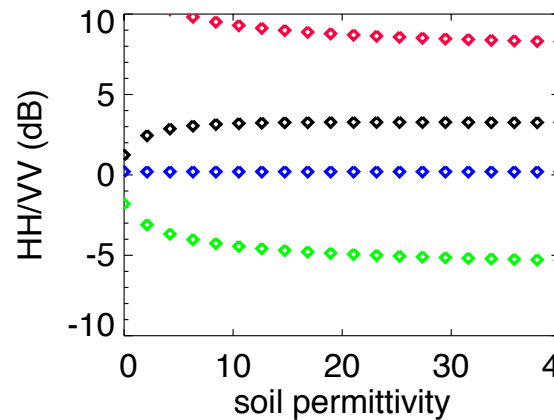
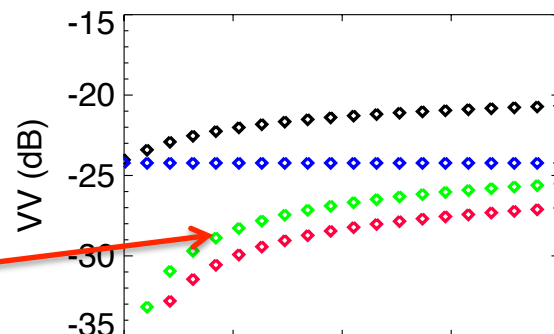
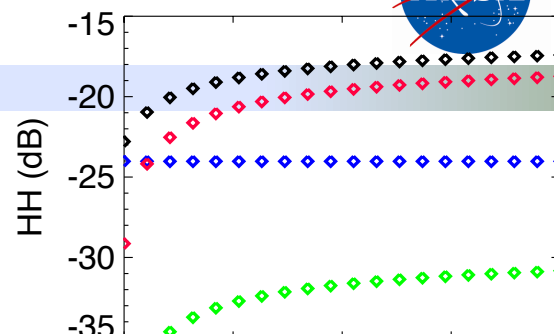
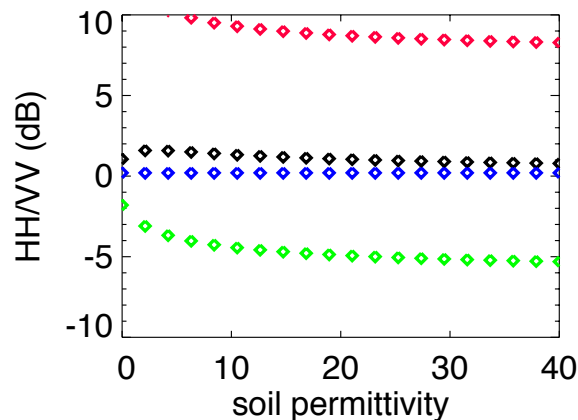
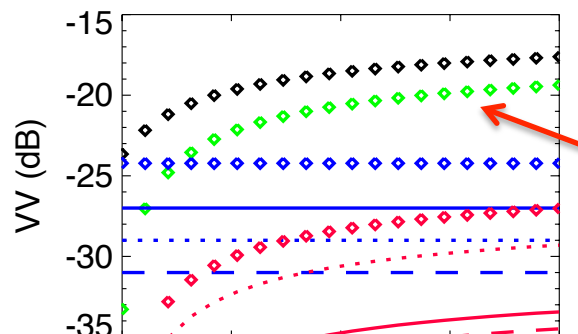


- $HH > VV \rightarrow$  vegetation has strong effect
- HH (and VV) correlates with soil moisture through double bounce (surface)

# Modelled Mechanisms



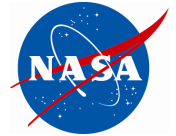
$c/s=25$   
(observed)



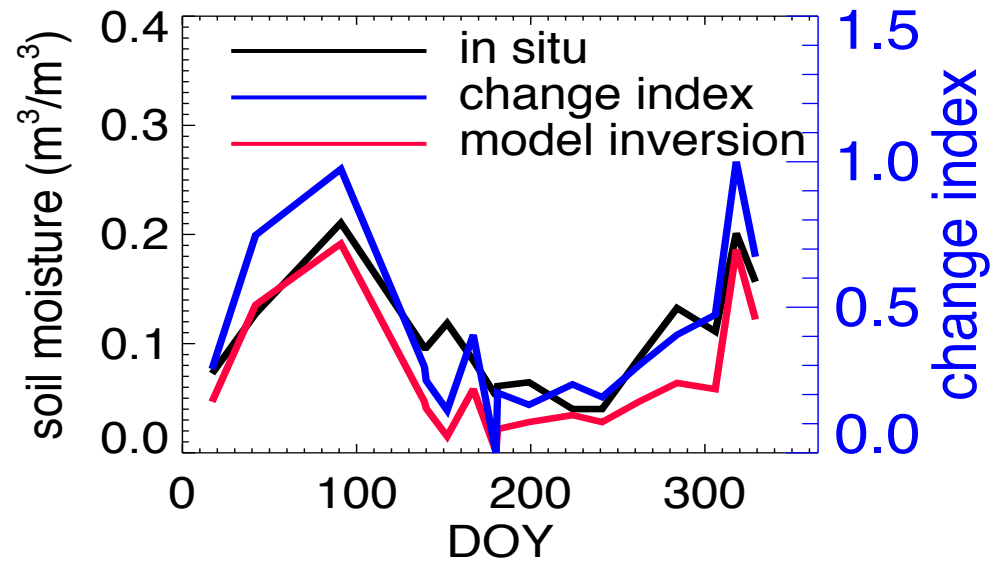
$c/s=120$

- total NRCS    ◇ whole plant
- surface        ······ leaf
- volume        - - - branch
- double        ——— trunk

- total NRCS    ◇ whole plant
- surface
- volume
- double



# Retrieval



- Datacube time-series retrieval:
  - Ub-rmse = 0.025  $\text{m}^3/\text{m}^3$ , meane = 0.04  $\text{m}^3/\text{m}^3$ , correlation 0.86
- Change index
  - Correlation: 0.89



# Summary

- Shrubland L-UAVSAR  $\sigma_{0}$  varied by 3 dB seasonally and
  - Correlated strongly with soil moisture (despite VWC changes)
- Modelling was
  - Successfully when VWC is controlled by leaf dielectric
  - Not well when VWC changes by geometry
- $S_0$  changes were due to soil moisture changes (leaf VWC insignificant)
  - Double bounce (HH) and surface scattering (VV) are major terms
  - HH/VV decreases with soil moisture (consistent with data)
- Retrieval
  - ub-rmse = 0.025 m<sup>3</sup>/m<sup>3</sup>, meane = 0.04 m<sup>3</sup>/m<sup>3</sup>, correlation 0.86
- Continued demo that
  - forward modeling is successful
  - the time-series datacube retrieval works.

[S. Kim, M. Arii, T. Jackson, Modeling L-band synthetic aperture radar data through dielectric changes in soil moisture and vegetation over shrublands, J. Selected Topics Applied Earth Obs. Remote Sensing, DOI: 10.1109/JSTARS.2017.2741497, 2017]